

Tertiary Vegetation History

ABSTRACT

The Tertiary period, from 2.5 to 65 million years ago, was the time of origin of the modern Sierra Nevada landscape. Climates, geology, and vegetation changed drastically in the Sierra Nevada during this time, and analyses of this period provide both context for and insight into vegetation dynamics of the current and future Sierra. During the early Tertiary, warm-humid, subtropical to tropical conditions prevailed on the low, rolling plains of the area now the Sierra Nevada. Fossil taxa with tropical adaptations and affiliations were widespread throughout the region. In the Sierra Nevada, ginkgo (*Ginkgo biloba*), avocado (*Persea*), cinnamon (*Cinnamomum*), fig (*Ficus*), and tree fern (*Zamia*) were common. At the end of the Eocene epoch, about 34 million years ago, global climates changed rapidly from warm-equable to cool-seasonal temperate conditions. In response, vegetation also shifted enormously; cool-dry-adapted conifers and hardwoods, which had been refugial during the early Tertiary in upland areas of the Great Basin–Idaho region, migrated into newly hospitable habitats of the Sierra Nevada. These floras contained many of the taxa now native to the Sierra, plus relicts from the subtropical forests of the earlier Tertiary and species adapted to temperate conditions with summer rain—mixes that seem incompatible under modern conditions. Until late in the Pliocene epoch (about 1 million years ago), adequate but diminishing rainfall distributed through the year supported many taxa now extinct in the Sierra Nevada. By the late Tertiary, in response to continued drying, winter cooling, and increasing summer drought, and to gradual uplift of the Sierra Nevada, replacement of early Tertiary floras by modern taxa and associations had occurred. With the development of a Mediterranean climate by the late Pliocene, floras of the Sierra Nevada became segregated ecologically into elevational, latitudinal, and orographic zones.

An important message for ecosystem management from a study of the Tertiary flora of the Sierra Nevada is that, although vegetation has changed drastically over 65 million years, the rate has been very slow. Human impacts in the Sierra are potentially of a similar magnitude to these evolutionary changes but can occur at rates many times faster; such changes may be more rapid than plants are likely able to

adapt to. Another management implication is that currently native species in the Sierra Nevada have existed in the past under drastically different climatic and environmental conditions than at present, have had very different distributions, and have occurred in mixes not seen in the recent past. Thus, assumptions about the behavior of native species in the future under unknown climates and/or novel management regimes should not be based solely on the behaviors of species in current environments. Unforeseen responses are likely, whether “positive” (population health, expansion, productivity), “negative” (population decline, extirpation), or novel. The most appropriate management action is to maintain diverse, healthy forests with conditions favoring resilience to unpredictable but changing future climates and management regimes. Plans that require landscapes to reach precise vegetation targets are likely to fail. Management programs that build flexibility, reversibility, and alternative pathways are more likely to succeed in an uncertain future.

INTRODUCTION

The Tertiary period is a slice of Earth’s history, roughly defining the time between the extinction of the dinosaurs and the beginning of Northern Hemisphere continental glaciation, from 65 million years ago (denoted as 65 Ma) to about 2.5 Ma (table 5.1). This was a period of major change in global climates and of significant mountain building, overall moving from warm-mild and moist-equable regimes to seasonally dry and cool climates. The Mediterranean dry summer typical of California today was unknown until late in the Tertiary. The Tertiary was the time of initial uplift of the Sierra Nevada and volcanism in the Cascade Mountains. Accompanying these physical changes were radical transformations in the vegetation assemblages that covered the landscapes.

The human time scale for land management stretches 100–200 years into the future at its most imaginative. Why would

SNEP look back 65 million years? The Tertiary provides an important larger context for understanding modern landscape relationships in the Sierra Nevada. The Tertiary was the time of revolutionary development of the modern vegetation, climates, and landscape. At the onset of the Tertiary, there were humid subtropical climes in California, typical of vast periods of time prior to the Tertiary. Species such as ginkgo, avocado, figs, and palms dominated broad plains and low mountains in the area that is now the Sierra Nevada. By the mid-Tertiary, plants with affinities to modern taxa—pines, firs, oaks, and cottonwoods—appeared to be more widespread in the region of the developing Sierra Nevada. By the close of the Tertiary, most modern species and many modern vegetation assemblages were present and stratified into elevational zones. Although species and plant communities shifted in response to fluctuating conditions of the Quaternary period, which followed, these shifts were minor relative to the major evolutionary and continental-scale dynamics of the Tertiary. Thus the Tertiary sets the stage for the present.

The present flows seamlessly from the past. Knowing the origins and broad context of our flora informs our understanding and appreciation of the dynamics of current Sierra Nevada ecosystems—why species grow where they do, under what environmental and ecological conditions they have grown, what relationships have existed among plant associates, how biota respond to environmental change, and what potentials exist for rapid and dramatic natural vegetation change. Since many of our current taxa first appeared in California under different climates and evolved under very different environmental conditions, the past informs us about ecological responses that we are not able to infer from present dynamics.

OBJECTIVES

The purposes of this chapter are to:

- briefly review and assess the methods used to reconstruct the Tertiary vegetation of the Sierra Nevada
- develop a chronological overview of Tertiary geology, climate, and vegetation for the Sierra Nevada
- present floral lists and maps from published reports on Tertiary fossil deposits of the Sierra Nevada and neighboring regions and
- summarize points relevant to ecosystem management of the Sierra Nevada

The time frame for this chapter is the Tertiary period, as I define the boundaries from 65 Ma to 2.5 Ma (table 5.1), with focus on the Miocene and Pliocene epochs. Although a thor-

TABLE 5.1

Geological time chart for the Quaternary and Tertiary periods of the Cenozoic Era, showing approximate ages and durations of epochs (Odin 1982; Shackleton and Opdyke 1977; Swisher and Prothero 1990; Woodburne 1987).

Period	Epoch	Millions of Years Ago (Ma)
Quaternary	Holocene	0–0.01 (last 10,000 years)
	Pleistocene	0.01–2.5
Tertiary		
Neogene	Pliocene	2.5–7
	Miocene	7–26
Paleogene	Oligocene	26–34
	Eocene	34–54
	Paleocene	54–65

ough understanding of the biogeographic and phylogenetic origins of modern Sierra species requires studying their presence in fossil floras throughout western North America and beyond, the focus here is on what was and what was not in the Sierra Nevada during the Tertiary. Thus, the geographic focus is the greater Sierra Nevada region and parts of western and central Nevada, specifically the area defined by the fossil floras chosen for inclusion here (figure 5.1).

ASSUMPTIONS

1. This review is not intended to be exhaustive or comprehensive. Literature citations to more in-depth analyses are provided.
2. The focus is on plants and vegetation primarily, geology and climate secondarily; animals are not considered.
3. Confidence in knowledge decreases as we look further into the past; the biases of the fossil record and interpretation are discussed. Historical reconstruction is fraught with speculation.
4. Systematics and dating of the original interpretations of fossils are accepted unless subsequent revisions specific to the flora were published, or unless subsequent publications cast doubt on identifications. Other than these revisions, no modernization of nomenclature or taxonomic revision is attempted. Taxonomic revisions often lead to significant reinterpretations of biogeographic and evolutionary events. Examples of these are given to indicate the tenuousness of interpretations and the dependence on accurate taxonomy.
5. Detailed projections by original authors about paleoclimate (especially specific temperatures) and paleoaltitudes are

