

RESEARCH ARTICLE

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Key Points:

- Laboratory experiments represent aircraft measurements reasonably well
- Black carbon emissions in inventories may require upward revision

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Aerosol emissions from prescribed fires in the United States: A synthesis of laboratory and aircraft measurements

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Abstract Aerosol emissions from prescribed fires can affect air quality on regional scales. Accurate representation of these emissions in models requires information regarding the amount and composition of the emitted species. We measured a suite of submicron particulate matter species in young plumes emitted from prescribed fires (chaparral and montane ecosystems in California; coastal plain ecosystem in South Carolina) and from open burning of over 15 individual plant species in the laboratory. We report emission ratios and emission factors for refractory black carbon (rBC) and submicron nonrefractory aerosol and compare field and laboratory measurements to assess the representativeness of our laboratory-measured emissions. Laboratory measurements of organic aerosol (OA) emission factors for some fires were an order of magnitude higher than those derived from any of our aircraft observations; these are likely due to higher-fuel moisture contents, lower modified combustion efficiencies, and less dilution compared to field studies. Nonrefractory inorganic aerosol emissions depended more strongly on fuel type and fuel composition than on combustion conditions. Laboratory and field measurements for rBC were in good agreement when differences in modified combustion efficiency were considered; however, rBC emission factors measured both from aircraft and in the laboratory during the present study using the Single Particle Soot Photometer were generally higher than values previously reported in the literature, which have been based largely on filter measurements. Although natural variability may account for some of these differences, an increase in the BC emission factors incorporated within emission inventories may be required, pending additional field measurements for a wider variety of fires.

1. Introduction

Prescribed fires are open biomass burning (BB) activities that may result in negative anthropogenic impacts on local-to-regional air quality and climate. Despite its potential drawbacks, prescribed fire is often the best option for maintaining and restoring native, fire-adapted ecosystems [Carter and Foster, 2004]. Conversely, fire suppression and/or the absence of prescribed fire can increase fuel loads above natural levels and potentially increase the likelihood of extreme wildfires [Fernandes and Botelho, 2003; Flannigan et al., 2009] and their associated negative impacts on ecosystems [Miller et al., 2008], climate [Westerling et al., 2006], and air quality [Spracklen et al., 2009]. Particulate emissions from prescribed fires play a major role in determining their atmospheric impacts. Smoke from wildfires and prescribed fires has been shown to increase particulate matter (PM) concentrations in urban areas [Phuleria et al., 2005; Hu et al., 2008; Liu et al., 2009] and degrade visibility on regional scales [McMeeking et al., 2006; Park et al., 2007].

The major PM species emitted from fires are primary organic aerosol (OA) and black carbon (BC), though inorganic components such as nitrate (NO_3^-), sulfate (SO_4^{2-}), ammonium (NH_4^+), chloride (denoted as Cl^- , per the Aerodyne Aerosol Mass Spectrometer community nomenclature), potassium (K^+), and sodium (Na^+) can be important depending on the fire/fuel type [Reid et al., 2005; Hosseini et al., 2013]. The open burning of biomass (e.g., forests, fields, savannas, and urban/rural waste, but excluding cooking fires and biofuels)

generates approximately 40% of the mass of globally averaged annual submicron BC aerosol emissions and 65% of primary submicron organic carbon (OC) emissions [Bond *et al.*, 2013]. BC absorbs light over a broad range of wavelengths, and its presence in the atmosphere has significant effects on the radiative balance of the atmosphere, snow and ice albedo, and visibility [Ramanathan and Carmichael, 2008; Bond *et al.*, 2013]. Organic aerosol primarily scatters light, but some components emitted by fires have been shown to also absorb light strongly at near-UV wavelengths [Kirchstetter *et al.*, 2004; Andreae and Gelencsér, 2006; Lewis *et al.*, 2008; Magi, 2009; Lack *et al.*, 2012; Saleh *et al.*, 2013]. Chemical transport models used to predict regional air quality and global climate impacts require accurate BC emission inventories to correctly simulate column BC loading and absorption aerosol optical depth [Koch *et al.*, 2009]. These models also require accurate estimates of OA emissions and an appropriate treatment for the partitioning of semivolatile species and for secondary production of additional OA from oxidation of primary emissions [Robinson *et al.*, 2007, 2010; Grieshop *et al.*, 2009b; Hennigan *et al.*, 2011; May *et al.*, 2013; Ortega *et al.*, 2013].

Two approaches are commonly used to create emission inventories for BB: “bottom up,” in which total emissions are calculated by multiplying the mass of biomass consumed by an emission factor (EF, g species emitted per kg fuel burned), and “top down,” in which the emissions are inferred from the amount required to reproduce the observed loading in the atmosphere, accounting for other sources. Major uncertainties for either approach are that fires and their emissions can be difficult to detect via satellite [Wiedinmyer *et al.*, 2006, 2011; van der Werf *et al.*, 2010] due to clouds, orbital gaps, sensitivity, and other problems [Giglio *et al.*, 2013], that BB emissions have not been fully characterized (i.e., not all emitted compounds have been identified) [Yokelson *et al.*, 2013a], and that the processes affecting atmospheric physicochemical aging of BB emissions are not completely understood [Jimenez *et al.*, 2009; Akagi *et al.*, 2012; Heilman *et al.*, 2014].

Emission factors for BB have been measured in the laboratory, from aircraft, and on the ground for many years, and have been compiled elsewhere [e.g., Andreae and Merlet, 2001; Akagi *et al.*, 2011]. Many previous biomass burning BC and OA emission measurements used filter-based light absorption [e.g., Paris *et al.*, 2009] or thermal-optical analysis [e.g., Formenti *et al.*, 2003] to quantify emissions from fires. However, these measurement techniques often disagree, by factors as large as 4, even for the same filters when analyzed via different protocols [Watson *et al.*, 2005; McMeeking *et al.*, 2009]. Further, different approaches yield different operationally defined carbonaceous aerosol, although the terminology has been inappropriately substituted in the literature; light absorption techniques provide measurements of BC, while thermal-optical analyses provide measurements of elemental carbon (EC).

Both approaches have associated complications. The presence of light-absorbing organic material frequently found in BB emissions impacts filter-based approaches because the light-absorbing organic material can be erroneously interpreted as BC [Kirchstetter *et al.*, 2004], or the organic material biases the absorption measurement itself due to coating effects [Subramanian *et al.*, 2007; Cappa *et al.*, 2008; Lack *et al.*, 2008]. Thermal-optical analyses may differ due to various factors (e.g., instrument model and analysis protocol), which may affect the charring of organic carbon (OC) and the OC/EC split [e.g., Yu *et al.*, 2002; Chow *et al.*, 2004, 2007]. Further, filter-based measurements typically cannot provide any information regarding the particle size distribution of uncoated BC “cores,” which, together with its mixing state, will affect the atmospheric lifetime and aerosol optical properties of the BC particles [Bond and Bergstrom, 2006; Lack and Cappa, 2010; Lack *et al.*, 2012; Bond *et al.*, 2013].

The development of highly sensitive continuous or semicontinuous instruments such as the Droplet Measurement Technologies (DMT) Single Particle Soot Photometer (SP2) and Aerodyne Aerosol Mass Spectrometer (AMS) has provided the ability to measure refractory BC (rBC) mass concentrations and nonrefractory submicron particulate mass concentrations (including OA), respectively, in the absence of a filter medium, avoiding many artifacts associated with filter sampling. The SP2 provides a different measure of BC compared to absorption measurements by quantifying the refractory material in the absorbing aerosol [Slowik *et al.*, 2007; McMeeking *et al.*, 2010; Liu *et al.*, 2011; Petzold *et al.*, 2013], whereas BC mass concentrations estimated using absorption methods are sensitive to the presence of coatings and/or organic species affecting light absorption [Subramanian *et al.*, 2007; Cappa *et al.*, 2008; Lack *et al.*, 2008]. Hence, we use “rBC” to refer to the operationally defined measurements from the SP2, while “BC” refers to estimates made using any light absorption technique. There have been few comparisons between rBC mass concentrations measured by the SP2 and BC mass concentrations measured by the thermal-optical methods

on which many BB emission estimates are based [e.g., *Andreae and Merlet*, 2001]. Several studies have compared BC measured by several different techniques, including thermal-optical analysis and the SP2 [e.g., *Slowik et al.*, 2007; *Kondo et al.*, 2011a; *Yelverton et al.*, 2014], but did not examine biomass burning samples directly, so it is unclear how to infer how well BB emission factors from the filter-based approach and SP2 compare. Thus, the poor constraints on BC emission factors arising from previous measurement methods and limited observations remain a significant source of uncertainty in emission estimates [e.g., *Bond et al.*, 2013]. It is therefore of interest to measure rBC emission factors from BB using the SP2 for comparison with earlier estimates.

The SP2 has been previously used to measure rBC concentrations and physical properties in the atmosphere, including some sampling of biomass burning emissions [*Schwarz et al.*, 2008; *Spackman et al.*, 2008; *Kondo et al.*, 2011b; *Sahu et al.*, 2012; *Dahlkötter et al.*, 2014]. *Spackman et al.* [2008] reported rBC emission ratios (ER) to excess carbon monoxide (CO) for a biomass burning plume encountered over Texas that were 25–75% higher than those recommended for EC by *Andreae and Merlet* [2001] for extratropical fires and speculated that some of the differences may be due to variations in fuel burned although combustion efficiency plays the major role. Conversely, the ER observed by both *Kondo et al.* [2011b] and *Sahu et al.* [2012] were less than the values from *Andreae and Merlet* [2001]. This demonstrates that there is substantial variability in the BC emissions from BB, and hence, there is clearly a need for additional measurements of BC emission factors.

Similarly, the AMS has been used to measure nonrefractory aerosol emissions from fires in several recent field campaigns focusing on biomass burning emissions [*Capes et al.*, 2008; *DeCarlo et al.*, 2008; *Cubison et al.*, 2011; *Hecobian et al.*, 2011; *Akagi et al.*, 2012; *Jolleys et al.*, 2012]. Emission ratios of OA from these studies agree within roughly a factor of 2 compared to compiled BB emission inventories [*Andreae and Merlet*, 2001; *Akagi et al.*, 2011], although there may be substantial natural variability (i.e., the range of ER in the literature spans roughly 1 order of magnitude). To our knowledge, only one recent study [*Akagi et al.*, 2012] has examined online PM emissions from prescribed fires in the U.S. at the source via airborne sampling using both SP2 and AMS; however, this work focused mainly on transformations of OA (e.g., physicochemical aging) for a single plume. Here we describe a new set of measurements of rBC and nonrefractory PM in emissions from prescribed fires in the U.S., including well-characterized laboratory fires and aircraft measurements in young plumes from prescribed fires in California and South Carolina. Our goals are to examine the relationships between aerosol emissions and plant species, ecosystem, and fire combustion conditions in order to provide a reference set of EF and ER measurements for use in emission inventories for North American prescribed fires, and to examine reasons for any discrepancies between laboratory- and aircraft-measured emissions. Here we only present fire-averaged EF and ER, rather than investigating emissions during fire phases (e.g., flaming versus smoldering), as the average values are what are included in most emissions inventories [*van der Werf et al.*, 2010; *Wiedinmyer et al.*, 2011], and nearly all global chemical transport models that are used to predict atmospheric impacts of wildfires. Additionally, we provide mass equivalent particle diameters of uncoated rBC present in the emissions from these fires as these values can assist in predictions of aerosol radiative forcing in global climate models and size-resolved aerosol chemical composition in chemical transport models.

2. Experiment Details

We present results from a laboratory-based campaign in 2009 and aircraft campaigns in 2009 and 2011. The laboratory campaign took place at the United States (U.S.) Forest Service Fire Sciences Laboratory (FSL) in Missoula, Montana during the third Fire Laboratory At Missoula Experiment (FLAME-III). It was the third of a series of related, but independent, experiments at the FSL examining the properties of fire emissions. The aircraft campaigns focused on measuring emissions from prescribed fires over California (San Luis Obispo Biomass Burning Experiment; SLOBB) and South Carolina (South Carolina Fire Emissions and Aging Measurements; SCREAM) in the U.S., summarized in Table 1. Each campaign featured extensive trace gas and aerosol instrumentation, but we only describe instruments directly relevant to the analysis presented in the following sections. Additional information regarding other measurements and experiments performed during these campaigns can be found elsewhere [*Burling et al.*, 2011; *Hennigan et al.*, 2011, 2012; *Akagi et al.*, 2012, 2013, 2014; *Engelhart et al.*, 2012; *May et al.*, 2013; *Ortega et al.*, 2013; *Sullivan et al.*, 2014].

