CHAPTER 1.
Introduction

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Forests cover a vast area of the United States: 304 million ha, or approximately one-third of the Nation's land area (Smith and others 2009). These forests possess substantial ecological and socioeconomic importance. Both their ecological integrity and their continued capacity to provide goods and services are of concern in the face of a long list of threats, including insect and disease infestation, fragmentation, catastrophic fire, invasive species, and the effects of climate change.

Assessing and monitoring the health of these forests are critical and challenging tasks. The complexity of these tasks is reflected in the Criteria and Indicators for the Conservation and Sustainable Management of Temperate and Boreal Forests (Montréal Process Working Group 1995), which the Forest Service, U.S. Department of Agriculture, uses as a forest sustainability assessment framework (USDA Forest Service 2004, 2011). Although the concept of a healthy forest has universal appeal, forest ecologists and managers have struggled with how exactly to define forest health (Teale and Castello 2011), and they have not agreed on a universally accepted definition.

Most definitions of forest health can be categorized as representing an ecological or a utilitarian perspective (Kolb and others 1994). From an ecological perspective, the current understanding of ecosystem dynamics suggests that healthy ecosystems are those that are able to maintain their organization and autonomy over time while remaining resilient to stress (Costanza 1992) and that evaluations of forest health should emphasize factors that affect the inherent processes and resilience of forests (Kolb and others 1994, Raffa and others 2009, Edmonds and others 2011). On the other hand, the utilitarian perspective holds that a forest is healthy if management objectives are met, and that a forest is unhealthy if not (Kolb and others 1994). Although this definition may be appropriate when a single, unambiguous management objective exists, such as the production of wood fiber or the maintenance of wilderness attributes, the definition is too narrow when multiple management objectives are required (Edmonds and others 2011, Teale and Castello 2011). Teale and Castello (2011) incorporated both ecological and utilitarian perspectives into their two-component definition

of forest health. First, a healthy forest must be sustainable with respect to its size structure, including a correspondence between baseline and observed mortality, and, second, a healthy forest must meet the landowner's objectives, provided these objectives do not conflict with sustainability.

This national report, the 12th in an annual series produced by the Forest Health Monitoring (FHM) Program, attempts to quantify the status of, changes to, and trends in a wide variety of broadly defined indicators of forest health. The indicators described in this report encompass forest insect and disease activity, wildland fire occurrence, drought, tree mortality, and lichen diversity, among others.

This report has three specific objectives. The first objective is to present information about forest health from a national perspective, or from a multi-State regional perspective when appropriate, using data collected by the Forest Health Protection (FHP) and Forest Inventory and Analysis (FIA) Programs of the Forest Service as well as from other sources available at a wide extent. The chapters that present analyses at a national scale or multi-State regional scale are divided between section 1 and section 2 of the report. Section 1 presents results from the analyses of forest health data that are available annually, allowing for the detection of trends over time and changes from one year to the next. Section 2 presents longer term forest health trends in addition to describing new techniques for analyzing forest health data at national or regional scales. Although in-depth interpretation and analysis of specific geographic or ecological regions are beyond the scope of these parts of the report, the chapters in sections 1 and 2 present information that can be used to identify areas that may require investigation at a finer scale.

The second objective of the report is to present new techniques for analyzing forest health data and new applications of established techniques, presented in selected chapters of section 2. Examples in this report are chapter 6, which investigates the relationship of land cover and air quality to a lichen species richness indicator in six large geographic regions, and chapter 7, which investigates climate effects on lichen indices that are used to develop nitrogen critical loads for California forests. In addition, chapter 4, in the first section of the report, includes an innovative analysis of drought patterns in Hawaii, despite the lack

of monthly gridded climate data that was used in that chapter to conduct the annual analysis of drought status across the conterminous United States.

The third objective of the report is to present results of recently completed Evaluation Monitoring (EM) projects funded through the FHM national program. These project summaries, presented in section 3, determine the extent, severity, cause, or all three, of forest health problems (FHM 2012), generally at a finer scale than that addressed by the analyses in sections 1 and 2. Each chapter in section 3 contains an overview of an EM project and key results.

When appropriate throughout this report, authors use the Forest Service revised ecoregions (Cleland and others 2007) as a common, ecologically based spatial framework for their forest health assessments (fig. 1.1). To be specific, when the spatial scale of the data and the expectation of an identifiable pattern in the data are appropriate, authors use ecoregion sections or provinces as assessment units for their analyses. In Bailey's hierarchical system, the two broadest ecoregion scales, domains and divisions, are based on large ecological climate zones, whereas each division is broken into provinces based on vegetation macro features (Bailey 1995). Provinces are further divided into sections, which may be thousands of square kilometers in extent and are expected to encompass regions similar in their geology, climate, soils, potential natural vegetation, and potential natural communities (Cleland and others 1997).