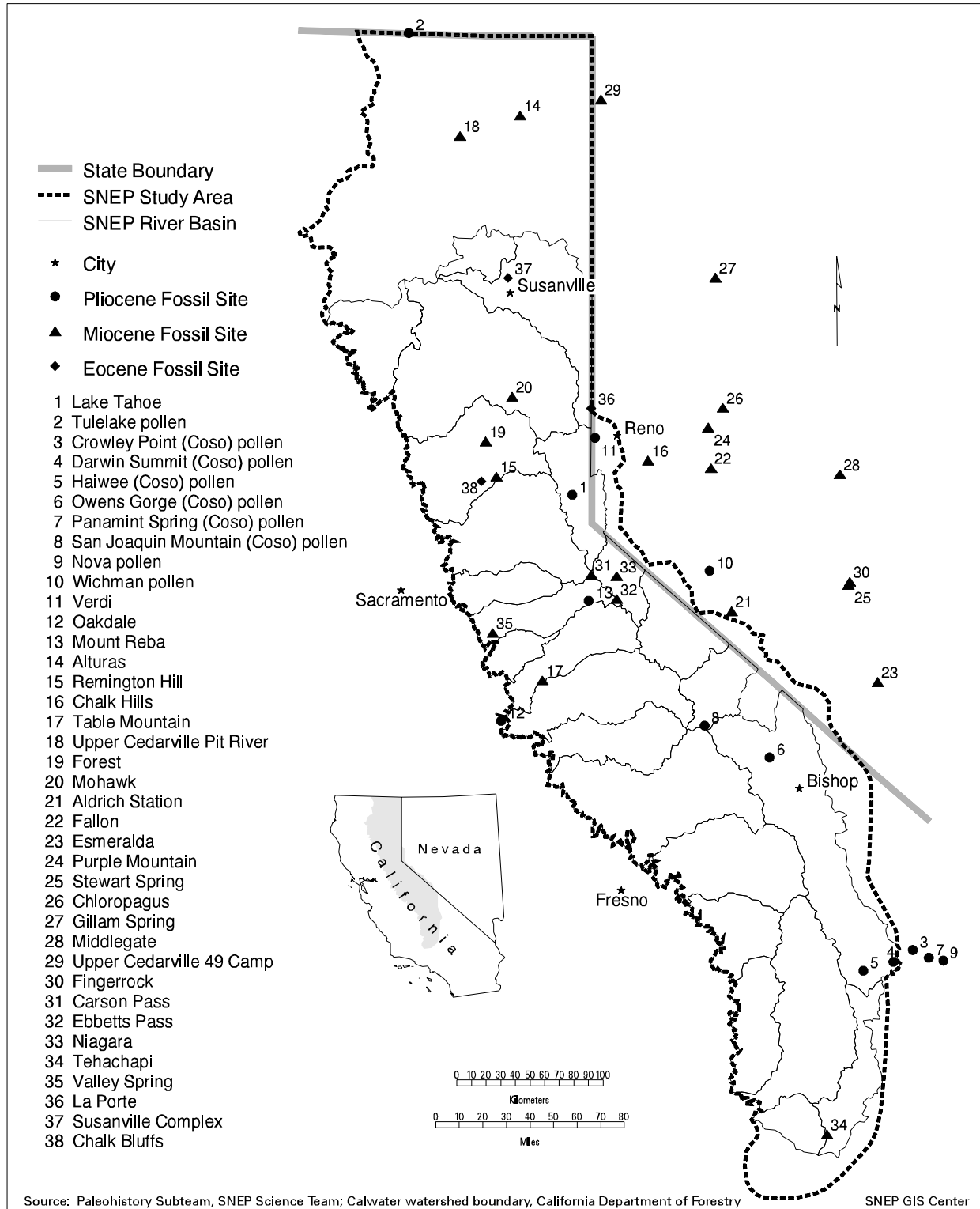


FIGURE 5.1

Distribution of Tertiary floras in the Sierra Nevada and adjacent regions of western and central Nevada. Floras are numbered in approximate order of age (from young to old). *Note:* Floras 3–10 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

often not summarized here. New methods have cast doubt on the validity of some specific interpretations. Those new techniques have not yet been applied to Sierran Tertiary floras. Thus, generalizations about climate and environment are conservatively given.

6. Knowledge gained by understanding the origins of modern vegetation in the Sierra Nevada is relevant to ecosystem management of the ecoregion.

REVIEW OF METHODS FOR RECONSTRUCTING TERTIARY VEGETATION

Time Periods

The classification of time into eras, periods, and epochs (the geological time chart) is somewhat arbitrary, implying that a continuous process, time, is divisible. "Since geological time is not salami, slicing it up has no particular virtue" (Vita-Finza 1973). Despite this fundamental contradiction, historic events do tend to occur more or less periodically, lending themselves to description in pieces rather than as a continuum. Periodicities of tectonic, climatic, and biotic events are not often synchronous, however, from place to place or between plant and animal events. Boundaries of time periods are thus specific to regions, to biotas, and to causes (climate, paleomagnetic events, biotic changes).

Classification into geologic time periods was especially important in early paleontologic interpretation. Before direct dating methods were available, age of a fossil flora was assigned based on geologic stratigraphy and correlation to other local fossil floras. Radiometric dating (Dalrymple and Lanphere 1969; Steiger and Jager 1977) has relieved the temptation to date by correlation, since—within tolerances and errors—fossil floras can be directly dated. This both improves the accuracy of assigning floras to periods in the geologic time chart and relieves pressure for relying on those assignments to periods, since many floras can be discussed by direct age rather than by period. However, although radiometric methods have been available for several decades, not all of the Tertiary fossil floras in the Sierra Nevada (originally dated by stratigraphic correlation) have been confirmed radiometrically, and many that have been confirmed were dated in the early years of radiometry, when techniques were less accurate than recent methods. Quaternary sites discussed in Woolfenden 1996, by contrast, are almost all radiometrically dated. With the increased availability of accurate radiometric dating, discussion about stratigraphic definitions of boundaries, once a topic of intense debate, has subsided.

I have included radiometric dates in this report where available. I do not defend a strict view of the dates for boundaries

of epochs or eras, instead accepting that they are guidelines for orientation in the past. For convention, I adopt the Mesozoic:Cenozoic boundary at 65 Ma (Odin 1982), the beginning of the Northern Hemisphere ice ages for the Tertiary:Quaternary boundary at 2.5 Ma (Shackleton and Opdyke 1977; references in Thompson 1991), and combined North American floristic and land-mammal stages for the Tertiary epochs (table 5.1) (Odin and Curry 1985; Swisher and Prothero 1990; Wolfe 1981; Wood et al. 1941; Woodburne 1987). Workers in the field, including several reviewers of this chapter in manuscript, propose alternative dates for time periods. This underscores the fact that boundaries depend on which factors are considered significant in the history of the earth. I do not include here a review of alternative dating for the epochs of western North America.

Biases in Historical Interpretation

Misinterpretations of vegetation history occur due to inherent biases in the fossil record, errors in understanding the record, and cumulative errors due to subsequent analysis. Each of these is discussed in turn.

Biases in the Fossil Record

The single most frustrating reality about reconstructing past events is that there are gaps in the fossil record. These occur due to limited exposures in time and space of fossil-bearing rocks and sediments of successively older ages. For the Sierra Nevada, exposure of Tertiary rocks is uneven. No fossil-bearing deposits of the earliest Tertiary (Paleocene) are known; Eocene and Oligocene fossil floras are limited in extent and are present mostly in the northern Sierra; Miocene and Pliocene records are somewhat more numerous. Even for the middle to late Tertiary, however, much better representation occurs in adjacent western and central Nevada. Fortunately, these floras contain many species that later appear in the Sierra Nevada and thus provide important material from the perspective of the Sierra Nevada.

Tertiary records in western North America are primarily impression macrofossils, that is, imprints left when leaves, twigs, or fruits (macro-organs) were deposited in wetland sediments (lake bottoms, bogs, marine environments, or other wetlands). Occasionally, petrified organs and tissues are found. In these, chemical replacement of living tissues has occurred, leaving a nearly identical replica of the internal and external anatomy of the organ or tissue (usually wood or cones). From a regional perspective, macrofossil deposits bias the sampling in that they overrepresent wetland species (willows [*Salix*], cottonwoods [*Populus*], etc.) that are adjacent to depositional sites and underrepresent upland species. Sampling is assumed to be limited to plants growing about 1 km (0.62 mi) from the site of deposition (Gregory 1994). Beyond this distance, smaller leaves are preferentially preserved over large leaves, as are thick, tough leaves over fragile ones. By and large, conifer remains are readily preserved if they get

into a deposit. Their usual ecological position in the uplands, however, may limit their representation in the deposit. For all these reasons, the number of specimens of a single type occurring in a fossil deposit is usually not correlated with its abundance in the environment, and many contemporaneous species may be left out of the deposit altogether. Several other biases due to preservation of individual macrofossil specimens, referred to collectively as taphonomic bias, distort the sampling and recovery of species from macrofossil deposits (Greenwood 1992; Spicer 1989; Wolfe and Upchurch 1986).

Macrofossils also occur in the arid parts of the Sierra Nevada region in wood rat middens (Betancourt et al. 1990). These do not date to the Tertiary and are not considered here.

The other important plant remains from the Tertiary are pollen grains and other microfossils. Wind- and waterborne pollen is preserved in wetland sediments of lakes and bogs. Pollen in these sediments is usually recovered from long cores bored through lake sediments. Sampling like this has a significant advantage over macrofossil deposits in that a continuous stratigraphic record through time may be obtained, with much better control on species mixes, stratigraphic orientation, and changes over time than lakeside macrofossil deposits can offer. Pollen samples infrequently have been taken from solid exposed sediments rather than from a core, a technique that eliminates or reduces the opportunity to analyze a continuous record.

Pollen sampling is a common method for Quaternary analysis, but only recently has it been applied to Tertiary sediments. The Tulelake core (Adam et al. 1990; Adam et al. 1989) is the only published continuous core for the Sierra Nevada region that extends into the Tertiary, although other deep cores are currently under analysis. Most notable is the Owens Lake study, which provides continuous analysis of a sediment core into the early Pleistocene (Owens Lake Core Study Team 1995).

Pollen floras suffer different kinds of systematic biases from those of macrofossil deposits. Species with abundant and wind-borne pollen grains are disproportionately represented. Of these, there is a bias related to distance, in that pollen travels different distances depending on species. For example, because of its size and shape, 95% of giant sequoia (*Sequoiadendron giganteum*) pollen falls within 500 m (1,500 ft) of a native forest source (Anderson 1990), whereas pine (*Pinus*) pollen can travel hundreds of kilometers and still be an abundant type in a pollen sample. Biases due to size of the depositional basin also occur. Pollen grains of different species degrade with time, and differential preservation is especially important in old samples, such as Tertiary pollen cores. For several of these reasons, pine, fir, and spruce may dominate the pollen record in numbers disproportionate to their representation in the original flora. Methods to calibrate these biases are routinely applied (Overpeck 1985; Prentice 1985).

Other kinds of microfossils are often identified along with pollen in Quaternary samples. These include diatoms, chrysophyte cysts and scales, radiolarians, coccoliths, ostracods, and occasionally foraminifera (in saltwater basins). Charcoal and

some macrofossils (leaf tissue) may also be included in lake sediment cores. Charcoal can provide information about fire occurrence.