Table 1. Summary of Prescribed Fires Sampled During the SLOBB (California) and SCREAM (South Carolina) Campaigns, Compiled From Previous Studies [Burling et al., 2011; Akagi et al., 2013; Sullivan et al., 2014]^a

Fire Name	Location	Date	Fuel Description	Area Burned (ha)	Latitude	Longitude
Shaver	Fresno, California	10 Nov 2009	Conifer forest understory	30	37.0652	−119.2897
Turtle	Fresno, California	10 Nov 2009	Sierra mixed conifer with shrub understory	1050	36.9670	−119.0803
Grant A	Vandenberg AFB, California	11 Nov 2009	Coastal sage scrub/grass	55	34.7925	−120.5297
Grant B	Vandenberg AFB, California	11 Nov 2009	Maritime chaparral/grass	53	34.7983	−120.5250
Williams	Buellton, California	17 Nov 2009	Coastal/maritime chaparral	81	34.7003	−120.2083
Atmore	Ventura, California	18 Nov 2009	Coastal scrub sage	10	34.3152	−119.2278
Fort Jackson 6	Columbia, South Carolina	30 Oct 2011	Mature longleaf pine ^b	61.9	34.0247	−80.8711
Fort Jackson 9	Columbia, South Carolina	1 Nov 2011	Mature longleaf pine, sparkleberry ^b	36	34.0041	−80.8769
Fort Jackson 22b	Columbia, South Carolina	2 Nov 2011	Mature longleaf/loblolly pine and oak ^b	28.7	34.0845	−80.7731
Georgetown	Georgetown, South Carolina	7 Nov 2011	Coastal grass understory	60.7	33.2025	−79.4016
Francis Marion	Francis Marion National Forest, South Carolina	8 Nov 2011	Longleaf pine wire grass	147	33.2153	−79.4761
Bamberg A	Bamberg, South Carolina	10 Nov 2011	Longleaf/loblolly pine understory	36.4 ^c	33.2357 ^c	−80.9447 ^c
Bamberg B	Bamberg, South Carolina	10 Nov 2011	Marsh grasses			

^aValues for the area burned for the two Grant fires have been updated to reflect correct values.

^bSullivan et al. [2014] also indicate that wire grass (or similar grassy fuels) were consumed during these fires, based on smoke marker ratios.

^cThe Bamberg fire was composed of many small fires and was initially considered as one fire during the research flights. However, Sullivan et al. [2014] propose that this is fires from distinct biomass sources due to differences in spatiotemporal smoke marker ratios, which we have independently confirmed with AMS, SP2, and CRDS data.

2.1. Facilities, Fuels, and Site Descriptions

The FSL features an approximately 3000 m³ combustion chamber suitable for the measurement of gas and particle emissions from laboratory fires on timescales of several hours [Christian et al., 2003; McMeeking et al., 2009]. We conducted 27 burns, in which smoke emissions from the ignited biomass filled the sealed yet not airtight combustion chamber and were sampled by instruments located in adjacent laboratories to characterize primary emissions with no photochemical aging. Each burn experiment lasted approximately 3 hours. Smoke was actively mixed within the room by a large fan located on the floor. The emissions were fire integrated for the duration of the experiment after the room had become well mixed (since the smoke was retained within the combustion chamber) to remove potential initial biases since gases diffuse faster than particles.

Plant species burned during FLAME-III were mostly those commonly consumed in prescribed fires and wildfires in the United States [Christian et al., 2003; McMeeking et al., 2009] and are listed in Table 2. They included several species common to maritime chaparral, Sierra Nevada montane, and southeastern (SE) U.S. coastal plain ecosystems where prescribed fire measurements took place during the aircraft studies. Fuels burned during laboratory experiments were conditioned in a low-humidity chamber for at least one night prior to being burned, as described by McMeeking et al. [2009]; fuel moisture contents prior to combustion are provided in Table 2. The total fuel mass and the mass of fuel remaining after combustion were measured as a function of time from ignition using a Mettler-Toledo PM34 balance. Fuels were ignited using a heated wire bed treated with ethanol, as described in McMeeking et al. [2009].

We performed the airborne measurements on a U.S. Forest Service DHC-6 Twin Otter aircraft modified for atmospheric sampling. SLOBB consisted of eight research flights that examined emissions from six different prescribed fires whose locations in central California are shown in Figure 1a and listed in Table 1. SCREAM featured nine research flights that examined emissions from prescribed fires at six locations in South Carolina, shown in

Table 2. Types and Characteristics of Fuels Burned During the FLAME-III Laboratory Experiments^a

Common Name	Scientific Name	Ecosystem Type	IDs	Carbon Fraction (Dry Weight %)	Moisture Content (Dry Weight %)	Initial Fuel Mass (g)
Alaskan duff	Multiple species	boreal	51	47.6	19.2	200
Black spruce	<i>Picea mariana</i>	boreal	39	53.7	10.9	250
Ceanothus	Ceanothus L.	chaparral	62	53.2	9.9	1002
Chamise	<i>Adenostoma fasciculatum</i>	chaparral	59	55.3	10.0	500
Gallberry	<i>Ilex glabra</i>	SE coastal plain	44	55.6	39.3	500
			47		63.3	500
Lodgepole pine	<i>Pinus contorta</i>	montane	38	54.3	45.5	250
			50		82.8	150
			61		60.7	203
Manzanita	<i>Arctostaphylos</i> spp.	chaparral	54	54.3	11.1	500
			60		8.4	502
Peat	multiple species	Indonesian peat	64	60.4	177.7	344
Pocosin	multiple species	palustrine wetland	41	54.5	9.1	400
			63		8.4	799
Ponderosa pine	<i>Pinus ponderosa</i>	montane	40	55.4	74.2	250
			48		84.2	200
			57		77.6	201
Sagebrush	<i>Artemisia tridentata</i>	sage scrubland	49	51.5	15.5	300
			53		15.6	300
Saw grass	<i>Cladium jamaicense</i>	Everglades	43	50.7	10.8	350
			58		8.0	525
Turkey oak	<i>Quercus laevis</i>	SE coastal plain	45	52.5	11.4	400
			52		42.8	401
Wheat straw	<i>Triticum</i> spp.	agricultural	46	47.1	9.0	500
White spruce	<i>Picea glauca</i>	boreal	55	52.9	9.0	346
Wire grass	<i>Aristida stricta</i>	SE coastal plain	42	50.9	29.4	600
			56		12.1	500

^aFuel carbon fraction and moisture contents are expressed as percentages of dry mass. Identification numbers refer to specific burns during FLAME-III.

Figure 1b and also listed in Table 1. Akagi *et al.* [2012, 2013] and Burling *et al.* [2011] described the aircraft platform, measurement systems, and fire characteristics during SLOBB and SCREAM in more detail. The aircraft had a maximum flight endurance of approximately 4 h. Sampling for aerosol measurements was performed through a roof-mounted diffuser inlet [Yokelson *et al.*, 2007] that was superisokinetic for typical aircraft sampling speeds ($40\text{--}80\text{ m s}^{-1}$), with maximum theoretical losses of 10% for submicron particles and $< 5\%$ for $0.5\text{ }\mu\text{m}$ diameter particles and smaller. Supermicron particles were removed via an impactor with a cut size of $1\text{ }\mu\text{m}$, so losses or enhancements of supermicron particles due to the sampling configuration could be neglected.

During SLOBB, the aircraft sampled four prescribed fires in maritime chaparral vegetation (designated as Grant A, Grant B, Williams, and Atmore, based on their location) and two prescribed fires in Sierra Nevada mixed-conifer vegetation (Turtle and Shaver). A detailed description of each fire including date, fuels, area burned, and trace gas emissions are provided by Burling *et al.* [2011] and in Table 1 (excluding emissions data), which includes corrected values of burned area for the Grant A and Grant B fires originally reported by Burling *et al.* [2011]. Akagi *et al.* [2012] described measurements performed for the Williams Fire, which was the target of two research flights to characterize initial emissions and subsequent aging processes. The SCREAM aircraft measurements included high-intensity prescribed fires at the Fort Jackson (FJ) military facility near Columbia, South Carolina. We sampled three fires located on the facility, referred to as FJ 6, FJ 9b, and FJ 22b after the name of the plot of land on the base where the fire occurred. These burns included detailed inventories of fuels consumed in the fires and complementary ground-based measurements [Aurell and Gullett, 2013; Yokelson *et al.*, 2013a; Akagi *et al.*, 2014]. The second half of the project examined three prescribed fires in the surrounding region (referred to as Georgetown, Francis Marion, and Bamberg based on their location), but since these fires supplemented the FJ work and were not planned in advance, there was less information regarding the fuels consumed in these fires, and there were no ground-based measurements. Consistent with the airborne smoke marker measurements of Sullivan *et al.* [2014], our independent data suggest that there are two distinct fires at the Bamberg location; Bamberg A appears likely to be attributed to needles while Bamberg B appears likely to be attributed to marsh grasses. Akagi *et al.* [2013] described the evolution of trace gases

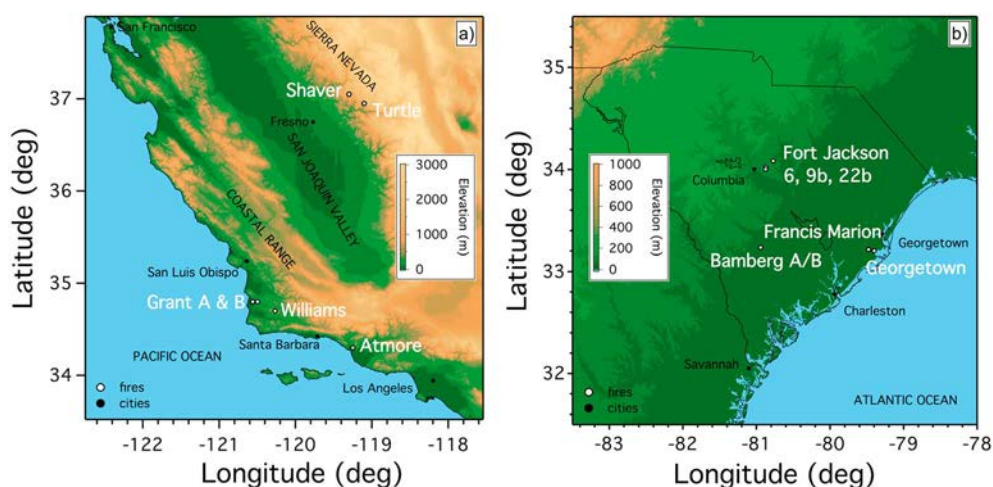


Figure 1. Topographic maps of (a) central California (SLOBB) and (b) South Carolina (SCREAM) showing locations of cities, prescribed fires, and major geographical features. Note the differences in elevation scales between the two panels. More details on fire location, area burned, and fuels consumed are provided in Table 1.

downwind of the fires investigated during SCREAM; here, we focus on characterization of aerosol species near the source. Atmospheric evolution of PM during SCREAM will be described in upcoming work.

2.2. Refractory Black Carbon Measurements

The SP2 (DMT, Inc., Boulder, Colorado) measures rBC particle mass using a laser-induced incandescence technique [Stephens *et al.*, 2003] and has been deployed in a number of aircraft-, ground- and laboratory-based studies to examine rBC concentrations and properties [e.g., Baumgardner *et al.*, 2004; Schwarz *et al.*, 2006; Moteki *et al.*, 2007; Liu *et al.*, 2011]. The instrument illuminates particles with an intracavity Nd:YAG diode pumped laser ($\lambda = 1064$ nm) with a Gaussian beam profile. Sampled particles containing sufficient absorbing material are heated to their vaporization temperature and emit radiation. While some metals present in biomass burning plumes (e.g., potassium) are strong absorbers at 1064 nm, they are typically in the form of salts (e.g., KCl and K_2SO_4), which are nonabsorbing [Yamasoe *et al.*, 2000]; furthermore, the absorption must be strong enough to heat the particle to temperatures in the range 3500–5000 K to be classified as rBC by the SP2 [Schwarz *et al.*, 2006]. The emitted light is proportional to the rBC mass of individual particles, and the exact relationship is determined via calibration with a known mass of an atmospheric rBC proxy material [Baumgardner *et al.*, 2012]. Several recent studies have investigated the SP2 response to different rBC proxy materials and found an approximately 30% variability in response depending on material [e.g., Moteki and Kondo, 2010]; furthermore, major atmospheric rBC particle types including diesel emissions, wood smoke, and ambient aerosol fell within a few percent of the range of responses to proxy materials [e.g., Laborde *et al.*, 2012]. In all three campaigns, monodisperse proxy materials were generated via a Collision-type atomizer (TSI 3076; TSI, Inc., Shoreview, Minnesota) and differential mobility analyzer (TSI 3081). We used glassy carbon spheres (density = 1.42 g cm^{-3} ; Alfa Aesar, Ward Hill, Massachusetts) as the calibration material during the SLOBB and FLAME-III campaigns and fullerene soot (density = $0.5\text{--}0.9 \text{ g cm}^{-3}$) during the SCREAM campaign. The SP2 response to these two materials may differ by up to 20%; however, as there is considerable variability in recommended calibrations in the limited available literature [e.g., Moteki and Kondo, 2010, Figure 9], we have not applied a correction to our data. A BC density of 1.8 g cm^{-3} was assumed based on Bond and Bergstrom [2006] and was used to convert the mass of a single particle to its volume (assuming spherical particles), similar to Gysel *et al.* [2011].