DATA SOURCES

Forest Service data sources included in this edition of the FHM national report are FIA annualized phase 2 and phase 3 survey data (Bechtold and Patterson 2005, Woodall and others 2010, Woudenberg and others 2010), FHP national insect and disease detection survey forest mortality and defoliation data for 2011, Moderate Resolution Imaging Spectroradiometer (MODIS) Active Fire Detections for the United States database for 2011, and forest cover data developed from MODIS satellite imagery by the Forest Service Remote Sensing Applications Center. Other sources of data are daily weather station data from the U.S. National Climatic Data Center (2012); the Parameter-Elevation Regression on Independent Slopes climate

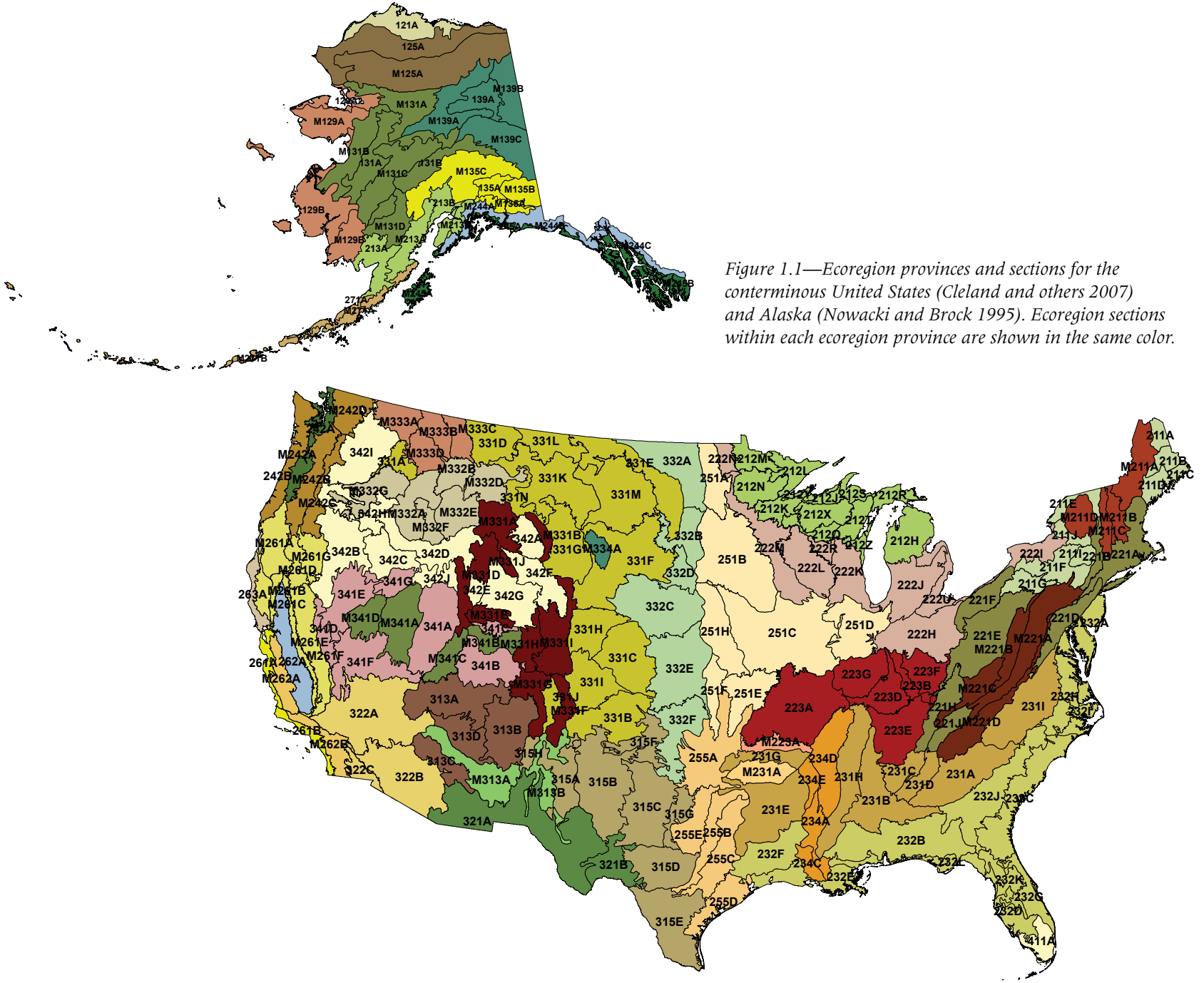

Figure 1.1—Ecoregion provinces and sections for the conterminous United States (Cleland and others 2007) and Alaska (Nowacki and Brock 1995). Ecoregion sections within each ecoregion province are shown in the same color.