Biases in Reading the Record

Analysis of any fossil flora hinges critically on accurate systematic interpretation of specimens. Opportunities for misidentifying macrofossils are abundant, because of poor preservation (e.g., only part of a leaf or cone was imprinted or intact), changes in size, shape, or structure due to preservation, distant relationship to modern taxa (there is no living analog), hybridization, and natural variation in the species. Because so much interpretation depends on correct identification, old fossil floras have been reviewed and their systematics revised; these revisions have sometimes been as dramatic as assigning a specimen to a different kingdom from that in the original publication. Individual paleobotanists vary in their willingness to make identifications, with some assigning specimens confidently to species and others listing only family or genus. Methods have been developed to assess physiognomy of fossil remains independent of taxonomic identification (described below), thus circumventing the dependence on correct systematic identification for some kinds of analysis.

Microfossils also may be misidentified, but the risk is lower in part due to the lack of diagnostic characters for identifying pollen to lower taxonomic levels and the reduced temptation to try. Thus, pollen is often identified only to genus, sometimes even to a combined family level (e.g., TCT, *Taxodiaceae-Cupressaceae-Taxaceae*). The lack of species diagnostics limits the usefulness of pollen analysis in studies that require knowledge of individual species.

Some fossil floras have been independently analyzed for macrofossils and for pollen. These provide the opportunity to compare information from the two data sets and assess the relative effectiveness of one or the other method. The Chalk Bluffs fossil flora near Nevada City (figure 5.1; table 5.2; appendix 5.1, list 3), originally described by an extensive macrofossil list (MacGinitie 1941), was reevaluated for pollen taxa by Leopold (1983, 1984). This analysis revealed the biases of both approaches. Pollen did not diagnose individual species and was unable to record taxa from four families found in macrofossils, yet it added taxa from eight families not recorded in the macrofossils. The additional taxa were mostly wind-pollinated species. Despite the differences in representation of individual taxa, the vegetation and climatic interpretation of the flora was similar between the two methods, that is, that this assemblage was a rich subtropical forest in a warm, moist climate. A significant addition from the pollen was the presence of taxa from the pine family (pine [*Pinus*], fir [*Abies*], spruce [*Picea*]), with implications discussed later. Other comparisons of Tertiary pollen and macrofossil floras have yielded greater discrepancies (e.g., only 38% correlation of taxa among methods for a Washington flora [Reiswig 1983], 18% correlation for a northwestern California flora [Barnett 1983]).

TABLE 5.2

Paleogene fossil floras of the Sierra Nevada and surrounding regions, listed in approximate order of age (young to old).

Flora Name	Location	Present Elevation	Latitude and Longitude	Age	Ma	Number of Species	Reference
La Porte	La Porte, CA	1,200 m 3,900 ft	39°42' N 120°W	Early Oligocene	33 ^a	41	Potbury 1935
Susanville Complex	Susanville, CA	1,500 m 4,875 ft	40°30' N 120°40' W	Middle Eocene		22 ^b	Knowlton 1911; Wolfe and Hopkins 1967
Chalk Bluffs	Colfax, CA	1,000 m 3,250 ft	120°52' N 39°15' W	Early Eocene		71	MacGinitie 1941; Leopold 1983

^aAge is radiometrically confirmed.

^bThe number of species is questionable.

Biases in Analysis

A floral list, either macrofossil or microfossil, provides the raw data for subsequent analysis. For Tertiary floras, the main analysis has been to infer paleoclimates, paleoaltitudes, and ecological relationships of vegetation assemblages. These analyses have sometimes been quite specific, attempting to define mean annual temperatures, ranges of temperatures, effective temperatures, and annual precipitation at the fossil sites, as well as elevations above sea level. There are two general approaches to environmental analysis of fossil floras: those that rely on floristic comparison (analog approach), and those that rely on morphological relationships with environment (physiognomy approach).

Floristic analysis (Axelrod 1966, 1968; Axelrod and Bailey 1969) attempts to describe Tertiary conditions (plant communities and their ecological relationships) on the basis of taxonomic composition. The approach is basically qualitative and intuitive, and it involves comparing modern species to fossil species. Modern relatives are assigned corresponding to species in the fossil deposit, and known ecological requirements of modern species are used to build a composite description of past conditions. This approach requires both high accuracy in species identification and trust in the concept of uniformitarianism—the assumption that modern species do not differ in ecological response and requirements from their fossil representatives and thus provide reliable and relatively precise indicators of paleoenvironments.

Although analogs and uniformitarian models are common in paleontology and are routinely applied in Quaternary analysis, they have been criticized for reconstruction of older environments (e.g., Bryson 1985; MacGinitie 1962; Wolfe 1971; Wolfe and Hopkins 1967). The primary bases for criticism are: (1) These models depend on accurate taxonomic identification to species level, which is doubtful for the Tertiary; (2) the potential evolutionary change within species lineages renders comparisons of ecological relationships between current and fossil groups invalid; (3) the models confuse “vegetation” with “taxon,” assuming that a vegetation assemblage has a characteristic response to an environment, whereas, in fact, individual taxa respond; (4) some environmental situations have

no analog, because current climates and ecological conditions do not represent the full range of those that existed in the past; and (5) the reproducibility of results by other workers has been low.

An alternative approach based on the observation that leaf morphology varies with climate has proved useful for interpreting fossil floras that have many angiosperm taxa. This approach takes advantage of empirical relationships between leaf physiognomy and climate, notably the positive correlation of the percentage of species with entire-margined leaves in an assemblage with the mean annual temperature and equality of vegetation type (tropical rain forest, subtropical forest, deciduous oak forest, etc.). This measure has been applied to fossil assemblages since the early twentieth century (Bailey and Sinnott 1916) and has been developed more fully for Tertiary interpretations by Wolfe and his colleagues (Wolfe 1971; Wolfe and Hopkins 1967). The significance of this approach is that climatic interpretations are independent of species identifications, known empirical relationships are used, quantitative values are derived, and results are reproducible. From climate information, inferences about paleoelevations have also been made.

Univariate leaf-margin analysis is not adequate to represent fossil floras fully (Axelrod and Bailey 1969; Wolfe 1971), and other characters have been used to supplement interpretations. Recently, Wolfe (1993) developed multivariate approaches that take advantage of combined data sets to estimate temperatures and elevations of Tertiary fossil environments. These methods were applied to a critical reevaluation of the Florissant flora in Colorado, a well-known Tertiary deposit (Gregory 1994). The reevaluation indicated that climatic estimates based on multivariate approaches yield quite different values than both floristic comparisons and univariate leaf physiognomy methods (Gregory 1994).

Results at Florissant with the multivariate methods suggest that climatic interpretation of the Sierran Tertiary floras may need significant revision. For this reason, in this report I do not summarize the detailed climatic interpretations of earlier literature. Further, since the Sierra Nevada has been domi-

nated by conifer vegetation types, which are not amenable to the leaf physiognomy methods in general, we need to take a broader view of climate implications of the deposits. The approach here is to indicate generally the ecological conditions and elevations suggested by the fossil species in the assemblages and to encourage revision of interpretations for older floras.

TERTIARY HISTORY OF THE SIERRA NEVADA

Although the Tertiary was the time of origin for modern California vegetation, this is not to say that the taxa that are distinctively Sierran originated during this period or in California (Millar and Kinloch 1991). Many lineages did undergo significant evolution in California during this period. Many taxa that are today Sierran were in other parts of North America during the early Tertiary and often occurred in assemblages with no modern analogs. Rather, the primary significances of the Tertiary were the changes in distributions of many taxa and the major environmental changes (climate and mountain building) that catalyzed these changes. The environmental changes and the resulting plant responses led to almost complete replacement of vegetation types in the Sierra Nevada and to restructuring of species mixes, geographic distribution, and elevational zones of vegetation types.

Although early work stressed the cohesiveness of vegetation assemblages (e.g., geofloras [Axelrod 1958]), biologists now almost universally accept that taxa respond individually to environments (Botkin 1990; Frankel and Soule 1981; Grumbine 1994; Hansen et al. 1991; Kaufman 1993). Vegetation assemblages are transient collections of taxa unified by a common environment and the intersection of biogeographic histories. This is not to say that taxa do not influence each other in space and time; ecosystem science focuses on just these interactions. At historical scales, however, migratory movements and population colonizations and extirpations are primarily related to the behavior of individual taxa.

The many plant species and complex vegetation assemblages in the Sierra Nevada are significant components of biodiversity in the region (Davis and Stoms 1996; Shevock 1996). From the historical perspective, these species and assemblages can be viewed as relicts of earlier periods and prior environmental events, with contributions from different parts of North America, exhibiting evolutionary responses to an increasing aridity and seasonality that began in the mid-Tertiary. The following sections summarize major events in the Sierra Nevada through the epochs of the Tertiary. Vegetation dynamics are inferred from Tertiary deposits in the Sierra Nevada and adjacent regions of Nevada (figure 5.1; tables 5.2–5.4). Systematic compositions of these fossil floras (using

unrevised nomenclatures) are given in appendix 5.1, lists 1–34 (in alphabetical order by flora name).

Paleocene and Eocene

During the earliest Tertiary, the region of the present Sierra Nevada was mostly low plains to low hills, dominated by old marine sediments. In the north, the region was a low plain with a river 5 km (3 mi) wide crossing near the area of Susanville today. Southward, the region consisted of low, rolling hills, with smaller rivers draining across most of the present Sierran axis (Armentrout et al. 1979). In the region of Mount Whitney, the land rose to its highest altitude, which was still quite low compared to current Sierran elevations. The western edge of the Sierra Nevada formed the Eocene Pacific Ocean coastline for all but the northern portion (figure 5.2) (Axelrod 1968; Minckley et al. 1986).