We did not optimize the gain settings on the SP2 incandescence detectors to examine the rBC vaporization temperature or color ratio over the full size range but instead improved the sizing resolution of the system. A faulty amplifier board on the high-gain detector caused a truncation of the incandescence signal for rBC particles with masses above 6 fg (approximately $0.18 \mu\text{m}$ mass equivalent diameter) during the FLAME-III measurements, so only the low-gain detector was used for sizing rBC particles above this size. Both detectors were fully operational during the aircraft campaigns.

During the laboratory campaign, the SP2 sampled emissions alternately downstream of a thermal denuder or an unperturbed bypass line over 1 min intervals [McMeeking *et al.*, 2014], but we restricted our analysis herein to bypass sampling periods. On the aircraft, the SP2 inlet system was modified to reduce coincidence errors due to the expected high-particle concentrations by providing a controlled, filtered, and dried dilution airflow of approximately 10:1. The SP2 data analysis procedures were also modified to account for the high concentrations of particles encountered in smoke plumes. Modifications included adding a routine to identify when more than one black carbon particle was detected within the acquisition window and controlling the instrument thresholds for particle detection in high-concentration environments either manually in real-time or in postprocessing. Refractory black carbon mass distributions were fit with lognormal functions to approximate rBC mass outside the instrument detection range (0.070–0.600 μm for rBC “cores” over our assumed density and operating parameters) and to infer the mass-median diameter of uncoated rBC particles (MMD_{rBC}). We report all rBC mass concentrations after adjustments using these lognormal corrections, which typically resulted in an increase in mass concentration by a factor of 1–1.4. Following Schwarz *et al.* [2006], we assume 10% uncertainty due to flow calibrations and 20% uncertainty in mass calibration factor, which combined provides an estimated net measurement uncertainty for the SP2 of roughly 25%.

2.3. Nonrefractory Submicron Aerosol Measurements

Nonrefractory aerosol composition was measured by two Time-of-Flight Aerosol Mass Spectrometers (ToF-AMS). A compact ToF-AMS (c-ToF-AMS) [Drewnick *et al.*, 2005] from the California Institute of Technology flew on the Twin Otter during the SLOBB measurements, and a high-resolution ToF-AMS (HR-ToF-AMS) [DeCarlo *et al.*, 2006] from Colorado State University was used for the FLAME-III and SCREAM measurements. The c-ToF-AMS instrument has been deployed on several aircraft-measurement campaigns and has been described in detail elsewhere [Murphy *et al.*, 2009; Sorooshian *et al.*, 2010]; during SLOBB, the c-ToF-AMS-measured composition using ion time-of-flight (iTOF) “V-mode” in the mass spectrometer for 4 s out of every 12 s cycle (the remainder being in particle time-of-flight, pTOF, mode, data not shown here). During FLAME-III, the HR-ToF-AMS was operating in alternating iTOF “V-mode” and “W-mode” over 30 s intervals; here we report only “V-mode” data. For SCREAM, the HR-ToF-AMS was modified for flight operation by mounting it in two NSF/NCAR GV-type aircraft racks. The HR-ToF-AMS was operated over a 6 s cycle under iTOF “V-mode”. Data from both instruments were processed using the ToF-AMS software SQUIRREL [Allan *et al.*, 2004; DeCarlo *et al.*, 2006] and PIKA [Sueper *et al.*, 2013] to obtain aerosol mass concentrations at standard temperature and pressure ($\mu\text{g sm}^{-3}$, 273.15 K and 1013.25 hPa). A particle filter (Pall, HEPA capsule P/N 12144) was placed in front of the AMS at various times throughout the flights to determine the signal interference from particle-free air. Measurement uncertainty for the mass concentration of each species was taken to be $\pm 30\%$ for both AMS data sets [Bahreini *et al.*, 2009].

Values of AMS collection efficiency (CE) applied to BB smoke vary in the literature between 0.5 and 1.0 [Weimer *et al.*, 2008; Heringa *et al.*, 2011; Akagi *et al.*, 2012], either based on assumptions made in prior work or inferred from complementary measurements, which introduces some uncertainty in reported values. For the FLAME-III laboratory data, we assume a CE = 1, consistent with the treatment of other biomass burning primary OA data from this study [Hennigan *et al.*, 2011; May *et al.*, 2013; Ortega *et al.*, 2013]. A constant CE of 0.5 was applied to the c-ToF AMS data based on the traditional approach for accounting for CE in ambient data sets [Canagaratna *et al.*, 2007] and following the treatment of SLOBB data in Akagi *et al.* [2012], but the HR-ToF AMS data during SCREAM were processed using a recently developed composition-dependent CE (CDCE) algorithm [Middlebrook *et al.*, 2012]. During SCREAM, the calculated CDCE ranged from 0.5 to nearly 1.0; however, the campaign-average value was 0.53 with higher values for more organic-rich aerosol. Hence, the treatment of both airborne data sets was roughly equivalent. These assumptions introduce a bias (up to a factor of two) to intercomparisons between the laboratory and airborne measurements; however, in both cases, the CE has been either assumed or estimated, so there is some inherent uncertainty (up to a factor of 2) associated with these values.

For the c-ToF-AMS data analysis, adjustments were made to the default fragmentation table [Allan *et al.*, 2004] for sulfate and nitrate ion fragment signals in the mass spectrum. Under high-aerosol loadings, such as in a smoke plume, the contributions of organic ions with the same nominal mass as inorganic ions can be higher than in the default fragmentation table. The sulfate ion fragment SO^+ at m/z 48 has little interference

from organic fragments (even at high-aerosol loadings), so the contributions to sulfate from the three major remaining fragments (SO_2^+ , SO_3^+ , and H_2SO_4^+) were reconstructed based on a linear relationship with the SO^+ during a period of low-organic interference from the same flight. The nitrate ion NO^+ at m/z 30 also has organic interference and was reconstructed in a similar manner with the other main nitrate ion, NO_2^+ at m/z 46 [Bae *et al.*, 2007]. For the HR-ToF-AMS, these issues do not apply, since it can usually resolve the inorganic and organic ions at the same nominal mass. Hereafter, we will simply refer to both the c-ToF-AMS and HR-ToF-AMS measurements as AMS measurements.

2.4. Trace Gas Measurements

During the laboratory campaign, mixing ratios of CO and CO_2 were measured by a variable-range gas filter correlation analyzer (Thermo Environmental Model 48C; Thermo Fisher Scientific, Inc., Waltham, Massachusetts) and a nondispersive infrared (NDIR) gas analyzer (Li-Cor Model 6262; Li-Cor Biosciences, Lincoln, Nebraska), respectively. The gas analyzers were calibrated with standards of known concentrations before and after each burn experiment. The estimated accuracy/precision of the measurements was 1%/0.1% for CO_2 and 2%/1% for CO [McMeeking *et al.*, 2009]. During SLOBB aircraft measurements, CO_2 mixing ratios were measured continuously by the NDIR gas analyzer at 0.5–1 Hz from the same inlet as the SP2. During the SCREAM aircraft measurements, CO_2 , CO, CH_4 , and water vapor mixing ratios were measured by a cavity ring-down spectrometer (CRDS; Picarro G2401; Picarro, Inc., Santa Clara, California), calibrated in-flight with mixed $\text{CO}/\text{CO}_2/\text{CH}_4$ standards, following Urbanski [2013].

An airborne Fourier transform infrared spectrometer system (AFTIR) collected “grab” samples outside and inside of the smoke plumes [Burling *et al.*, 2011; Akagi *et al.*, 2013]. Sample spectra were analyzed to determine mixing ratios of CO, CO_2 , and additional gas-phase compounds described elsewhere [Burling *et al.*, 2011; Akagi *et al.*, 2012, 2013]. The AFTIR system detection limits ranged from 1 to 10 ppbv for most species depending on the spectral averaging time.

2.5. Sampling and Analysis Procedures

The aircraft sampling procedure varied from flight-to-flight, but the following general approach was used to characterize the fire emissions in most situations. The aircraft first sampled “fresh” emissions at the fire source over a range of altitudes up to a few thousand meters for up to 2 h, and if air traffic control restrictions permitted, flew downwind of the fire to sample the aged but still relatively young emissions in a quasi-Lagrangian manner. Examples of flight tracks are provided elsewhere [Akagi *et al.*, 2012, 2013]. Concentrations of the various species were measured across each plume intercept to obtain plume-integrated values. The measurements near the source were used to determine the emission ratios and emission factors for each species, as described below. There was no discernable effect of altitude on emission ratios or emission factors.

During the laboratory campaign, the excess mixing ratios (denoted by Δ) were calculated by subtracting the background concentrations of CO, CO_2 , rBC, and AMS-measured components in the time interval immediately prior to fuel ignition. The background CO_2 concentrations drifted slightly during each experiment, so there was some subjectivity and resulting uncertainty in calculating ΔCO_2 , particularly for fires that did not emit much CO_2 . During aircraft measurements, time-dependent background concentrations were collected outside of the plume, as the background values varied with location over the duration of the flight.

Excess CO and CO_2 molar mixing ratios were used to determine the modified combustion efficiency (MCE) [Yokelson *et al.*, 1996]:

$$\text{MCE} = \frac{\Delta\text{CO}_2}{\Delta\text{CO}_2 + \Delta\text{CO}} \quad (1)$$

Higher-MCE values indicate a greater contribution from flaming combustion emissions, and lower MCE values indicate a greater contribution from smoldering combustion emissions. We estimated the uncertainty in MCE during FLAME-III arising from the uncertainty in the background CO_2 mixing ratio by comparing two independent calculations of MCE by separate project investigators (this work and Hennigan *et al.* [2011]). Agreement between the two measurements diverged as ΔCO_2 decreased due to low ΔCO_2 signal-to-noise over the background CO_2 value. Differences in calculated MCE between the two independent approaches ranged from roughly 0.5% for MCE of 0.94–0.97 to roughly 2% for MCE of 0.87–0.90.

Fire-averaged mass ER for each species (X) were either directly calculated from the mass ratio of ΔX to ΔCO for emissions sampled in the laboratory or from the regression of the plume-integrated source samples during the aircraft measurements, with the y intercept forced through zero, since all data were background corrected. Emission factors (EF), which relate the mass of X emitted to the mass of dry-fuel consumed, were calculated using the carbon mass balance method [Ward and Hardy, 1991]. In this work, we report both ER and EF; both can be used to estimate total fresh emissions, and they are interchangeable if the emission factor of CO (EF_{CO}) is known. As plumes dilute, their concentrations normalized to CO can be compared to ER as a probe of physicochemical evolution [de Gouw et al., 2008; Bahreini et al., 2009; DeCarlo et al., 2010; Akagi et al., 2013]. Furthermore, CO is a more robust tracer for long-range transport of biomass burning emissions [e.g., Yokelson et al., 2009; Cubison et al., 2011] since CO_2 may be lost due to uptake by plants and bodies of water. The use of ER also removes the need for any a priori knowledge of the sampled fire that are required to calculate EF (e.g., carbon content of the fuel) or implement EF into chemical transport models (e.g., area burned, fuel loading within the area, and fraction of fuel consumed).

Measurements of ΔCO and ΔCO_2 were used to estimate the total carbon emitted during the laboratory experiments, but the aircraft total carbon estimates also included carbon in gases measured by the AFTIR system. Neglecting carbon mass in compounds not detected by the AFTIR system and in particles generally overestimates the emission factors by only 1–2% due to the small amount of carbon present in particles and gases other than CH_4 , CO, and CO_2 , although in certain cases, carbon contained in the aerosol and nonmethane organic gases can represent a nonnegligible contribution [Watson et al., 2011; Yokelson et al., 2013a]. For the airborne measurements described during this work, CO and CO_2 represented >97% of total measured carbon emissions [Burling et al., 2011; Akagi et al., 2013]. Fuel carbon mass fraction (F_C), on a dry mass of fuel basis, was measured for laboratory fuels (Table 2) based on the combustion method [Allen et al., 1974] and was assumed to be 50% for unknown fuels burned during the subset of prescribed fires that did not have fuel measurements. The measured carbon content in fuels similar to those consumed in the fires sampled during the SLOBB and SCREAM airborne studies ranged from 48 to 55% [McMeeking et al., 2009; Burling et al., 2011].

3. Results and Discussion

We grouped the prescribed fires and fuels burned in the laboratory by ecosystem type as listed in Table 2. The prescribed fires measured during SLOBB took place in maritime chaparral and Sierra Nevada montane ecosystems, and the prescribed fires measured during SCREAM all occurred in the southeastern U.S. coastal plain ecosystem. The fuels tested during FLAME-III included several species from these ecosystems, namely manzanita, chamise, and ceanothus (chaparral), ponderosa and lodgepole pine (montane), and gallberry, turkey oak, wire grass, and the pocosin composite sample (SE coastal plain). We also burned several fuels during FLAME-III from ecosystems not sampled with the aircraft. Note that for all FLAME-III experiments, we examined fire-integrated or fire-averaged emissions, rather than real-time emission data.