Alaska Ecoregion Provinces

- Alaska Mixed Forest (213)
- Alaska Range Taiga (135)
- Aleutian Meadow (271)
- Arctic Tundra (121)
- Bering Sea Tundra (129)
- Brooks Range Tundra (125)
- Pacific Coastal Icefields (244)
- Pacific Gulf Coast Forest (245)
- Upper Yukon Taiga (139)
- Yukon Intermontaine Taiga (131)

Conterminous States Ecoregion Provinces

- Adirondack-New England Mixed Forest - Coniferous Forest - Alpine Meadow (M211)
- American Semi-Desert and Desert (322)
- Arizona-New Mexico Mountains Semi-Desert - Open Woodland - Coniferous Forest - Alpine Meadow (M313)
- Black Hills Coniferous Forest (M334)
- California Coastal Chaparral Forest and Shrub (261)
- California Coastal Range Open Woodland - Shrub - Coniferous Forest - Meadow (M262)
- California Coastal Steppe - Mixed Forest - Redwood Forest (263)
- California Dry Steppe (262)
- Cascade Mixed Forest - Coniferous Forest - Alpine Meadow (M242)
- Central Appalachian Broadleaf Forest-Coniferous Forest-Meadow (M221)
- Central Interior Broadleaf Forest (223)
- Chihuahuan Semi-Desert (321)
- Colorado Plateau Semi-Desert (313)
- Eastern Broadleaf Forest (221)
- Everglades (411)
- Great Plains - Palouse Dry Steppe (331)
- Great Plains Steppe (332)
- Intermountain Semi-Desert and Desert (341)
- Intermountain Semi-Desert (342)
- Laurentian Mixed Forest (212)
- Lower Mississippi Riverine Forest (234)
- Middle Rocky Mountain Steppe - Coniferous Forest - Alpine Meadow (M332)
- Midwest Broadleaf Forest (222)
- Nevada-Utah Mountains Semi-Desert - Coniferous Forest - Alpine Meadow (M341)
- Northeastern Mixed Forest (211)
- Northern Rocky Mountain Forest-Steppe - Coniferous Forest - Alpine Meadow (M333)
- Ouachita Mixed Forest-Meadow (M231)
- Outer Coastal Plain Mixed Forest (232)
- Ozark Broadleaf Forest (M223)
- Pacific Lowland Mixed Forest (242)
- Prairie Parkland (Subtropical) (255)
- Prairie Parkland (Temperate) (251)
- Sierran Steppe - Mixed Forest - Coniferous Forest - Alpine Meadow (M261)
- Southeastern Mixed Forest (231)
- Southern Rocky Mountain Steppe - Open Woodland - Coniferous Forest - Alpine Meadow (M331)
- Southwest Plateau and Plains Dry Steppe and Shrub (315)

mapping system data (Daley and Taylor 2000; PRISM Group 2004, 2012); The Rainfall Atlas of Hawaii (Giambelluca and others 2011); the 2001 National Land Cover Database (Homer and others 2007); 1998–2004 average annual wet deposition values for sulfur dioxide, nitrate, and ammonium interpolated for each plot from models using National Atmospheric Deposition Program data (Coulston and others 2004); and version 4.4 of the Community Multiscale Air Quality model (Tonneson and others 2007).

As a major source of data for FHM analyses, the FIA Program deserves detailed description. The FIA Program collects forest inventory information across all forest land ownerships in the United States and maintains a network of more than 125,000 permanent forested ground plots across the conterminous United States and southeastern Alaska, with a sampling intensity of approximately one plot per 2428 ha. FIA phase 2 encompasses the annualized inventory measured on plots at regular intervals, with each plot surveyed every 5 to 7 years in most Eastern States, but with plots in the Rocky Mountain and Pacific Northwest regions surveyed once every 10 years (Reams and others 2005). The standard 0.067-ha plot (fig. 1.2) consists of four 7.315-m radius subplots (approximately 168.6 m^2, or 1/24 acre), on which field crews measure trees at least 12.7 cm in diameter. Within each subplot is nested a 2.073-m radius microplot (approximately 13.48 m^2, or 1/300 acre), on which crews measure trees smaller than 12.7 cm in diameter. A core-optional variant of the standard design includes four "macroplots," each with a radius of 17.953 m, or approximately 0.1012 ha, that originates at the center of each subplot (Woudenberg and others 2010).