Interior to the Sierra Nevada was a large upland region that stretched throughout the northern Great Basin and intermountain areas of Idaho, western Wyoming, and western Colorado (Axelrod 1968; Ruddiman and Kutzbach 1989; Wolfe 1987). This was the only important upland region of western North America, extending to elevations over 1,225 m (4,000 ft) (Axelrod 1968) or, by Gregory's recent interpretation (1994), to 2,500 m (8,000 ft) in Colorado. This high plateau was dominated by volcanic centers and large lakes, and many of the fossil floras in the region are contained in calderas and depositional basins. This upland was unusual not only for western North America but also for temperate latitudes worldwide. As such it was an important relictual area for temperate montane taxa and a source of taxa to the Sierra Nevada in later epochs (references in Millar 1993).

Climates for the Sierra Nevada during the Paleocene and Eocene, as inferred from several sources, were different from current climates and from those in epochs before the Tertiary. Although warm-equable climates had typified the late Mesozoic (McGowran 1990; Parrish 1987; Wolfe and Upchurch 1986), temperate latitudes of the early Tertiary experienced unusually high temperatures (figure 5.3) and rainfall (references in Wolfe 1990). The trends toward increasing humidity started in the early Paleocene and continued into the Eocene, reaching maximums by the early Eocene (Savin 1977; Wolfe 1985). Major fluctuations in temperature (greater in magnitude than those of the Pleistocene) characterized the Eocene (figure 5.3), causing conditions in California to alternate between tropical and subtropical (references in Millar 1993). Truly temperate conditions (seasonally cool and dry) did not exist in California except perhaps in a few limited upland areas, and no true deserts or arid areas are known to have existed at this time in the region of the Sierra Nevada (Axelrod 1979). Except in the uplands of the northern Great Basin and Idaho, humid subtropical conditions existed in a broad zone throughout temperate latitudes in North America (Millar 1993; Wolfe 1978) throughout the early Tertiary.

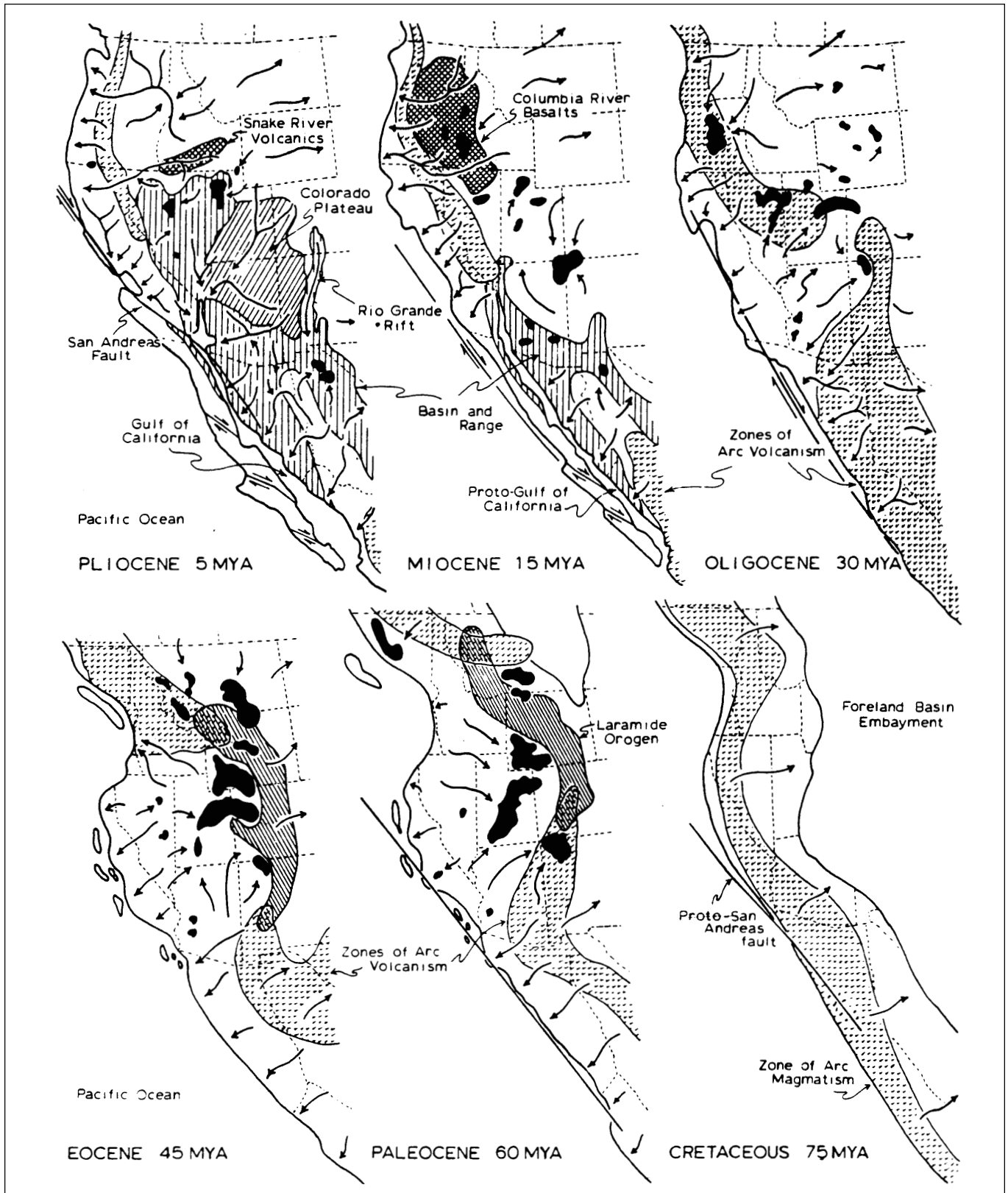


FIGURE 5.2

Tertiary coastline of western North America (from Minckley et al. 1986).

In general, the vegetation of California (like vegetation throughout most of temperate North America) reflected the tropical/subtropical climates of the early Tertiary. Starting in the earliest Paleocene, diverse tropical angiosperm species appeared with increasing representation throughout the warm-humid intervals of the Paleocene and Eocene. Subtropical assemblages are known to have expanded in temperate zones worldwide from this time, extending to 70° N latitude (Friis et al. 1987; Tiffney 1985; Wolfe 1985). Plant communities from Eocene locations were similar taxonomically and physiognomically to current rain forests of eastern Asia (e.g., Malaysia) and southern Mexico.

The Sierra Nevada has only two fossil floras from this period (table 5.2; figure 5.1). There are not many more terrestrial deposits for all of California, since most rocks of this age in California are marine sediments. These, along with the early Oligocene La Porte flora (table 5.2; appendix 5.1, list 14), occur in northern California and western central California, and they record rich, diverse, and—compared to modern California—exotic forests. Chalk Bluffs (appendix 5.1, list 3), near Colfax, California, records one of the richest Eocene floras of western North America, with seventy-one species, in families and with foliar adaptations that could only indicate humid subtropical conditions. Few taxa in the macrofossil record overlap species in the present Sierra Nevada. Rather, they

contain species of viburnum (*Viburnum*), avocado (*Persea*), magnolia (*Magnolia*), fig (*Ficus*), and many others with warm-humid affinities. Similar subtropical taxa with warm-humid physiognomic adaptations (large leathery leaves, entire margins, drip points) occur in the smaller Susanville Complex flora (appendix 5.1, list 26) and the early Oligocene La Porte flora (appendix 5.1, list 14). The only gymnosperms, represented in very low diversity in the macrofossil record, were similarly warm-humid-adapted. They included a cycad (*Zamia*), and possibly a yew (*Taxus*) species.

The additional assessment of the Chalk Bluffs deposit for pollen added a floral component that was absent in the macrofossil record. In very small proportions, pine, spruce, and fir pollens were identified, suggesting that these species blew in from distant areas that remained habitable to conifers in the otherwise incompatible subtropical environments. Except for Chalk Bluffs, conifer taxa have not been recorded from any other Sierran sites of this age, and pines were recorded from only one other Eocene deposit in California (Axelrod and Raven 1985). Indeed, pines and possibly other conifers in general seem to have retreated to hospitable refugia during the early Tertiary. At the global level, these refugia were at very high and low latitudes and in the few upland regions that existed in temperate latitudes, such as the volcanic plateau of the Great Basin–Idaho uplift (Millar 1993). Limited