The fire-integrated MCE values observed over the duration of the burn during the FLAME-III laboratory measurements ranged between approximately 0.85 and 0.96, reflecting the variability in combustion conditions from burn to burn. MCE values measured at various plume locations during the aircraft campaigns ranged from 0.89 to 0.95 during SLOBB and 0.92 to 0.97 during SCREAM. This variability between laboratory and aircraft measurements may be due to natural variability in MCE caused by fuel composition, moisture content, or loading, or due to laboratory measurements representing fire-integrated values (i.e., over all combustion phases). Further, Akagi et al. [2014] compared ground and airborne measurements of MCE during SCREAM and found that ground-level MCE was roughly 10% less than the airborne MCE; hence, the emissions aloft may be more influenced by flaming combustion. Nevertheless, we relied on the MCE to attempt to account for differences in combustion conditions when comparing aircraft and laboratory measurements of particle emissions in the following sections. MCE cannot, however, explain all of the variance in emissions, so there was residual variance due to the other factors listed above (e.g., fuel composition and fuel loading).

In the subsequent sections, we report emission ratios of ΔrBC to ΔCO (ER_{rBC}) with units of $ng\ rBC\ sm^{-3}\ ppbv\ CO^{-1}$, following the standard convention in SP2 literature. However, we report emission ratios of other aerosol constituents on a mass basis (e.g., $ER_{OA} = [g\ OA\ g\ CO^{-1}]$). To convert reported ER_{rBC} to mass ratios,

Table 3. Emission Ratios Measured for Aerosol Components During Individual Laboratory Burns and Prescribed Fires as Well as Averages by Ecosystem Types^a

Fuel/Fire	Type	MCE	Fuel Moisture (Dry wt %)	rBC (ng sm ⁻³ ppbv ⁻¹)	OA (g g ⁻¹)	SO ₄ ²⁻ (mg g ⁻¹)	NO ₃ ⁻ (mg g ⁻¹)	NH ₄ ⁺ (mg g ⁻¹)	Chl ⁻ (mg g ⁻¹)	PM ₁ (g g ⁻¹)	C _{OA} (μg sm ⁻³) ^e
<i>Chaparral</i>											
Ceanothus	L	0.942	9.9	-	0.048	3.0	0.8	0.1	1.7	-	945
Chamise	L	0.943	10.0	22.1	0.008	3.7	0.2	0.0	0.6	0.04	72
Manzanita (54)	L	0.956	11.1	25.2	0.015	1.0	0.4	0.1	1.4	0.04	120
Manzanita (60)	L	0.956	8.4	26.8	0.013	2.1	0.3	0.1	1.0	0.05	115
Atmore fire ^c	A	0.947	<i>n/a</i>	23.2	0.003	-	-	-	0.10	0.02	2.3
Grant A fire	A	0.938	<i>n/a</i>	27.9	0.033	0.19	0.59	0.36	1.7	0.06	88
Grant B fire	A	0.903	<i>n/a</i>	16.4	0.033	0.10	0.45	0.12	0.23	0.05	134
Williams fire	A	0.933	<i>n/a</i>	21.4	0.078	0.13	2.1	1.3	1.1	0.10	734
Laboratory average	L	0.949 ± 0.008	9.9 ± 1.1	24.7 ± 2.4	0.021 ± 0.018	2.5 ± 1.2	0.4 ± 0.2	0.07 ± 0.03	1.2 ± 0.5 ^d	0.043 ± 0.006	313 ± 421
Aircraft average ^c	A	0.924 ± 0.019	<i>n/a</i>	21.9 ± 5.8	0.048 ± 0.026	0.14 ± 0.04	1.05 ± 0.92	0.60 ± 0.63	1.01 ± 0.74 ^d	0.070 ± 0.026	319 ± 360
<i>Montane</i>											
Lodgepole pine (38)	L	0.921	45.5	6.1	0.60	1.7	1.6	0.30	2.4	0.62	3160
Lodgepole pine (50)	L	0.889	82.8	2.0	1.24	2.1	5.6	0.66	1.0	1.25	3490
Lodgepole pine (61)	L	0.883	60.7	2.3	1.14	2.1	4.7	0.70	1.3	1.15	4980
Ponderosa pine (40)	L	0.889	74.2	1.5	1.53	1.5	2.9	0.59	0.7	1.53	6710
Ponderosa pine (48)	L	0.871	84.2	-	1.14	2.0	4.1	0.60	0.6	-	3620
Ponderosa pine (57)	L	0.892	77.6	2.1	1.19	1.9	4.7	0.78	0.7	1.20	5770
Shaver fire	A	0.885	<i>n/a</i>	6.7	0.104	0.07	1.7	0.48	0.13	0.11	174
Turtle fire	A	0.913	<i>n/a</i>	6.3	0.095	0.07	1.8	0.67	0.13	0.10	195
Laboratory average	L	0.891 ± 0.017	70.8 ± 14.9	2.8 ± 1.9	1.14 ± 0.30	1.9 ± 0.2	3.9 ± 1.5	0.6 ± 0.2	1.1 ± 0.7 ^d	1.15 ± 0.33	4620 ± 1430
Aircraft average	A	0.899 ± 0.020	<i>n/a</i>	6.5 ± 0.3	0.10 ± 0.01	0.07 ± 0.001	1.7 ± 0.06	0.58 ± 0.13	0.13 ± 0.001 ^d	0.11 ± 0.01	185 ± 15
<i>SE Coastal Plain</i>											
Gallberry (44)	L	0.954	39.3	18.0	0.19	2.9	1.0	0.1	1.3	0.21	1490
Gallberry (47)	L	0.947	63.3	18.9	0.29	1.7	1.2	0.1	0.9	0.31	1580
Pocosin (41)	L	0.960	9.1	21.5	0.03	0.7	0.3	0.1	0.7	0.05	168
Pocosin (63)	L	0.950	8.4	12.0	0.04	0.5	0.4	0.1	0.7	0.06	517
Turkey oak (45)	L	0.947	11.4	19.5	0.02	1.0	0.3	0.5	2.9	0.05	177
Turkey oak (52)	L	0.900	42.8	4.8	0.34	0.5	1.5	0.6	3.6	0.35	3770
Wire grass (42)	L	0.969	29.4	-	0.07	0.8	0.3	2.1	14.8	-	380
Wire grass (56)	L	0.959	12.1	16.0	0.20	0.8	1.3	1.4	11.1	0.23	869
FJ 6 fire	A	0.932	<i>n/a</i>	13.0	-	-	-	-	-	-	-
FJ 9a fire	A	0.919	<i>n/a</i>	8.2	0.026	1.0	0.43	0.37	0.14	0.035	904
FJ 22b fire	A	0.935	<i>n/a</i>	17.1	0.063	1.6	1.4	0.76	0.38	0.08	2200
Georgetown fire	A	0.938	<i>n/a</i>	21.8	0.028	1.3	1.5	1.5	5.4	0.06	266
Francis Marion fire	A	0.933	<i>n/a</i>	37.0	0.036	1.1	0.99	0.48	0.92	0.07	604
Bamberg A fire	A	0.943	<i>n/a</i>	16.7	0.047	4.5	2.0	1.6	0.53	0.07	393
Bamberg B fire	A	0.973	<i>n/a</i>	11.4	0.020	8.8	2.2	2.5	0.33	0.04	135
Laboratory average	L	0.948 ± 0.021	27.0 ± 20.1	15.8 ± 5.7	0.15 ± 0.13	1.1 ± 0.8	0.8 ± 0.5	0.6 ± 0.8	4.5 ± 5.4 ^d	0.18 ± 0.13	1120 ± 1200
Aircraft average	A	0.936 ± 0.014	<i>n/a</i>	17.9 ± 9.5	0.037 ± 0.016	3.1 ± 3.1	1.4 ± 0.6	1.2 ± 0.8	1.3 ± 2.1 ^d	0.06 ± 0.02	750 ± 760
<i>Boreal</i>											
Alaskan duff	L	0.900	19.2	0.5	0.12	0.3	0.8	0.2	0.1	0.12	832
Black spruce	L	0.957	10.9	19.3	0.07	0.4	0.4	0.1	1.0	0.10	233
White spruce	L	0.950	9.0	41.6	0.23	1.2	1.1	0.1	1.3	0.28	934
Lab average	L	0.936 ± 0.031	13.0 ± 5.4	20.5 ± 20.6	0.14 ± 0.08	0.6 ± 0.5	0.8 ± 0.3	0.1 ± 0.1	0.8 ± 0.6 ^d	0.17 ± 0.10	666 ± 379
<i>Others</i>											
Indonesian peat	L	0.891	177.7	0.03	0.20	0.4	0.8	0.4	0.4	0.20	1110
Sagebrush (49) ^b	L	0.925	15.5	20.0	0.02	8.2	0.7	0.1	3.4	0.05	154
Sagebrush (53) ^b	L	0.924	15.6	21.3	0.01	3.1	0.8	0.1	2.2	0.04	99
Saw grass (43) ^b	L	0.958	10.8	28.0	0.06	1.6	0.4	2.3	14.2	0.11	326
Saw grass (58) ^b	L	0.939	8.0	16.2	0.28	2.0	1.2	3.8	25.3	0.33	3044
Wheat straw	L	0.913	9.0	5.7	0.02	1.5	0.2	0.0	0.6	0.03	350

^aType indicates either laboratory measurements (L) or aircraft measurement (A). Numbers in parentheses indicate specific burn IDs in the case of repeated fuels during FLAME-III. Ecosystem averages are reported ± 1 standard deviation. Units for rBC are presented based on standard convention; conversion to g rBC g CO₂⁻¹ can be achieved via multiplication by a factor of 8.7 × 10⁻⁴. PM₁ refers to particulate matter with aerodynamic diameter less than 1 μm as represented by the sum of rBC, OA, SO₄²⁻, NO₃⁻, NH₄⁺, and Chl⁻. Airborne MCE is based on Fourier transform infrared measurements [Burling et al., 2011; Akagi et al., 2013], while laboratory MCE was calculated from gas analyzer measurements. Fuel moistures are repeated from Table 2. Also provided are fire averaged (laboratory) and average plume-integrated (aircraft) OA mass concentrations (C_{OA}).

^bSagebrush and saw grass may sometimes be classified as chaparral and SE coastal plain fuels, respectively.

^cAtmore fire data excluded from average values, as described in the text.

^dAverage of PM₁, not sum of the average of the components. This value differs slightly from the sum of the averages due to the exclusion of certain components that were unavailable (e.g., rBC for ponderosa pine with burn ID = 48).

^eFire-averaged OA mass concentration for laboratory measurements, average plume-integrated OA mass concentration for aircraft measurements.

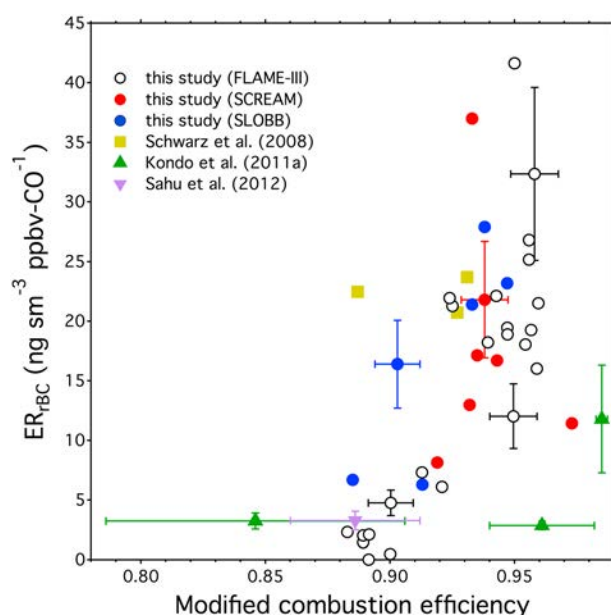


Figure 2. Fire-averaged rBC emission ratios as a function of modified combustion efficiency for the FLAME-III laboratory burns and for aircraft measurements over prescribed fires. Representative measurement uncertainties of $\pm 25\%$ in rBC measurements, 2% in CO measurements, and 1% in CO₂ measurements are propagated and shown for select data from our study. Published data for biomass burning plumes of varying atmospheric ages from Schwarz et al. [2008], Kondo et al. [2011b], and Sahu et al. [2012] are shown for comparison; uncertainty bars represent 1 standard deviation, where available, for these data.

the reader should apply a factor of 8.7×10^{-4} to convert our reported values of $\text{ng sm}^{-3} \text{ppbv CO}^{-1}$ to g rBC g CO^{-1} . All emission factors are reported as $\text{g kg dry-fuel consumed}^{-1}$ (hereafter, shortened to g kg fuel^{-1} but still indicating $\text{kg dry-fuel consumed}$). For each ecosystem/campaign, we report values as average ± 1 standard deviation (1σ), unless otherwise noted. Further, we refer to two-tailed p values from unpaired t tests providing comparisons between laboratory and airborne data simply as “ p values” for brevity; however, in all cases, the number of samples used in the t test calculations is small (≤ 6), so additional data are required to increase the strength of these statistical comparisons.