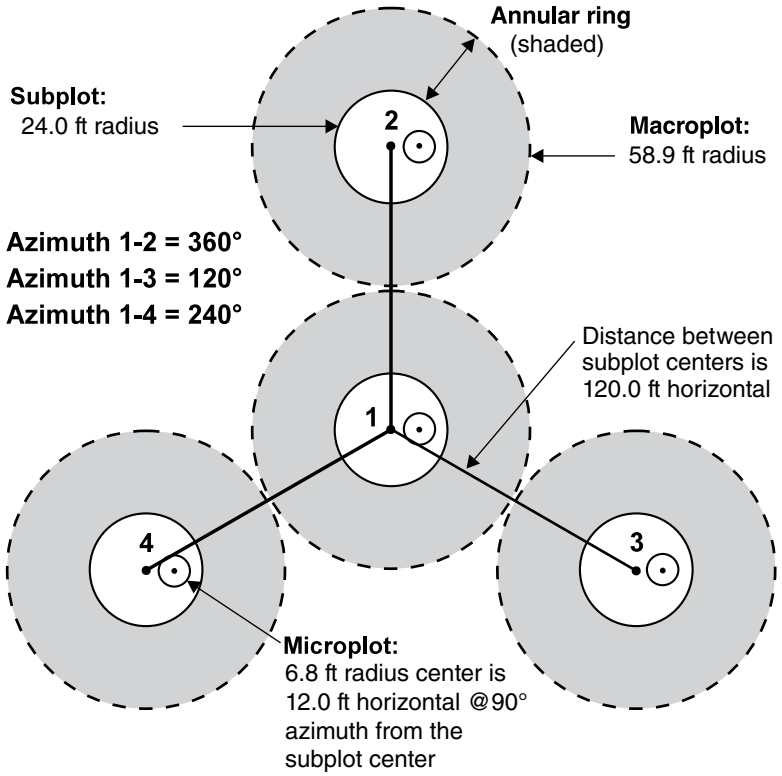

Figure 1.2—The Forest Inventory and Analysis mapped plot design. Subplot 1 is the center of the cluster with subplots 2, 3, and 4 located 120 feet away at azimuths of 360°, 120°, and 240°, respectively (Woudenberg and others 2010).

FIA phase 3 plots represent a subset of these phase 2 plots, with one phase 3 plot for every 16 standard FIA phase 2 plots. In addition to traditional forest inventory measurements, data for a variety of important ecological indicators are collected from phase 3 plots, including tree crown condition, lichen communities, down woody material, soil condition, and vegetation structure and diversity, while data on ozone bioindicator plants are collected on a separate grid of plots (Woodall and others 2010, 2011). Most of these additional forest health indicators were measured as part of the FHM Detection Monitoring ground plot system before 2000[1] (Palmer and others 1991).

THE FOREST HEALTH MONITORING PROGRAM

The national FHM Program is designed to determine the status, changes, and trends in indicators of forest condition annually and covers all forested lands through a partnership encompassing the Forest Service, State foresters, and other State and Federal agencies and academic groups (FHM 2012). The FHM Program uses data from a wide variety of sources, both inside and outside the Forest Service, and develops analytical approaches for addressing forest health issues that affect the sustainability of forest ecosystems. The FHM Program has five major components (fig. 1.3):

- Detection Monitoring—nationally standardized aerial and ground surveys to evaluate status and change in condition of forest ecosystems (sections 1 and 2 of this report).
- Evaluation Monitoring—projects to determine extent, severity, and causes of undesirable changes in forest health identified through Detection Monitoring (section 3 of this report).
- Intensive Site Monitoring—projects to enhance understanding of cause-effect relationships by linking Detection Monitoring to ecosystem process studies and to assess specific issues, such as calcium depletion and carbon sequestration, at multiple spatial scales (section 3 of this report).
- Research on Monitoring Techniques—work to develop or improve indicators, monitoring systems, and analytical techniques, such as urban and riparian forest health monitoring, early detection of invasive species, multivariate analyses of forest health indicators, and spatial scan statistics (section 2 of this report).
- Analysis and Reporting—synthesis of information from various data sources within and external to the Forest Service to produce issue-driven reports on status and change in forest health at national, regional, and State levels (sections 1, 2, and 3 of this report).