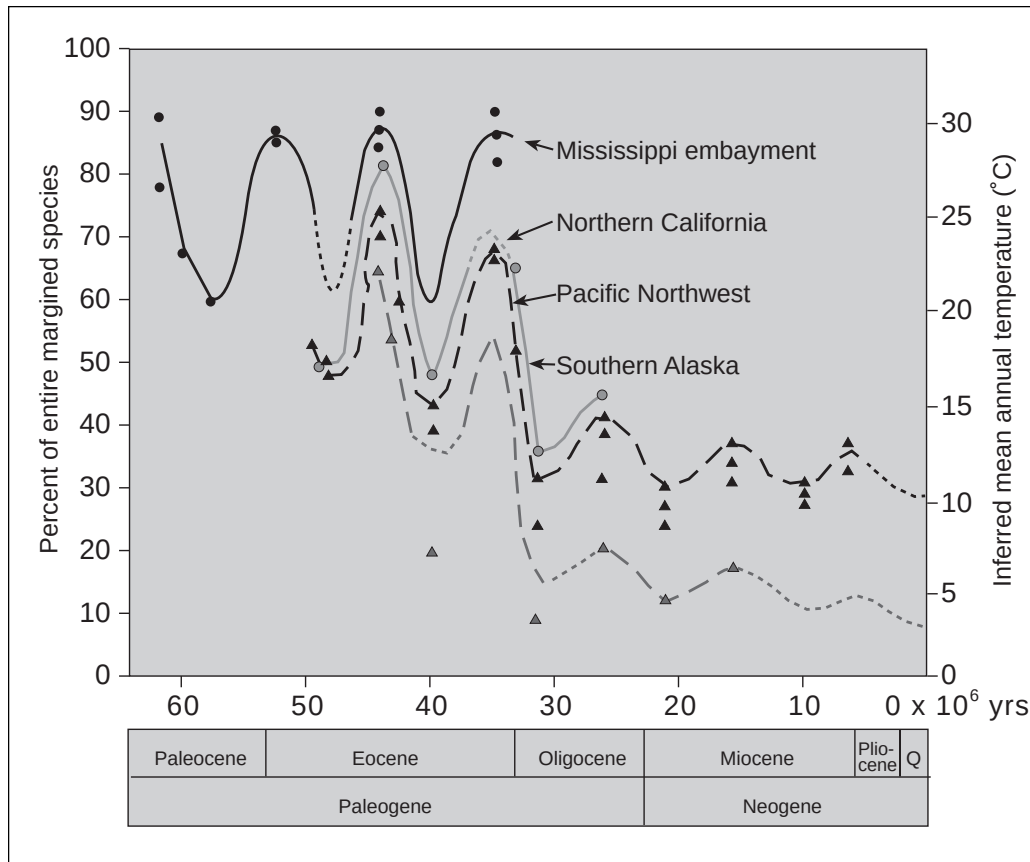


FIGURE 5.3

Tertiary paleotemperatures estimated from foliar physiognomy (from Wolfe 1978).

TABLE 5.3

Miocene fossil floras of the Sierra Nevada and surrounding regions, listed in approximate order of age (young to old).

Flora Name	Location	Present Elevation	Latitude and Longitude	Age	Ma	Number of Species	Reference
Alturas	Alturas, CA	1,350 m 4,390 ft	41°30' N 120°35' W	Late	8.1 ^a	7	Axelrod 1944b
Remington Hill	Nevada City, CA	1,180 m 3,840 ft	39°17' N 120°45' W	Late	9.5	32	Condit 1944
Chalk Hills	Virginia City, NV	1,785 m 5,800 ft	39°23' N 119°33' W	Late		28	Axelrod 1962
Table Mountain	Columbia, CA	677 m 2,200 ft	38°02' N 120°23' W	Late		28	Condit 1944
Upper Cedarville Pit River	Canby, CA	1,350 m 4,390 ft	41°22' N 121°4' W	Late		21	LaMotte 1935, 1936
Forest	Sierra County, CA	1,500 m 4,875 ft	39°30' N 125°52' W	Late		9	Knowlton 1911; Chaney 1944
Mohawk	Plumas County, CA	1,500 m 4,875 ft	39°46' N 120°38' W	Middle		13	Knowlton 1911; Axelrod 1944b
Aldrich Station	Yerington, NV	71,970 m 26,400 ft	38°27' N 118°54' W	Middle	11 ^a	35	Axelrod 1956
Fallon	Fallon, NV	1,200 m 3,900 ft	39°20' N 119°3' W	Middle	12.6	22	Axelrod 1956
Esmeralda ^b	Coaldale, NV	1,590 m 4,900 ft	38° N 117°46' W	Middle	12.7 ^a		Axelrod 1940
Purple Mountain	Wadsworth, NV	1,275 m 4,140 ft	39°35' N 119°4' W	Middle	13	36	Axelrod 1976, 1995
Stewart Spring	Stewart Valley, NV	1,655 m 5,500 ft	38°36' N 117°59' W	Middle	13–14 ^a	43	Wolfe 1964; Schorn 1984
Chloropagus	Hot Springs Mountains, NV	1,385 m 4,500 ft	39°42' N 118°57' W	Middle	13.9 ^a	22	Axelrod 1956
Gillam Spring	Gillam Spring, NV		40°30' N 118°57' W	Middle	15	63	Axelrod and Schorn 1994
Middlegate	Clan Alpine Mountains, NV	1,415 m 4,600 ft	39°17' N 118°2' W	Middle	15.9 ^a	43	Axelrod 1956, 1976, 1986
Upper Cedarville 49 Camp	49 Camp, NV	1,500 m 4,875 ft	41°36' N 119°55' W	Middle	16	43	LaMotte 1935, 1936; Chaney 1959
Fingerrock	Cedar Mountain, NV	1,754 m 5,700 ft	38°37' N 117°58' W	Middle	16.4	22	Wolfe 1964
Carson Pass ^b	Kirkwood, CA	2,550 m 8,290 ft	38°41' N 120° W	Middle			Axelrod 1977
Ebbetts Pass ^b	Markleeville, CA	2,660 m 8,645 ft	38°32' N 119°48' W	Middle			Axelrod 1977
Niagara ^b	Markleeville, CA	1,800 m 5,850 ft	38°40' N 119°48' W	Middle			Axelrod 1977
Tehachapi	Tehachapi, CA	1,538 m 5,000 ft	35°14' N 118°14' W	Middle	17.1 ^a	70	Axelrod 1939; Chaney 1944
Valley Spring	Mokelumne, CA	215 m 700 ft	38°19' N 120°46' W	Middle	19.9 ^a	17	Axelrod 1944b

^aAge is radiometrically confirmed.

^bThe available literature does not give full species list information for the flora.

exposures of Eocene fossil-bearing rocks in the Great Basin–Idaho uplift area constrain our understanding of the distributions of these more temperate taxa (e.g., taxa adapted to seasonally cool and dry climates) in the regions that directly surround the Sierra Nevada.

Collectively, the limited plant record from the early Tertiary in the Sierra Nevada, together with other Eocene floras from western North America, gives a picture of warm-humid-adapted forests with high diversity, spread throughout the

region of the Sierra Nevada. Many taxa represented in these floras currently occur in tropical rain forests of eastern Asia, and they are unable to tolerate frost, drought, or severe temperature fluctuations. Abrupt changes (rather than slow or no changes) in vegetation type occurred in the early Tertiary only near the edge of the major interior upland plateau, probably near the edge of the western Great Basin (Axelrod 1977). In this upland region, taxa existed that later appear in the Sierra Nevada and California mountains (Axelrod 1977).

Oligocene

The Oligocene remained a period of relative quiescence geologically for the Sierra Nevada, despite general uplift of the entire range, retreat of the coastline along the western margin of the Sierra, and local volcanic activity. Explosive volcanic activity and mountain building occurred, however, in the region of the Great Basin–Idaho uplands (McKee 1979; Stewart 1978).

The Oligocene was a time of major climatic transition in California and throughout temperate Northern Hemisphere latitudes. Climatic events that occurred during this epoch triggered the subsequent modernization of the Sierra Nevada forests. Although the dates, geographic extent, and causes remain questions of scientific interest and debate, little doubt exists that a major climatic change occurred near what is now considered the Eocene–Oligocene boundary, about 34 Ma (table 5.1) (references in McGowran 1990; Parrish 1987; Prothero 1994; Wolfe 1978). This climatic event, sometimes referred to as the terminal Eocene event (Wolfe 1978), was the most profound of the Tertiary. Inferred from many data sources, average temperatures in temperate latitudes at this time declined drastically (up to 13°C [23.4°F] in some areas over only one million years) (figure 5.3) and stayed low (al-

though not as low, and with minor fluctuations) throughout the rest of the Tertiary.

Accompanying this radical temperature drop was a shift to drier, more seasonal climates and to wider annual temperature ranges. The average range of temperatures is estimated to have been over 25°C (45°F), twice the present range (Wolfe 1971). Continental ice sheets occurred for the first time in the Tertiary during the Oligocene (McGowran 1990), although no glaciers are known to have formed in the Sierra Nevada until the onset of the Pleistocene.

The terminal Eocene event was marked by widespread disappearances and extirpations of the Eocene subtropical and tropical floras from middle latitudes worldwide (Wolfe 1978). Complementary expansions of cool-adapted conifers and angiosperms are recorded in many deposits of this age. These conifers may in fact have been reoccupying sites in middle latitudes that they had dominated in the late Mesozoic but had been forced out of by the pervasive warm-humid conditions of the early Tertiary (e.g., for pines, Millar 1993).

The Sierra Nevada has only one fossil deposit from the Oligocene, the La Porte flora of northern California (figure 5.1; appendix 5.1, list 14), and this is early enough to have affinities to the periods discussed earlier. The Sierra Nevada record, therefore, does not have the temporal resolution to

TABLE 5.4

Pliocene fossil floras of the Sierra Nevada and surrounding regions, listed in approximate order of age (young to old).