3.1. Refractory Black Carbon Emissions

3.1.1. rBC Emission Ratios

Since the absolute concentrations of an emitted species measured over a fire depend on dilution and fuel consumption rates, we used emission ratios to aid the comparison of emissions from different fires. Values of ER_{rBC} for 27 laboratory burns and prescribed fires are listed in Table 3 and also shown in Figure 2 plotted against MCE.

They ranged from approximately 0 to $40 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$ and tended to be lowest for laboratory burns characterized by predominantly smoldering combustion and highest for laboratory burns dominated by flaming combustion. The chaparral fires had the highest average ER_{rBC} values, with laboratory values of $24.7 \pm 2.4 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$ and aircraft values of $21.9 \pm 5.8 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$. We have excluded the Atmore fire from this, and subsequent, averages for chaparral fires as it was a very small ($\sim 10 \text{ ha}$) coastal fire, and it was considered to be a statistical outlier, having an rBC-to-OA ratio that was roughly 23 standard deviations greater than the average for the other aircraft data (Grant A, Grant B, and Williams). The montane fuels had the lowest ER_{rBC} , emitting $2.8 \pm 1.9 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$ in the laboratory and $6.5 \pm 0.3 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$ during airborne sampling. Southeastern U.S. coastal plain fuels and fires had a laboratory-measured ER_{rBC} of $15.8 \pm 5.7 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$ and an aircraft-measured ER_{rBC} of $17.9 \pm 9.5 \text{ ng rBC sm}^{-3} \text{ppbv CO}^{-1}$. The relatively good agreement observed between laboratory- and aircraft-measured emissions of rBC from chaparral and SE coastal plain fires (p values = 0.453 and 0.630, respectively) provides some confidence in the representativeness of using the laboratory emission measurements to predict rBC emissions in the absence of field data. We note also that, within a fuel class, the MCE varied between laboratory and field data; for example, the average laboratory MCE for chaparral fuels was roughly 0.025 greater than the average MCE measured above chaparral prescribed fires. Since rBC emissions depend on MCE, we expect some variability due to this factor.

The aircraft-measured ER_{rBC} for montane prescribed fires were roughly a factor of 2 higher than the laboratory measurements (Table 3), which is the largest discrepancy among all laboratory/field comparisons for rBC (p value = 0.046), although we are only comparing six laboratory-derived values to two airborne-derived values. Possible causes of this difference include, but may not be limited to, the following: (1) laboratory MCE for montane fuels was slightly lower than MCE measured in the aircraft for this ecosystem (0.891 versus 0.899); (2) only pine needles and branches were burned in the laboratory for montane ecosystem fuels, while shrub-layer species and downed dead wood were burned during the two prescribed

fires; (3) the structure of the fuel bed in the laboratory is better maintained for shrubs and grasses compared to trees; and (4) emissions of OA were sometimes very high in the laboratory (see discussion in section 3.3 below), and the unidentified factors driving high OA may have also resulted in low rBC. For example, both *Chen et al.* [2010] and *Hayashi et al.* [2014] observe some decreases in EC emissions for fuels with increased moisture content. Hence, it is likely that the laboratory burns were not fully representative of the prescribed fires for these four reasons, although differences in fuels consumed and fuel moisture content (related to the fourth item in the list) may be most important. Conversely, chaparral and southeastern prescribed fires tended to burn grasses and shrubs that were also studied in the laboratory; average field and laboratory ER_{rBC} for these fires agreed within 13% (excluding Atmore) for chaparral and 12% for southeastern prescribed fires (relative percent difference).

Refractory black carbon is emitted by flaming combustion, so we expected higher emissions from fires that had a larger MCE, as indicated in Figure 2. The relationship between ER_{rBC} and MCE was generally consistent for both laboratory- and aircraft-measured fires, suggesting laboratory and prescribed fires produced similar amounts of rBC relative to CO for similar MCE, despite all the differences between the conditions in the laboratory and the field. Hence, MCE appears to be a useful parameter for describing the variability in ER_{rBC} measured for different fires, so intercomparisons of ER_{rBC} from different studies should be accompanied by MCE as a diagnostic.

3.1.2. rBC Emission Factors

Emission factors for rBC (EF_{rBC}) for the laboratory and prescribed fire emissions are listed in Table 4 and shown as a function of MCE in Figure 3a. Laboratory fires had the largest range in EF_{rBC} , with some producing little measurable rBC above background concentrations and others emitting as much as $2.7 \text{ g rBC kg fuel}^{-1}$. Ecosystem-averaged EF_{rBC} measured from the aircraft were $1.43 \pm 0.13 \text{ g kg fuel}^{-1}$ for chaparral (excluding Atmore), $0.59 \pm 0.13 \text{ g kg fuel}^{-1}$ for montane, and $1.11 \pm 0.67 \text{ g kg fuel}^{-1}$ for SE coastal plain prescribed fires. Emission factors had a similar relationship with MCE as was observed for ER_{rBC} , again reflecting the role of flaming combustion in the production of rBC; however, the coefficient of determination (R^2) value of a global linear regression of these data was only 0.265, suggesting that other factors likely affect the variability in the emission factors.

3.1.3. Comparison to Prior Measurements

There are few studies that have used the SP2 to measure rBC emissions from fires or from prescribed fires specifically. *Kondo et al.* [2011b] measured rBC with an SP2 in a number of smoke plumes over North America, as summarized in Figure 2. They report average ER_{rBC} values of $11.8 \pm 4.5 \text{ ng rBC sm}^{-3} \text{ ppbv CO}^{-1}$ for plumes originating in Asia ($MCE = 0.985 \pm 0.002$), $3.25 \pm 0.678 \text{ ng rBC sm}^{-3} \text{ ppbv CO}^{-1}$ for plumes originating in Canada ($MCE = 0.846 \pm 0.060$), and $2.86 \pm 0.35 \text{ ng rBC sm}^{-3} \text{ ppbv CO}^{-1}$ for plumes originating in California ($MCE = 0.961 \pm 0.021$). MCE calculated from excess CO_2 and CO for highly aged and dilute plumes (e.g., Asian plumes sampled over North America) is more uncertain compared to measurements near the source where CO and CO_2 are highly elevated above background levels [*Yokelson et al.*, 2013b]. If the calculated MCE was too large due to uncertainties with long-range transport (e.g., as ΔCO_2 and ΔCO approach zero, and hence, excess signal-to-noise decreases), this may potentially explain the discrepancy between the *Kondo et al.* [2011b] ER_{rBC} measurements and our observations. The only other aircraft-based rBC measurements of which we are aware were made by *Schwarz et al.* [2008], who intercepted two smoke plumes over Texas they attributed to brush fires, *Sahu et al.* [2012], who sampled fire plumes over California, and *Dahlkötter et al.* [2014], who detected biomass burning plumes transported from North America over Europe. *Schwarz et al.* [2008] observed an ER_{rBC} of $22.3 \pm 1.5 \text{ ng BC sm}^{-3} \text{ ppbv CO}^{-1}$ averaged over three plume intercepts, similar to our observations over California chaparral fires, while *Sahu et al.* [2012] observed much lower ER_{rBC} of $3.28 \pm 0.97 \text{ ng rBC sm}^{-3} \text{ ppbv CO}^{-1}$. The data from these previous studies have also been included in Figure 2 and compare reasonably well to our data when the effects of MCE are considered; *Dahlkötter et al.* [2014] do not report ER_{rBC} in their work. As a point of reference, urban/fossil fuel ER_{rBC} reported in the literature range from roughly 1.5 to $7 \text{ ng rBC sm}^{-3} \text{ ppbv CO}^{-1}$ [*Baumgardner et al.*, 2007; *Schwarz et al.*, 2008; *McMeeking et al.*, 2010; *Subramanian et al.*, 2010; *Sahu et al.*, 2012].

Emission ratios measured for aged emissions may also be influenced by the removal of BC from the smoke plume due to wet and dry deposition processes. Both our study and the *Schwarz et al.* [2008] measurements were restricted to emissions sampled within an hour of emission. The *Kondo et al.* [2011b] observations

Table 4. Emission Factors Measured for Aerosol Components During Individual Laboratory Burns and Prescribed Fires as Well as Averages^a

Fire/Fuel	Type	MCE	Fuel Moisture (Dry wt. %)	rBC	OA	SO ₄ ²⁻	NO ₃ ⁻	NH ₄ ⁺	Cl ⁻	PM ₁	C _{OA} (μg sm ⁻³) ^e
<i>Chaparral</i>											
Ceanothus	L	0.942	9.9	-	3.4	0.22	0.05	0.00	0.12	-	945
Chamise	L	0.943	10.0	1.73	0.6	0.28	0.02	0.00	0.05	2.7	72
Manzanita (54)	L	0.956	11.1	1.49	0.8	0.06	0.02	0.00	0.08	2.5	120
Manzanita (60)	L	0.956	8.4	1.59	0.7	0.11	0.02	0.01	0.05	2.5	115
Atmore fire ^c	A	0.947	<i>n/a</i>	1.13	0.2	-	-	-	0.01	1.3	2.3
Grant A fire	A	0.938	<i>n/a</i>	1.56	2.3	0.01	0.04	0.03	0.12	4.1	88
Grant B fire	A	0.903	<i>n/a</i>	1.43	3.6	0.01	0.05	0.01	0.03	5.1	134
Williams fire	A	0.933	<i>n/a</i>	1.30	5.9	0.01	0.16	0.10	0.08	7.4	734
Laboratory average	L	0.949 ± 0.008	9.9 ± 1.1	1.60 ± 0.12	1.4 ± 1.3	0.17 ± 0.10	0.03 ± 0.02	0.00 ± 0.00	0.07 ± 0.03	2.6 ± 0.1 ^d	313 ± 421
Aircraft average ^c	A	0.925 ± 0.019	<i>n/a</i>	1.43 ± 0.13	3.9 ± 1.8	0.01 ± 0.01	0.08 ± 0.07	0.05 ± 0.05	0.08 ± 0.05	5.5 ± 1.7	319 ± 360
<i>Montane</i>											
Lodgepole pine (38)	L	0.921	45.5	0.65	65.3	0.18	0.17	0.03	0.26	66.5	3160
Lodgepole pine (50)	L	0.889	82.8	0.30	184.4	0.25	0.67	0.09	0.14	185.9	3490
Lodgepole pine (61)	L	0.883	60.7	0.36	168.9	0.31	0.70	0.10	0.19	170.5	4980
Ponderosa pine (40)	L	0.889	74.2	0.22	218.1	0.21	0.41	0.08	0.10	219.1	6710
Ponderosa pine (48)	L	0.871	84.2	-	189.4	0.34	0.69	0.10	0.10	-	3620
Ponderosa pine (57)	L	0.892	77.6	0.31	191.9	0.30	0.76	0.11	0.11	193.5	5770
Shaver fire	A	0.885	<i>n/a</i>	0.68	13.2	0.01	0.2	0.06	0.02	14.1	174
Turtle fire	A	0.913	<i>n/a</i>	0.49	9.3	0.01	0.2	0.07	0.01	10.0	195
Laboratory average	L	0.891 ± 0.017	70.8 ± 14.9	0.37 ± 0.16	169.7 ± 53.6	0.26 ± 0.06	0.57 ± 0.23	0.09 ± 0.03	0.15 ± 0.06	167.1 ± 58.9 ^d	4620 ± 1430
Aircraft average	A	0.899 ± 0.020	<i>n/a</i>	0.59 ± 0.13	11.2 ± 2.7	0.01 ± 0.00	0.20 ± 0.00	0.06 ± 0.00	0.01 ± 0.00	12.1 ± 2.9	185 ± 15
<i>SE Coastal Plain</i>											
Gallberry (44)	L	0.954	39.3	1.13	11.2	0.18	0.06	0.01	0.08	12.7	1490
Gallberry (47)	L	0.947	63.3	1.37	21.1	0.13	0.09	0.01	0.06	22.7	1580
Pocosin (41)	L	0.960	9.1	1.17	1.5	0.04	0.02	0.00	0.04	2.8	168
Pocosin (63)	L	0.950	8.4	0.82	2.8	0.03	0.02	0.01	0.05	3.7	517
Turkey oak (45)	L	0.947	11.4	1.33	1.6	0.07	0.02	0.03	0.21	3.2	177
Turkey oak (52)	L	0.900	42.8	0.62	41.3	0.06	0.18	0.08	0.44	42.7	3770
Wire grass (42)	L	0.969	29.4	-	2.9	0.04	0.01	0.09	0.63	-	380
Wire grass (56)	L	0.959	12.1	0.83	9.6	0.04	0.06	0.07	0.54	11.1	869
FJ 6 fire	A	0.932	<i>n/a</i>	0.81	-	-	-	-	-	-	-
FJ 9a fire	A	0.919	<i>n/a</i>	0.68	2.54	0.10	0.04	0.04	0.01	3.42	904
FJ 22b fire	A	0.935	<i>n/a</i>	1.29	5.66	0.15	0.12	0.07	0.03	7.32	2200
Georgetown fire	A	0.938	<i>n/a</i>	1.36	2.09	0.09	0.11	0.11	0.40	4.16	266
Francis Marion fire	A	0.933	<i>n/a</i>	2.40	2.82	0.09	0.08	0.04	0.07	5.49	604
Bamberg A fire	A	0.943	<i>n/a</i>	0.94	3.12	0.30	0.13	0.10	0.04	4.63	393
Bamberg B fire	A	0.973	<i>n/a</i>	0.31	0.64	0.28	0.07	0.08	0.01	1.40	135
Laboratory average	L	0.948 ± 0.021	27.0 ± 20.1	1.04 ± 0.29	11.5 ± 13.8	0.07 ± 0.05	0.06 ± 0.05	0.04 ± 0.04	0.26 ± 0.24	14.1 ± 14.5 ^d	1120 ± 1200
Aircraft average	A	0.936 ± 0.014	<i>n/a</i>	1.11 ± 0.67	2.8 ± 1.6	0.17 ± 0.10	0.09 ± 0.03	0.07 ± 0.03	0.09 ± 0.15	4.4 ± 2.0	750 ± 760
<i>Boreal</i>											
Alaskan duff	L	0.900	19.2	0.06	27.5	0.06	0.17	0.05	0.03	27.9	832
Black spruce	L	0.957	10.9	1.11	4.1	0.02	0.02	0.01	0.05	5.3	233
White spruce	L	0.950	9.0	2.72	14.3	0.08	0.07	0.00	0.08	17.3	934
Laboratory average	L	0.936 ± 0.031	13.0 ± 5.4	1.29 ± 1.34	15.3 ± 11.7	0.05 ± 0.03	0.09 ± 0.08	0.02 ± 0.02	0.06 ± 0.02	16.8 ± 11.3 ^d	666 ± 379
<i>Others</i>											
Indonesian peat	L	0.891	177.7	0.01	34.5	0.07	0.16	0.07	0.07	34.9	1110
Sagebrush (49) ^b	L	0.925	15.5	2.02	1.7	0.74	0.06	0.01	0.30	4.9	154
Sagebrush (53) ^b	L	0.924	15.6	2.12	1.1	0.28	0.07	0.01	0.20	3.8	99
Saw grass (43) ^b	L	0.958	10.8	1.70	2.9	0.08	0.02	0.12	0.73	5.6	326
Saw grass (58) ^b	L	0.939	8.0	1.38	20.3	0.14	0.08	0.28	1.81	24.0	3044
Wheat straw	L	0.913	9.0	0.74	2.1	0.14	0.02	0.00	0.05	3.0	350