[1]U.S. Department of Agriculture Forest Service. 1998. Forest Health Monitoring 1998 field methods guide. Research Triangle Park, NC: U.S. Department of Agriculture Forest Service, National Forest Health Monitoring Program. 473 p. On file with: Forest Health Monitoring Program, 3041 Cornwallis Rd., Research Triangle Park, NC 27709.

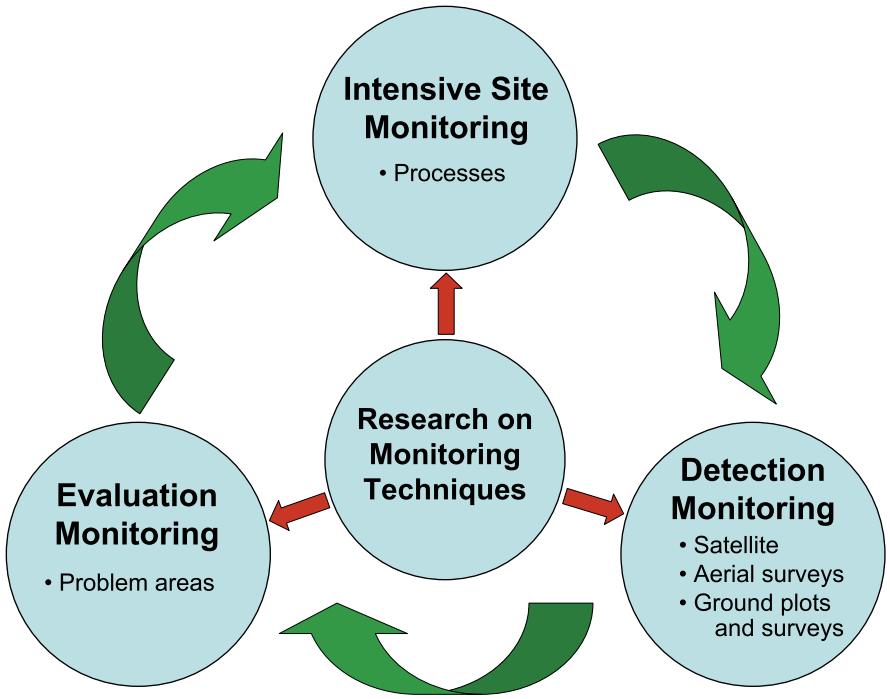

Figure 1.3—The design of the Forest Health Monitoring (FHM) Program of the Forest Service, U.S. Department of Agriculture (FHM 2003). A fifth component, Analysis and Reporting of Results, draws from the four FHM components shown here and provides information to help support land management policies and decisions.

In addition to its national reporting efforts, FHM generates regional and State reports. These reports may be produced with FHM partners, both within the Forest Service and in State forestry and agricultural departments. For example, the FHM regions cooperate with their respective State partners to produce the annual Forest Health Highlights report series, available on the FHM Web site at www.fs.fed.us/foresthealth/fhm. Other examples include Steinman (2004) and Harris and others (2011).

The FHM Program and its partners also produce reports and journal articles on monitoring techniques and analytical methods, including forest health data (Smith and Conkling 2004), soils as an indicator of forest health (O'Neill and others 2005), urban forest health monitoring (Cumming and others 2006, 2007; Lake and others 2006), health conditions in national forests (Morin and others 2006), crown conditions (Schomaker and others 2007, Randolph 2010, Randolph and Moser 2009), sampling and estimation procedures for vegetation diversity and structure (Schulz and others 2009), ozone monitoring (Rose and Coulston 2009), establishment of alien-invasive forest insect species (Koch and others 2011), spatial patterns of land cover (Riitters 2011), changes in forest biodiversity (Potter and Woodall 2012), and the overall forest health indicator program (Woodall and others 2010). For more information, visit the FHM Web site at www.fs.fed.us/foresthealth/fhm.

This FHM national report is produced by national forest health monitoring researchers at the Eastern Forest Environmental Threat Assessment Center, which was established under the Healthy Forest Restoration Act to generate

knowledge and tools needed to anticipate and respond to environmental threats. For more information about the research team and about threats to U.S. forests, please visit www.forestthreats.org/about.

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