Flora Name	Location	Present Elevation	Latitude and Longitude	Age	Ma	Number of Species	Reference
Lake Tahoe pollen	Tahoe City, CA	1,900 m 6,175 ft	39°10' N 120°9' W	Late	1.9 ^{a,b}		Adam 1973
Tulelake pollen	Tulelake, CA	1,240 m 4,030 ft	42° N 121°30' W	Late	3–2 ^a		Adam et al. 1990; Adam et al. 1989
Crowley Point (Coso) pollen	Inyo Mountains, CA	1,180 m 3,840 ft	36°21' N 117°33' W	Late		25	Axelrod and Ting 1960
Darwin Summit (Coso) pollen	Inyo Mountains, CA	1,600 m 5,200 ft	36°17' N 117°42' W	Late		13	Axelrod and Ting 1960
Haiwee (Coso) pollen	Coso Mountains, CA	1,355 m 4,400 ft	36°14' N 117°56' W	Late	2.2 ^a	37	Axelrod and Ting 1960
Owens Gorge (Coso) pollen	Bishop, CA	2,000 m 6,500 ft	37°33' N 118°37' W	Late	3.2 ^a	30	Axelrod and Ting 1960
Panamint Springs (Coso) pollen	Inyo Mountains, CA	600 m 1,950 ft	36°18' N 117°26' W	Late		18	Axelrod and Ting 1960
San Joaquin Mountain (Coso) pollen	Mammoth Lakes, CA	2,954–3,052 m 9,600–9,920 ft	37°45' N 119°7' W	Late		23	Axelrod and Ting 1960
Nova pollen	Panamint Mountains, CA	800 m 2,600 ft	36°17' N 117°19' W	Late		22	Axelrod and Ting 1960
Wichman pollen	Wichman, NV	1,600 m 5,400 ft	38°42' N 119°4' W	Late		14	Axelrod and Ting 1960
Verdi	Verdi, NV	1,477 m 4,800 ft	39°31' N 119°58' W	Early	5.7 ^a	19	Axelrod 1958
Oakdale	Oakdale, CA	77 m 250 ft	37°47' N 120°42' W	Early	6	16	Axelrod 1944a
Mount Reba ^c	Bear Valley, CA	2,615 m 8,600 ft	38°31' N 120°1' W	Early	7	>6	Axelrod 1976, 1977

^aAge is radiometrically confirmed.

^bThis is a minimum age; the radiometric date is for the lava flow that overlies the pollen deposit.

^cThe available literature does not give full species list information for the flora.

trace at a fine scale the major transitional events indicated earlier. Because of this, the exact timing of climate change and vegetation response in the early mid-Tertiary cannot be determined for the Sierra Nevada.

Miocene and Pliocene

The Miocene and Pliocene epochs span the last half of the Tertiary, or about 23 million years. Fossil records are relatively abundant throughout this period, climate and mountain building are complex, and floristic relationships are significant to an understanding of modern vegetation patterns. We summarize these epochs together for this report, since no major transitions mark the Miocene-Pliocene boundary.

Uplift and mountain building of the Sierra Nevada accelerated during the Miocene, as evidenced by an increase in block faulting during this time. The range was not yet a moisture barrier to westerlies carrying ocean-laden air. The ocean that extended into the present Central Valley of California was reduced to a large inland sea bay, connected in several places to the ocean (figure 5.2). Its eastern shoreline extended along the middle third of the present Sierran axis (Axelrod 1968). By the mid-Miocene (16–18 Ma), Columbia River basalt was flowing, creating ample opportunities for fossil preservation in the still-high Great Basin–Idaho upland. Uplift of this region continued, estimated at another 920 m (3,000 ft), with higher regions in the south (Nevada) and lower in the north. Many fresh water lakes were formed during this uplift, which also proved important for fossil deposition.

Block-fault uplift of the Sierra Nevada continued through the Pliocene, with scattered volcanic activity along the eastern and, to a lesser extent, western margins. Huber (1981) estimated that, by the late Pliocene (3 Ma), the height of the central Sierra Nevada was about 2,100 m (6,825 ft). Much of the uplift of the Sierra Nevada apparently occurred after 1.9 Ma (Winograd et al. 1985), and the ranges were much less effective barriers to wet air masses from the west prior to that time. Formation of the major southeastern valleys (the Owens and Searles Valleys) may have been tied to the onset of mafic volcanism and basin-range faulting between 3 and 4 Ma (Duffield et al. 1980).

Following the major change in the climates of western North America (and elsewhere) during the Eocene-Oligocene transition, climates never again turned warm-humid and tropical in temperate western North America. There were, however, significant changes from the conditions of the Oligocene, and fluctuations in climate occurred throughout the rest of the Tertiary. From the cool-cold, strongly seasonal conditions inferred for Sierra-like regions of western North America during the Oligocene, average temperatures increased in the early Miocene, and rainfall decreased. This early Miocene warming was followed by a cooling and drying trend and increasing provincialization, which continued (with fluctuations) through the middle and late Miocene (Raven and Axelrod 1978). The late Miocene seems to have been cooler

than the middle, with evidence for dry summer climates beginning in Nevada by the late Miocene (Wolfe 1969). This is the first evidence for the Mediterranean climates and arid climates of the Sierra Nevada; prior to this, rainfall seems to have occurred year-round (Axelrod 1973, 1979; Raven and Axelrod 1978). Nevertheless, certain parts of the Sierra Nevada (e.g., near Lake Tahoe [Adam 1973]) seem to have retained more summer rain than at present until at least 1.9 Ma.

Although no major climatic, geologic, or floristic transitions mark the beginning of the Pliocene, this epoch was a period of decreased average rainfall and gradual decline in temperatures. The drying was related mostly to a change in the seasonality of precipitation, with summer drought increasing in length and severity. The full Mediterranean pattern typical of California and most of the Sierra Nevada developed only in the late Pliocene. The climate patterns may be related to increases in high-pressure areas, decreases in global temperatures, glaciation at high latitudes—all conditions during the later Pliocene that signaled the development of Pleistocene climate patterns. The middle of the Pliocene may have been the driest part of the Tertiary in California (Raven and Axelrod 1978), although some sites in the western interior of North America show evidence of greater levels of effective moisture than in modern times (Thompson 1991). Clearly there were significant fluctuations in climate during this period (e.g., Adam et al. 1990; Adam et al. 1989). By the end of the Pliocene, climates were distinctly cooler and wetter throughout the Sierra Nevada, with the estimated increase in rainfall 25–40 cm (10–15 in) above that of the mid-Pliocene (Axelrod 1977).

From the standpoint of scientific method, the Pliocene is a period that overlaps the focuses of Tertiary botany and Quaternary science, since deep pollen cores (previously restricted to the Quaternary) are increasingly penetrating Pliocene (and even Miocene) sediments. Since the methods and questions of the disciplines have differed somewhat, the Pliocene becomes rich with information from both scientific communities. When interpreting reports from the two disciplines, it is important to note that events may be described at different temporal, spatial, and conceptual scales. Quaternary scientists who investigate Tertiary phenomena focus especially on high frequency events (e.g., those with periods of 1,000 years or less) (Delcourt and Delcourt 1991, hierarchical models of time and space). Quaternary science methods are capable of looking at temporally continuous floristics and fine resolution in the temporal scale of climate; they have a strong focus on external forcing factors for detailed climate reconstruction. Quaternary scientists, however, continue to rely heavily on uniformitarian assumptions about species ecology, making the (probably valid) assumption that evolutionary change was not significant during the Holocene or even late Pleistocene. For the Pliocene, this assumption may be inappropriate, and floristic comparisons based on response-surface analyses may not be well calibrated to successively older taxa.

Analysis of continuous records of microfossils (pollen and

diatoms) in parts of California make the dating of climate events and high-frequency fluctuations more precise. An example from northeastern California, on the Modoc Plateau, is the Tulelake core, with continuous records into the late Pliocene (figure 5.1; table 5.2; appendix 5.1, list 29) (Adam et al. 1990; Adam et al. 1989). During the period from 3.0 Ma to 2.12 Ma, fluctuations in the Sierra Nevada climate are recorded from the Tulelake core. From 3.0 to 2.9 Ma, the climate was cool, whereas from 2.9 to 2.6 Ma, warmer conditions prevailed (as much as 5°C [9°F] higher than current conditions). Evidence for severe summer drought also exists. From 2.48 to 2.12 Ma, the Tulelake basin dried, and floristic compositions (e.g., sagebrush [*Artemisia*]) increased, probably reflecting colder conditions as well. A shift to cool, moist conditions around 2.0 Ma is inferred from diatoms.

Vegetation dynamics of the Miocene and Pliocene of the Sierra Nevada and adjacent regions are complex. Although this undoubtedly reflects the major environmental transitions of the time, it is probably also an artifact of the better records from younger ages. I discuss in turn the Miocene-Pliocene vegetation history under three more-or-less-chronological themes:

1. Early extinctions and vegetation replacements resulting from the Eocene:Oligocene climatic event,
2. High diversity through the middle to late Miocene correlated with summer rain, and
3. Migrations, species turnovers, increasing provincialization, zonation, and late Miocene extinctions due to decreasing summer rainfall through the Miocene-Pliocene.

Early Extinctions and Replacements

Since the Oligocene is poorly recorded in the Sierra Nevada, the response of vegetation to the major climatic transitions of the Eocene:Oligocene can be read only from the later record. Many Tertiary records from the mid-Miocene in the Sierra Nevada and western Nevada show enormous turnovers in vegetation relative to earlier (Eocene) deposits (compare table 5.2 and appendix 5.1, lists 3 and 26 to table 5.3 and appendix 5.1, lists 1, 5, 8, 9, 11, 15, 16, 22, 25, 28, 30, 32). The most dramatic changes are the loss of warm-humid-adapted angiosperms and the appearance of cool-temperate-adapted conifers and angiosperms (Axelrod 1977, 1986; Axelrod and Schorn 1994; Raven and Axelrod 1978; Schorn 1984; Wolfe 1969). Almost all of the key subtropical and tropical taxa (e.g., *Diospyros*, *Ficus*, *Engelhardtia*, *Magnolia*, *Viburnum*, *Cinnamomum*, *Persea*) that are known from Sierran and other western North American Eocene floras are missing from the fossil deposits of the mid-Tertiary. In both floristics and foliar physiognomy (percentage of entire-margined leaves), the adaptations changed from tropical to temperate (Wolfe 1969). In general, the losses of taxa from the Sierra Nevada represent major regional and even continental extirpations: many taxa

(or their nearest relatives) that occurred in California during the Eocene are found now in tropical Mexico and others only in eastern Asia (Axelrod 1977; Raven and Axelrod 1978).