^aType indicates either laboratory measurements (L) or aircraft measurement (A). Aircraft measurements are restricted to values near the source and do not account for changes in the emission factor due to dilution. Numbers in parentheses indicate specific burn IDs in the case of repeated fuels during FLAME-III. Ecosystem averages are reported ± 1 standard deviation. Units for all components are g kg dry-fuel consumed⁻¹. PM₁ refers to particulate matter with aerodynamic diameter less than 1 μm as represented by the sum of rBC, OA, SO₄²⁻, NO₃⁻, NH₄⁺, and Cl⁻. Fuel moisture is repeated from Table 2 while MCE and C_{OA} are repeated from Table 3.

^bSagebrush and saw grass may sometimes be classified as chaparral and SE coastal plain fuels, respectively.

^cAtmore fire data excluded from average values, as described in the text.

^dAverage of PM₁, not sum of the average of the components. This value differs slightly from the sum of the averages due to the exclusion of certain components that were unavailable (e.g., rBC for ponderosa pine with burn ID = 48).

^eFire-averaged OA mass concentration for laboratory measurements, average plume-integrated OA mass concentration for aircraft measurements.

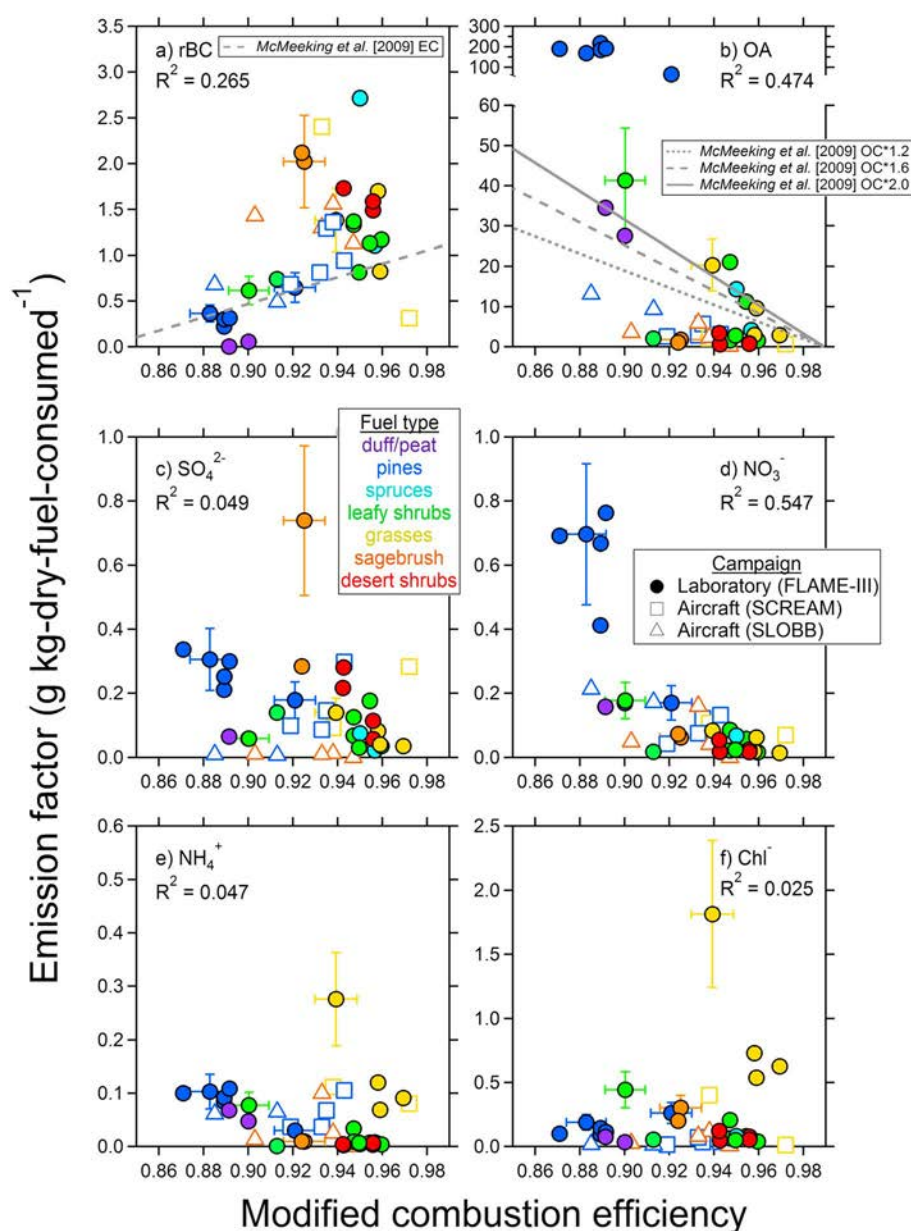


Figure 3. Emission factors measured for (a) refractory black carbon (rBC) compared to EC from *McMeeking et al.* [2009]; (b) organic aerosol (OA) compared to the fit for OC from *McMeeking et al.* [2009] multiplied by factors of 1.2, 1.6, and 2.0 (see text for details); (c) nitrate (NO_3^-); (d) sulfate (SO_4^{2-}); (e) ammonium (NH_4^+); and (f) chloride (Cl^-) in the laboratory (FLAME-III) and over prescribed fires by aircraft during the SLOBB (California) and SCREAM (South Carolina) campaigns. Points are colored according to approximate fuel classification. Representative measurement uncertainties of $\pm 30\%$ in AMS measurements, $\pm 25\%$ in rBC measurements, 2% in CO measurements, and 1% in CO_2 measurements are propagated and provided for select data from this study. Coefficients of determination derived from global linear regressions of each species are also provided.

included much older smoke plumes, but they also restricted their analysis to samples that had minimal influence from precipitation based on an analysis of backward trajectories. *Sahu et al.* [2012] do not report sample age, but they sampled biomass burning emissions from wildfires in California during a flight campaign over California, restricting their data to those with excess acetonitrile (a gas-phase tracer for biomass burning) greater than 300 pptv. Possible reasons for differences between the aged plumes in previous work and our measurements of young plumes include the previously discussed higher uncertainty in determining MCE from small ΔCO_2 values relative to background CO_2 in more aged plumes and

differences in fuels or fire size (small prescribed fires versus large wildfires). The first possibility is supported by the fact that the ER_{rBC} reported by both studies overlapped, but MCE did not.

Most previous measurements used to derive emission factors or emission ratios for BC from fire relied on filter-based optical or thermal-optical methods to quantify BC and have been summarized in several reviews [Andreae and Merlet, 2001; Bond *et al.*, 2004, 2013; Akagi *et al.*, 2011]. The classic review of Andreae and Merlet [2001] recommended a literature-averaged EF_{BC} of $0.56 \pm 0.19 \text{ g kg fuel}^{-1}$ for extratropical forests, which is commonly used in emission inventories and chemical transport models [van der Werf *et al.*, 2010; Akagi *et al.*, 2011]. Many of our laboratory- and aircraft-measured emission factors for rBC from biomass burning were greater than 1 standard deviation above the recommended average from Andreae and Merlet [2001], especially for chaparral and SE coastal plain fuels (see Table 4); however, this value from Andreae and Merlet [2001] includes emissions from boreal fires, which we expect to be similar to our montane fires. Comparing EF_{rBC} to emission factors of EC (EF_{EC}) from McMeeking *et al.* [2009], who studied similar ecosystems/fuels as the present work, EF_{rBC} from the present study are generally greater than EF_{EC} by roughly a factor of 1.5–3.0, as shown in Figure 3a. Similarly, for on-road motor vehicles, Liggio *et al.* [2012] propose that BC is underestimated in existing emission inventories for mobile sources, based on comparisons of their SP2 measurements and previous filter-based measurements. We speculate that, in general, this discrepancy may be related to an overcorrection for OC pyrolysis in OC/EC analysis methods rather than errors in the photoabsorption methods for determining BC; however, we lack systematic comparisons between methods for biomass burning samples during our study. We emphasize that BC and EC are both operationally defined and are not necessarily equivalent. The only systematic intercomparisons of differences between EC/BC measurement techniques of which we are aware are the following: Watson *et al.* [2005], who review prior EC/BC studies that demonstrate differences in mass concentrations up to a factor of 7; Kondo *et al.* [2011a], who demonstrate good agreement between different methods, although this finding is sensitive to their inferred BC mass absorption cross section; and Yelverton *et al.* [2014], who demonstrate that measured EC/BC mass concentrations measured via different instruments may vary up to a factor of 2. Our results, in conjunction with previous work and regardless of the reason (e.g., systematic differences between instruments/analyses, larger available data set with greater natural variability), suggest that EF_{BC} may require further upward revision in emission inventories, although additional measurements, particularly for wildfires, are needed to confirm this hypothesis. This statement is consistent with the upper uncertainty bound for BC proposed by Bond *et al.* [2013], who estimate that EF_{BC} currently used in emission inventories may be biased low by up to a factor of 4.

3.2. Refractory Black Carbon Mass-Median Diameters

Sizing information is critical to accurately predict aerosol microphysical and optical properties in models. Here we report the MMD_{rBC} (described in section 2.2) for both laboratory and aircraft measurements. We calculated fire-averaged MMD_{rBC} for all plumes intercepted within 5 km of the fire location to restrict our analysis of aircraft data to relatively fresh emissions. During the FLAME-III laboratory burns, we used the average MMD_{rBC} observed during the same time period used to determine emission ratios and emission factors near the beginning of each experiment.