Replacing these subtropical taxa, by the mid-Miocene, were abundant conifer species of the Pinaceae (*Pinus*, *Abies*, *Picea*), Cupressaceae (*Chamaecyparis*, *Thuja*, *Juniperus*), and Taxodiaceae (*Sequoiadendron*, *Sequoia*) and angiosperm species such as *Alnus*, *Fraxinus*, *Populus*, *Salix*, *Arbutus*, *Quercus*, and *Ceanothus* (e.g., appendix 5.1, lists 1, 5, 8, 9, 11, 15, 22). In addition to taxa currently native to the Sierra Nevada, these Miocene forests contained temperate-adapted taxa now native to other parts of North America. These included conifers and angiosperms currently native to non-Sierran provinces of California (e.g., *Chamaecyparis*, *Sequoia*, *Picea*, *Thuja*, coastal species of *Quercus*), as well as species that now grow in eastern North America (e.g., *Carya*, *Ulmus*, *Juglans*, *Liquidambar*).

Many of these temperate-adapted taxa appear to have been present during the early Tertiary on the volcanic plateau of the Great Basin-Idaho uplift, which seems to have served as a refugial island during the warm, humid phases of the early Tertiary (Axelrod 1968, 1986; Axelrod and Raven 1985; Millar 1993; Millar and Kinloch 1991). These taxa would have been closely adjacent to the Sierra Nevada, capable of migrating westward relatively rapidly into the range as Oligocene and early Miocene climates opened hospitable habitats in the Sierra Nevada (Axelrod 1977). Prior to basin and range extension, these two regions were closer together than at present (Fiero 1991).

High Diversity of Miocene Floras

One consequence of these biogeographical changes was that, although temperate taxa replaced tropical ones in the Sierra Nevada by the mid-Miocene, diversity of the new flora was high, apparently much higher even than at present in the Sierra Nevada. Not only was the total diversity of species high (many currently native species plus taxa not now in the Sierra), but also the vegetation associations were highly diverse and different from those of the present. For instance, in the Purple Mountain flora of western central Nevada (mid-Miocene) (appendix 5.1, list 22), the following species occurred together in one deposit: false cypress (*Chamaecyparis*), red and white firs (*Abies* cf. *magnifica* and *A.* cf. *concolor*), Santa Lucia fir (*A.* cf. *bracteata*), western white pine (*Pinus* cf. *monticola*), Brewer's spruce (*Picea* cf. *breweriana*), giant sequoia (*Sequoiadendron* cf. *giganteum*), madrone (*Arbutus*), live oak (*Quercus* cf. *chrysolepis*), cottonwood (*Populus*), and willow (*Salix*) (Axelrod 1976). At the Upper Cedarville locality of northwestern Nevada (mid-Miocene) (appendix 5.1, list 30), false cypress, Ginkgo, redwood (*Sequoia* cf. *sempervirens*), red fir, ponderosa pine (*Pinus* cf. *ponderosa*), nutmeg (*Torreya*), madrone, chestnut (*Castanea*), beech (*Fagus*), hickory (*Carya*), ash (*Fraxinus*), *Tilia*, and elm (*Ulmus*) grew together (LaMotte 1936). The Chalk Hills forest of western central Nevada (late Miocene) (appendix 5.1, list 4) contained false cypress, white

fir, foxtail pine (*Pinus* cf. *balfouriana*), Douglas fir (*Pseudotsuga* cf. *menziesii*), giant sequoia, madrone, *Rhododendron*, hickory, and oak (*Quercus*) (Axelrod 1962).

By modern standards these and most other Sierran middle to late Miocene floras strike us as unusual in that they contain mixes of “incompatible” species. For instance, the co-occurrence of foxtail pine (subalpine), Port Orford cedar (warm-humid), giant sequoia (middle elevation, mixed conifer, fire-adapted), rhododendron (cool-mesic), hickory (continental, well-distributed rainfall), and scrub live oak (xeric) challenges our ability to imagine the Tertiary habitat. Although biases in the fossil record (such as single floras appearing contemporaneous from the stratigraphy but actually representing many years of accumulation) may skew interpretations, these diverse vegetation assemblages recur commonly enough to indicate that the associations were actually this diverse and ecologically complex by modern standards.

An explanation for the high species and association diversities is that, although climates of the Sierra Nevada and western Nevada were temperate by the Miocene, rainfall remained distributed throughout the year (Axelrod 1977; Axelrod and Schorn 1994; Wolfe 1969). A common requirement, or tolerance, of the species in these assemblages is summer rainfall. From several lines of evidence, summer rainfall appears to have persisted late into the Miocene, although the trend was toward decreasing summer rain during this period. Summer rainfall would offer permissive conditions for subtropical taxa that were remnants of the early Tertiary (e.g., Ginkgo at Upper Cedarville [appendix 5.1, list 30]; *Viburnum* and *Persea* at Tehachapi [appendix 5.1, list 28]; *Magnolia* at Mohawk [appendix 5.1, list 16]) as well as temperate broad-leaved species not native to California now (hickory, beech, chestnut, honey locust [*Robinia*]) and temperate conifers and angiosperms that can tolerate these conditions. Temperate climate with summer rainfall thus contributed to the high diversity of Miocene floras.

Response to Decreasing Summer Rainfall and Warmer Summers

The main catalyst for vegetation change in the late Tertiary appears to have been the trend to decreasing summer rainfall, which culminated in the well-developed Mediterranean pattern of present-day California. Lack of distribution of rainfall throughout the year, especially when associated with high temperatures during the growing season, is extremely stressful to plants. It requires specific adaptations and is apparently intolerable to many taxa. One pattern that appears from this climate trend is increasing provincialization and zonation of assemblages. Although there are few early Tertiary deposits in the Sierra Nevada, their composition parallels the many Eocene fossil floras from throughout western North America. Notable about these floras, in addition to their general subtropical or tropical adaptations, is their low degree of regional or local differentiation (Wolfe 1969). In the Sierra

Nevada, little evidence of provincial development exists by the mid-Miocene. By the late Miocene and the Pliocene, floras of western North America, including those in the Sierra Nevada, had become increasingly differentiated, by region, latitude, and elevation (Wolfe 1969). Cooler winters may also have contributed to differentiation.

Although mid-Miocene floras had remained highly diverse by virtue of adequate summer rain, decreasing summer precipitation in the later Miocene and the Pliocene narrowed the adaptive zones for these species, segregating them into habitats specific to the needs of individual taxa. Thus the “incompatible mixtures” of species from the mid-Miocene started to segregate into the “compatible mixtures” of modern associations, with the result that floras became more depauperate. Compared to modern standards, the mid-Miocene floras reflect more than earlier Miocene floras the locally specific conditions (e.g., elevation, orography, local climate) currently influencing species distributions and ecosystem dynamics in the Sierra Nevada.

Another consequence of increasing summer drought was migration or shifting distributions of species. The diverse forests of the mid-Miocene in Nevada, which contained taxa that are now found in subalpine, mixed conifer, and coastal environments, appear to have contributed taxa that moved west and found suitable habitats in the Sierra Nevada by the late Miocene and the Pliocene. For example, foxtail pine, present in several early and middle Miocene floras in Nevada but absent from California in the early Tertiary, appears to have migrated westward to the Sierra Nevada and western California. It remains a relict in only two regions in California that persist in having summer rain today: the southern Sierra Nevada and the Klamath Province (Axelrod 1977). As the conifer taxa moved west and up into the Sierra Nevada, the Nevada basins and ranges lost these “montane” conifers and were colonized by piñon/juniper species, which spread rapidly across this area in the early Pliocene. Increasing aridity eventually forced even these conifers to higher elevations, and sage/bitterbrush dominated the dry basins. The effect on Sierra Nevada floras is exemplified by the Mount Reba flora of the central Sierra Nevada. Situated now at 2,625 m (8,600 ft) but probably lower in the early Pliocene, the deposit contains live oak, tan oak (*Lithocarpus* cf. *densiflora*), Douglas fir, cypress (*Cupressus*), white fir, and giant sequoia (appendix 5.1, list 17) (Axelrod 1976). By the early Pliocene, these taxa were no longer present in western Nevada, although they had been in earlier Miocene floras. Presumably many of these species were extirpated in western Nevada and had migrated westward into the Sierra, where they found more suitable habitats.

Contributing also to the modernization of the Sierra Nevada forests was gradual extinction or extirpation of many species adapted to warm winters and summer rainfall. By the late Miocene and the Pliocene, subtropical/tropical and temperate hardwoods requiring summer rain were mostly gone

(e.g., Chalk Hills [appendix 5.1, list 4]; Oakdale [appendix 5.1, list 19]; Verdi [appendix 5.1, list 33]), and warm-humid conifers (Port Orford cedar, redwood, Thuja) and other taxa that are now coastal (Brewer's spruce [*Picea cf. breweriana*], Santa Lucia fir [*Abies cf. bracteata*]) were declining in representation. Relicts from the early Tertiary persisted longer on the west side of the Sierra Nevada than on the east side (Raven and Axelrod 1978). On the west slope, a rich oak woodland was present in the early Pliocene (e.g., Oakdale flora [appendix 5.1, list 19]) that still contained summer-rain species, such as *Celtis*, *Persea*, *Robinia*, *Sapindus*, and *Umbellularia*, which were gone from the eastern Sierra by that time (Axelrod 1977). These extirpations left diversity lower than in the early to middle Miocene forests and left communities both more differentiated and more adapted to summer drought. In sum, vegetation diversity was lower by the late Tertiary in part due to elimination of species now allied with taxa in eastern North America and eastern Asia and in part due to segregation into climate zones elevationally and latitudinally in the Sierra Nevada.