Laboratory-measured MMD_{rBC} ranged between 0.14 and 0.19 μm , with the exception of that measured for emissions from Alaskan duff, which had an MMD_{rBC} of 0.12 μm . The Alaskan duff burn emitted very little rBC and was the only laboratory burn where it was difficult to distinguish between the background rBC and the rBC emitted by the fire, so we excluded this fuel from the following analyses. The average MMD_{rBC} of all fuels, excluding the duff, was $0.17 \pm 0.02 \mu\text{m}$. There was no clear relationship between MMD_{rBC} and fuel type, MCE, or total rBC mass emitted. Refractory BC MMD shifted to larger particle sizes in emissions from the coastal plain prescribed fires measured over South Carolina during SCREAM, with a campaign average $\pm 1\sigma$ of $0.22 \pm 0.01 \mu\text{m}$. These aircraft-measured MMD_{rBC} were roughly 30% larger than those measured in the laboratory (average laboratory SE coastal plain fuel $MMD_{rBC} = 0.17 \pm 0.01 \mu\text{m}$) but were consistent with previous SP2 measurements of biomass burning rBC. For example, Schwarz *et al.* [2008] observed a MMD_{rBC} of 0.21 μm for the biomass burning plume encountered over Texas. Kondo *et al.* [2011b] observed MMD_{rBC} values of 0.21 μm and 0.19 μm for biomass burning emissions from Asia and Canada, respectively, while Sahu *et al.* [2012] reported average MMD_{rBC} of $0.20 \pm 0.02 \mu\text{m}$. Both Kondo *et al.* [2011b] and Sahu *et al.* [2012] values have been adjusted using our

assumed rBC density of 1.8 g cm^{-3} . Conversely, *Dahlkötter et al.* [2014] reported a range of MMD_{rBC} from 0.12 to $0.15 \mu\text{m}$ for a smoke plume that had undergone long-range transport from North America to Europe; these MMD_{rBC} are more similar to our laboratory studies, but the exact cause of the difference between these measurements and other plume measurements is unknown. Nevertheless, the comparison of our results with prior work highlights the variability in MMD_{rBC} , which can bound aerosol microphysical and optical processes in predictive model simulations.

3.3. Nonrefractory Aerosol Emissions

3.3.1. Emission Ratios

The emission ratios for the major AMS-measured nonrefractory submicron aerosol components are listed in Table 3. Figure 4 shows an example of the regressions used to determine the emission ratios for nonrefractory aerosol (as well as rBC) during the Fort Jackson plot 22b prescribed fire (2 November 2011). Each point represents a single plume interception that was measured during the flight and that was confirmed as a plume hit via a spike in CRDS CO within 5 km of the fire location. An ordinary least squares regression, forcing the intercept through zero, was used to derive the slope best representing the data, with this slope used to infer the ER [Yokelson *et al.*, 1999]; we expect the intercept to be zero since all values are background-corrected locally. In the laboratory, background OA concentrations were generally $< 5 \mu\text{g m}^{-3}$, while in the field, background OA concentrations range from roughly 5 to $15 \mu\text{g m}^{-3}$. Observed emission ratios for organic aerosol (ER_{OA}) were generally higher during montane prescribed fires than during SE coastal plain fires and chaparral fires, with average values of $0.10 \pm 0.01 \text{ g OA g CO}^{-1}$. We observed lower average values of $0.037 \pm 0.016 \text{ g OA g CO}^{-1}$ over SE coastal fires and $0.048 \pm 0.026 \text{ g OA g CO}^{-1}$ over chaparral fires (excluding Atmore). *Cubison et al.* [2011] summarized recent measurements of ER_{OA} and concluded that ER_{OA} can range from approximately 0.04 to $0.15 \text{ g OA g CO}^{-1}$ for nonaged emissions, while *Jolleys et al.* [2012] report a larger range of ER_{OA} of 0.02– $0.33 \text{ g OA g CO}^{-1}$ for various aircraft campaigns, both being consistent with the range of values we observed over our prescribed fires.

Laboratory-measured ER_{OA} represented a much larger range of values compared to the aircraft measurements, ranging from $0.021 \pm 0.018 \text{ g OA g CO}^{-1}$ for chaparral species to $0.15 \pm 0.13 \text{ g OA g CO}^{-1}$ for SE coastal plain species to $1.14 \pm 0.30 \text{ g OA g CO}^{-1}$ for montane species. Laboratory and airborne ER_{OA} from chaparral fires differ by roughly a factor of 2; this could potentially be related to the assumed AMS CE for the field data. However, an unpaired *t* test (excluding the Atmore fire as described above) suggests that this difference is not statistically significant (two-tailed *p* value = 0.164).

The values for montane fuels are well over 10 times our aircraft observations and reported literature values for extratropical/pine understory forests [Akagi *et al.*, 2011; Yokelson *et al.*, 2013a], which is a statistically significant difference (*p* value = 0.0036). We attribute the factor of 5–10 difference between airborne and laboratory-derived ER_{OA} for montane and SE coastal plain fuels (*p* value = 0.054) to (a) high-fuel moisture content and (b) gas-to-particle partitioning of semivolatile material at high OA mass concentrations, similar to May *et al.* [2013]; assumed values of AMS CE may also play a role, but neither can wholly explain these differences. During FLAME-III, initial fuel moisture contents relative to dry fuel mass prior to fuel conditioning ranged from roughly 45 to 75% for lodgepole and ponderosa pines; both Chen *et al.* [2010] and Hayashi *et al.* [2014] observed that OC emissions and fuel moisture content were positively correlated, suggesting that laboratory-derived emission factors may be biased high partly due to preignition pyrolysis emissions of OA in the presence of high-fuel moisture. We expect the moisture content of the fine dead fuels during the Turtle and Shaver burns to be roughly 10%, as targeted in the Turtle burn plan, which is roughly a factor of 7 lower than in the laboratory; furthermore, nearby meteorological stations indicated that neither site received any precipitation in the 17 days preceding the prescribed fire. Similarly, laboratory SE coastal plain fuels with moisture contents of roughly 10% were generally consistent with our airborne observations, while those laboratory fuels with greater fuel moisture contents were generally larger than our airborne observations. Hence, high residual water in the fuel prior to combustion may explain the very large ER_{OA} for montane fuels in our study.

However, our observations may also be biased by the fact that primary OA emitted from fires has been observed to be semivolatile, and thus, will vary nonlinearly with dilution [Lipsky and Robinson, 2006; Grieshop *et al.*, 2009a; Huffman *et al.*, 2009; May *et al.*, 2013]; that is, higher OA concentrations will draw additional semivolatile organic vapors into the particle phase in order to maintain thermodynamic equilibrium [Donahue *et al.*, 2006; Robinson *et al.*, 2010]. Laboratory fires that produced the highest ER_{OA} also had the

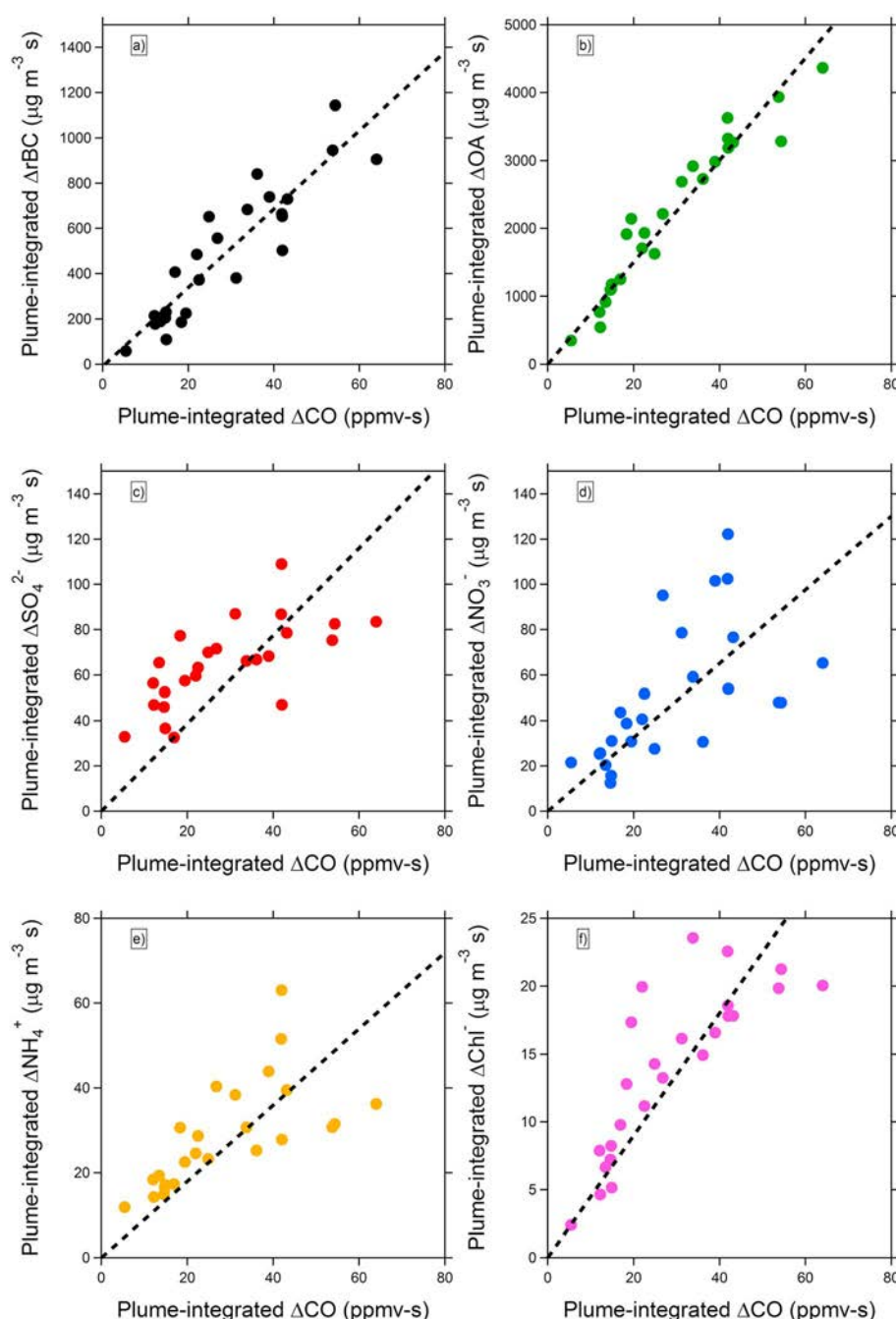


Figure 4. Relationships between excess plume-integrated constituents of PM_{10} based on SP2 and AMS measurements and excess CO from the CRDS for (a) ΔrBC , (b) ΔOA , (c) ΔNO_3^- , (d) ΔSO_4^{2-} , (e) ΔNH_4^+ , and (f) ΔChl^- for the Fort Jackson 22b fire on 2 November 2011. Lines show the regression of each species against ΔCO . Each point represents a single plume intercept within 5 km of the source. Uncertainties in these measurements (not shown) are the same as described in Figure 3.

highest OA mass concentrations (e.g., montane species). The fire-averaged mass concentrations in the laboratory chamber for the montane fuels were $4620 \pm 1430 \mu g sm^{-3}$ compared to average plume-integrated OA mass concentrations of $185 \pm 15 \mu g sm^{-3}$ observed on the aircraft over montane prescribed fires. A similar argument likely explains the roughly factor of 4 difference in ER_{OA} between SE coastal fuels studied during FLAME-III and the aircraft sampling during SCREAM. Furthermore, ER_{OA} will also be sensitive to ER_{tot} , the emission ratio of all semivolatile organics (representing both the gas and particle phase) that may undergo gas-particle partitioning [Robinson *et al.*, 2010; May *et al.*, 2013]. ER_{tot} can be estimated using derived

volatility distributions, such as that presented as a laboratory composite by May *et al.* [2013]. However, to our knowledge, this is one of three volatility distributions derived for biomass burning OA emissions thus far (with the others being Cappa and Jimenez [2010], which was derived from AMS positive matrix factorization results, and Grieshop *et al.* [2009a], which was derived from emissions from a wood stove); none of these volatility distributions have been widely confirmed as representative of biomass burning emissions in the field, so we do not provide estimates of ER_{tot} in this work. We simply note that ER_{OA} is expected to be greater when OA concentrations are larger and to decrease with dilution.

3.3.2. Emission Factors

As with the rBC emissions, we converted the emission ratios of measured OA to emission factors using EF_{CO} and provide them in Table 4 and Figure 3b (note the split axis). As with emission ratios, OA emission factors (EF_{OA}) were generally the highest of all the measured aerosol species. Average aircraft-measured EF_{OA} were 3.9 ± 1.8 g OA kg fuel⁻¹ for chaparral fires (excluding the Atmore fire, as discussed in section 3.1), 11.2 ± 2.7 g OA kg fuel⁻¹ for montane fires, and 2.8 ± 1.6 g OA kg fuel⁻¹ for SE coastal plain fires. Results for the SE coastal plain differ than those previously reported by Akagi *et al.* [2013] due to an updated analysis of the AMS data.

These results indicate that fresh organic aerosol emissions from fires can be highly variable, even within the same ecosystem, consistent with previous work [McMeeking *et al.*, 2009; Akagi *et al.*, 2011; Hosseini *et al.*, 2013]. This variability is also observed in the laboratory data for a given ecosystem; for example, the average EF_{OA} for SE coastal plain fuels were 11.5 ± 13.8 g OA kg fuel⁻¹ during the laboratory portion of this study. EF_{OA} were anticorrelated with MCE, as expected for smoldering combustion and as demonstrated for laboratory burns in McMeeking *et al.* [2009], although the strength of this relationship can be degraded by gas-particle partitioning effects. We also compare the EF_{OA} data to the linear fit for EF_{OC} from McMeeking *et al.* [2009] in Figure 3b, after converting OC to OA using OA:OC ratios of 1.2 (reduced hydrocarbons as reported in Turpin and Lim [2001]), 1.6 (the approximate average value from two biomass fuels reported in Aiken *et al.* [2008]), and 2.0 (the approximate value reported for fireplace wood in Turpin and Lim [2001]). This converted linear fit agrees with some of the FLAME-III data (namely, those with higher-fuel moisture contents that were not montane fuels) but not other FLAME-III data or the airborne data. This variable agreement may be, in part, due to the only modest R^2 between MCE and EF_{OC} reported in McMeeking *et al.* [2009] (0.36); for our data, we calculate an R^2 value of 0.47. However, fuel moisture content and OA loading also play a role on the magnitude of EF_{OA} , which will increase the apparent variability in the MCE versus EF_{OA} relationship. These dependencies of EF on fuel moisture content and OA mass concentrations suggest that future laboratory studies should report both fire-averaged OA concentrations and fuel moisture contents in addition to ER and/or EF in order to accurately extrapolate laboratory data into chemical transport models used to simulate air quality impacts of wildfires.

Figures 3c–3f and Table 4 also provide EF for submicron nonrefractory inorganic aerosol species measured by the AMS (SO_4^{2-} , NO_3^- , NH_4^+ , and Cl^-) as a function of MCE. In general, inorganic EFs were weakly dependent on MCE, in contrast to rBC and OA, and appeared to have a greater dependence on the type of fuel burned; values of R^2 were 0.049, 0.547, 0.047, and 0.025 for SO_4^{2-} , NO_3^- , NH_4^+ , and Cl^- , respectively, suggesting that among these species, only NO_3^- exhibits a dependency on MCE. For example, grasses burned in the laboratory and during prescribed burns (Georgetown fire) tended to have higher Cl^- EF, consistent with typically higher fuel chlorine content compared to other fuels [Lobert *et al.*, 1999]. Similarly, both Christian *et al.* [2003] and Hosseini *et al.* [2013] found a strong relationship between fuel chlorine content and chloride-containing particulate emissions for a series of laboratory fires. We lack detailed fuel composition information to perform a similar analysis for the aircraft studies, but such a fuel-composition dependence is consistent with our results.

Our aircraft measurements provide some estimates of inorganic emissions for prescribed fires for several ecosystems, as summarized in Table 4. While we lack a mechanistic driver of the factors controlling the emissions variability (e.g., fuel chemistry), presumably the elevated NO_3^- EF for some of the FLAME-III montane fuels are related to elevated fuel nitrogen content, similar to Cl^- . Note that we only include species reliably quantified by the standard AMS operation and analysis, so we may be excluding some refractory salts (e.g., potassium chloride KCl) that do not vaporize readily in the instrument. However, the Cl^- emission factors reported in Table 4 are in reasonable agreement with filter-based data from previous studies that investigated fuels from chaparral, montane, and SE coastal plain ecosystems

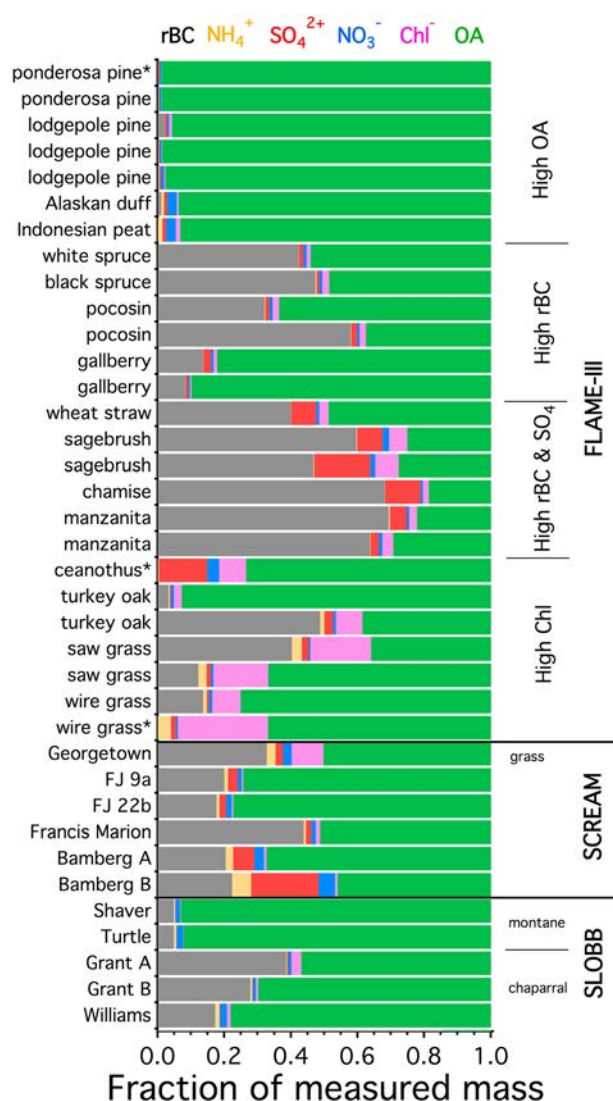


Figure 5. Mass fractions of major species measured in submicron aerosol for laboratory and aircraft measurements. Fuels with asterisk (*) do not include rBC in mass fraction calculations due to lack of data. The campaign during which the data were collected is provided to the right of the bars. Note that the mass fractions of OA for the pine species studied in the laboratory may be biased high due to high-fuel moisture contents.

amounts of NO_3^- and SO_4^{2-} . The exact cause of these discrepancies between the laboratory and field is largely unknown.

Some mass fractions of rBC between laboratory burns and prescribed fires did not agree very well. For example, the montane pine species studied during FLAME-III have nearly negligible rBC fractions, while the PM from the Shaver and Turtle fires were roughly 5% rBC; the main driver of this discrepancy was likely the very high OA emissions that dominated total PM during these laboratory fires. Some of this difference may be due to differences in OA concentrations and the fuel burned in the field versus the laboratory. Conversely, chaparral prescribed fires generally had the highest-rBC emissions, while laboratory fuels such as ceanothus, chamise, and manzanita, which were combusted during the chaparral fires [Burling *et al.*, 2011], generally had the highest-rBC mass fractions in the emissions measured during FLAME-III.

In Tables 3 and 4, we also provide ER and EF for $\text{PM}_{1.0}$. For our aircraft data, SE U.S. coastal plain fires had the lowest average $\text{PM}_{1.0}$ EF ($4.4 \pm 2.0 \text{ g kg}^{-1}$) emission factors, followed by chaparral (excluding Atmore)

[McMeeking *et al.*, 2009; Hosseini *et al.*, 2013], so it is unlikely that any Chl^- is missing from our samples, even if we are not detecting the K^+ that it may have been paired within the particles.

3.4. Total Aerosol Emissions

We combined our measurements of rBC and nonrefractory submicron aerosol components to investigate the variability in aerosol composition emitted from prescribed fires. Figure 5 shows mass fractions for each species relative to total measured submicron PM ($\text{PM}_{1.0}$), calculated from the sum of SP2 rBC and AMS-measured nonrefractory species. The FLAME-III results presented in this figure from combined SP2 and AMS measurements are qualitatively similar to filter-based results for repeated fuels investigated during previous FLAME studies [Levin *et al.*, 2010]. The laboratory fires produced a wide range of aerosol compositions, which we loosely classified into high OA, high rBC, high rBC + SO_4^{2-} , and high Chl^- groups. High OA emitters were mostly pines and dense fuels such as duff and peat, which all had higher-fuel moisture contents. Most other fuels emitted higher-mass fractions of rBC (10–60%). Chaparral fuels tended to emit higher-mass fractions of SO_4^{2-} , while grass fuels emitted relatively high mass fractions of Chl^- . Prescribed fire emissions rarely had inorganic mass fractions as high as observed in the laboratory; the only exceptions were the prescribed grass fire (Georgetown fire) that emitted relatively high mass fractions of Chl^- and NH_4^+ and the Bamberg fires which had large

(PM₁ EF = 5.5 ± 1.7 g kg fuel⁻¹) and montane (PM₁ EF = 12.1 ± 2.9 g kg fuel⁻¹) fires. Based on PM_{2.5} measurements in prior work [McMeeking *et al.*, 2009; Hosseini *et al.*, 2013], these estimates of PM₁ may be biased low by roughly 1–10% due to missing potassium; furthermore, Levin *et al.* [2010] report that emissions of refractory salts (e.g., KCl, K₂SO₄, and NaCl) and minerals (e.g., calcium oxide) may represent up to 50% of the emitted particle mass, depending on fuel type. The differences between ecosystems were mainly due to differences in OA emissions, which represented the majority of the emitted PM₁. Our aircraft observations of PM₁ were approximately within the range of values of 12.7 ± 7.5 g kg fuel⁻¹ recommended by Akagi *et al.* [2011] for PM_{2.5} emitted by temperate forests. Our data also highlight the substantial natural variability in fire emissions due to differences in ecosystems, fuel moisture content, fire intensity, and vegetation cover; for example, the relative standard deviation (standard deviation divided by the average) for the ecosystems that we considered ranged from 0.24 for montane fires to 0.45 for SE U.S. coastal plain fires.

4. Conclusions

In this paper we report measured EFs and ERs for key submicron aerosol components in emissions from prescribed burns in three U.S. ecosystems (chaparral, montane, and SE coastal plain) and compare with EFs and ERs for similar fuels measured in some open laboratory burns. Refractory black carbon aerosol was measured using a laser-induced incandescence technique (SP2) rather than the more traditional filter-based absorption/thermal-optical methods, with measured EF_{rBC} ranging from approximately 0 to 3 g kg fuel⁻¹ depending on fuel and combustion conditions. EF_{rBC} measured in the laboratory were consistent with those measured in the field from the aircraft, suggesting laboratory-derived values can adequately represent larger-scale fires when MCE is used to characterize the burn conditions. Organic aerosol emissions measured in the laboratory had a much wider range of observed values (EF_{OA} = <1–200 g kg fuel⁻¹) compared to aircraft measurements (EF_{OA} = 0.2–13 g kg fuel⁻¹) and appeared to depend strongly on fuel moisture content and the OA mass concentration, as suggested by May *et al.* [2013], as well as MCE, although there were some exceptions. The evolution of OA with dilution and atmospheric processing will affect its concentrations downwind of source regions and remains a topic of active research (e.g., see Hennigan *et al.* [2011]; May *et al.* [2013]; Ortega *et al.* [2013], and E. J. T. Levin *et al.* (in preparation, 2014) for analysis of FLAME-III data; A. A. May *et al.* (in preparation, 2014) for analysis of SCREAM data; and Akagi *et al.* [2012] for analysis of SLOBB data). Inorganic emission factors were always smaller than rBC and OA emission factors and depended somewhat on fuel type, though fuels burned in the laboratory tended to emit relatively higher mass fractions of inorganics compared to prescribed fires measured in the field. One notable exception was relatively high chloride mass fraction in emissions measured over a prescribed coastal grass fire in South Carolina.

It is of interest to compare the range of observed ER_{rBC} for our biomass burning samples with those reported for other BC sources, which are primarily contained combustion such as vehicular and industrial emissions [Bond *et al.*, 2013]. Spackman *et al.* [2008] compared the biomass burning plume measurements described in Schwarz *et al.* [2008] to regional urban and industrial plumes observed over Texas and found lower ER_{rBC} (7.5 ng BC sm⁻³ ppbv CO⁻¹) for the urban emissions compared to biomass burning emissions. Others have also reported similar and/or lower ER_{rBC} for urban regions [Baumgardner *et al.*, 2007; McMeeking *et al.*, 2010; Subramanian *et al.*, 2010; Sahu *et al.*, 2012]. Although the ecosystem-averaged ER_{rBC} values we observed for chaparral and SE coastal plain fires and the Schwarz *et al.* [2008] observations were 2–3 times higher than the largest observed urban ER_{rBC} ratios, our montane fire values and the ER_{rBC} values reported by Kondo *et al.* [2011b] fall within the range of reported urban ER_{rBC}. Thus, ER_{rBC} alone is not a sufficient parameter for distinguishing between biomass burning and urban BC sources in modeling studies, and their relative contributions to an ambient sample cannot be determined without additional information (e.g., MCE) on the characteristics of the prescribed or wildfire considered.

The SP2-derived EF and ER for refractory black carbon in this work are consistently higher than previously reported values based on filter sampling with absorption/thermal-optical analyses, which may suggest that EF and ER for rBC in existing emissions inventories may require an increase via the inclusion of these newer, SP2-derived data in the average inventory values. However, systematic intercomparisons between the SP2 and filter-based techniques are required to confirm the robustness of this finding to determine whether this is a systematic difference or natural variability. Additional studies, especially in important biomass burning regions in the tropics, are needed to determine whether this revision is needed for all ecosystems or only for those studied in this work.

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