These patterns over the late Miocene and the Pliocene are well documented in a set of continuous sediments at Gillam Spring, northwestern Nevada (Axelrod and Schorn 1994). A rapid change in species diversity and abundance centered around 15 Ma is recorded in three stratigraphically continuous localities (appendix 5.1, list 11; figure 5.4). The compositions of the florules shift from being dominated in the oldest stratum by deciduous hardwoods allied to taxa of the eastern United States and eastern Asia to being dominated in the youngest stratum by conifers and summer-drought-adapted mountain hardwoods.

The last phase of the Tertiary was marked by climates that by some indications were warmer than at present in the Sierra Nevada and possibly not as dry. Between 2 and 3 Ma in the Tulelake samples (Adam et al. 1990), pine and *Taxodiaceae-Cupressaceae-Taxaceae* (assumed to be incense cedar or juniper) dominate, whereas the modern vegetation is sagebrush shrub. Increasing summer drought eliminated most of the sclerophyllous vegetation from western Nevada and stratified Sierran conifers both elevationally and by slope (west or east side of the crest). That the Mediterranean climate pattern had not become as intensified in the earliest Pleistocene (1.9 Ma) as at present, however, is suggested by the lingering persistence of spruce near Lake Tahoe (appendix 5.1, list 13) (Adam 1973).

The general vegetation trends described for the second half of the Tertiary can be seen in the distributions for select individual taxa (figures 5.5–5.22). Many conifers now native to the Sierra Nevada had much broader Tertiary distributions, were not stratified into the vegetation groups we now recognize, and/or were present only in western Nevada during the Tertiary. This can be seen for several species currently in the upper montane and subalpine zones (red fir [figure 5.5]; bristlecone pine [*Pinus cf. longaeva*] [figure 5.6]; foxtail pine

[*Pinus cf. balfouriana*] [figure 5.7]; western white pine [figure 5.8]; western hemlock [*Tsuga cf. heterophylla*] [figure 5.9]). It is also seen for conifers that currently occur in mixed conifer and primarily west-side Sierran forests (white fir [figure 5.10]; incense cedar [figure 5.11]; sugar pine [*Pinus cf. lambertiana*] [figure 5.12]; ponderosa [*P. cf. ponderosa*] and Jeffrey [*P. cf. jeffreyi*] pines [figures 5.13, 5.14]; Douglas fir [figure 5.15]; and giant sequoia [figure 5.16]). Many oaks similarly had broad distributions in the Sierra and western Nevada during the Miocene and Pliocene (black oak [*Quercus cf. kelloggii*] [figure 5.17]; white oak [*Q. cf. lobata*] [figure 5.18]).

Several species were broadly distributed in the middle Tertiary, eventually became extirpated from the Sierra Nevada, but now occur in coastal California habitats. These include false cypresses and Santa Lucia fir (figure 5.19), Brewer's spruce (figure 5.20), coast redwood (figure 5.21), and coast live oak (*Quercus cf. chrysolepis*) (figure 5.22). Blue oak (*Q. douglasii*) and scrub oak (*Q. dumosa*), both widespread now, occur in surprisingly few Tertiary deposits (figure 5.23).

The importance of taxonomic identification and revision to interpretation of biogeography and evolution can be demonstrated with two examples. Howard Schorn (letter to the author, July 1995) is revising many of the Tertiary floras from Nevada and the Sierra Nevada and provides the following information. As a generalization, the revision of taxonomy indicates no *Pseudotsuga*, *Larix*, or *Thuja* in the Nevada–Sierra Nevada area during the Tertiary. The many fossils that previously indicated the presence of Douglas fir (figure 5.15) are in fact now identified primarily to *Abies* and *Tsuga*, with none to Douglas fir. Thus, the biogeographic origins of Douglas fir must not parallel the history described above for many of the present-day Sierran conifers. Rather than originating on the upland plateau of Nevada, Douglas fir must have moved into the Sierra Nevada from another bioregion.

Similarly, Schorn's revisions (letter to the author, July 1995, and manuscript in preparation) indicate that, although abundant *Abies* is recorded in Tertiary deposits, no white fir (*Abies concoloroides*) is firmly identified from the Sierra Nevada or Nevada until the Pliocene about 6–8 Ma, and then only in the vicinity of Reno, Nevada. This contrasts with earlier descriptions (figure 5.10), which suggest white fir was widespread through this region during the Tertiary. Schorn identifies most of the white fir fossils as red fir (*A. magnifica*) instead. These revisions markedly change interpretations of the origins of these two taxa: white fir, like Douglas fir, apparently was not part of the upland Nevada mixed conifer forests and did not enter the Sierra Nevada from the east. Conversely, red fir was much more abundant and widespread throughout Nevada Tertiary forests than previously indicated (figure 5.5), and apparently did co-occur in the upland region as part of the diverse Miocene and Pliocene forests.

These examples underscore the importance of correct taxonomic identifications and the need for widespread revision of old fossil floras with new methods.

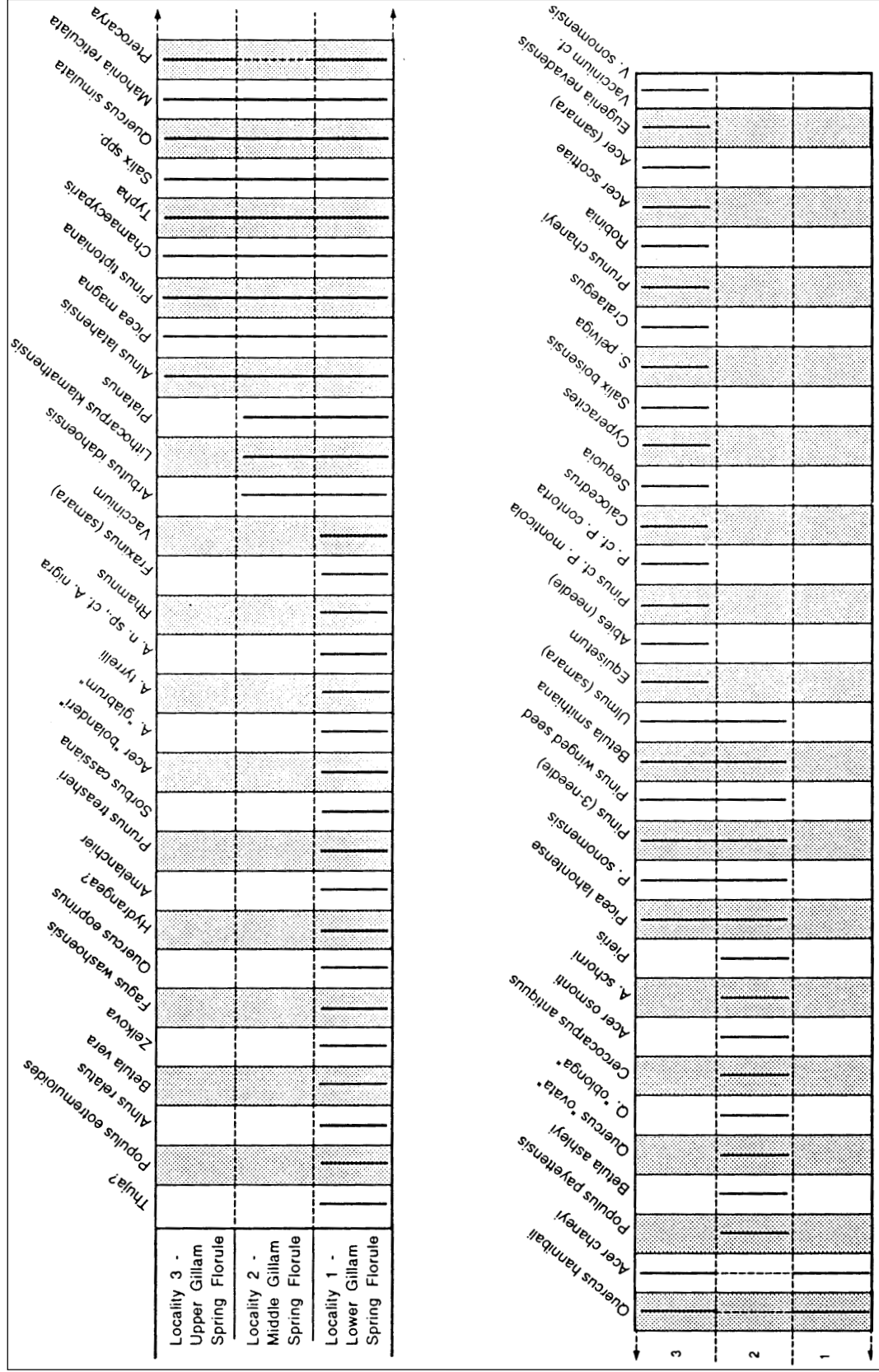


FIGURE 5.4

Rapid change in species diversity and abundance recorded at three stratigraphically continuous mid-Miocene sites at Gillam Spring, Nevada (from Axelrod and Schorn 1994).

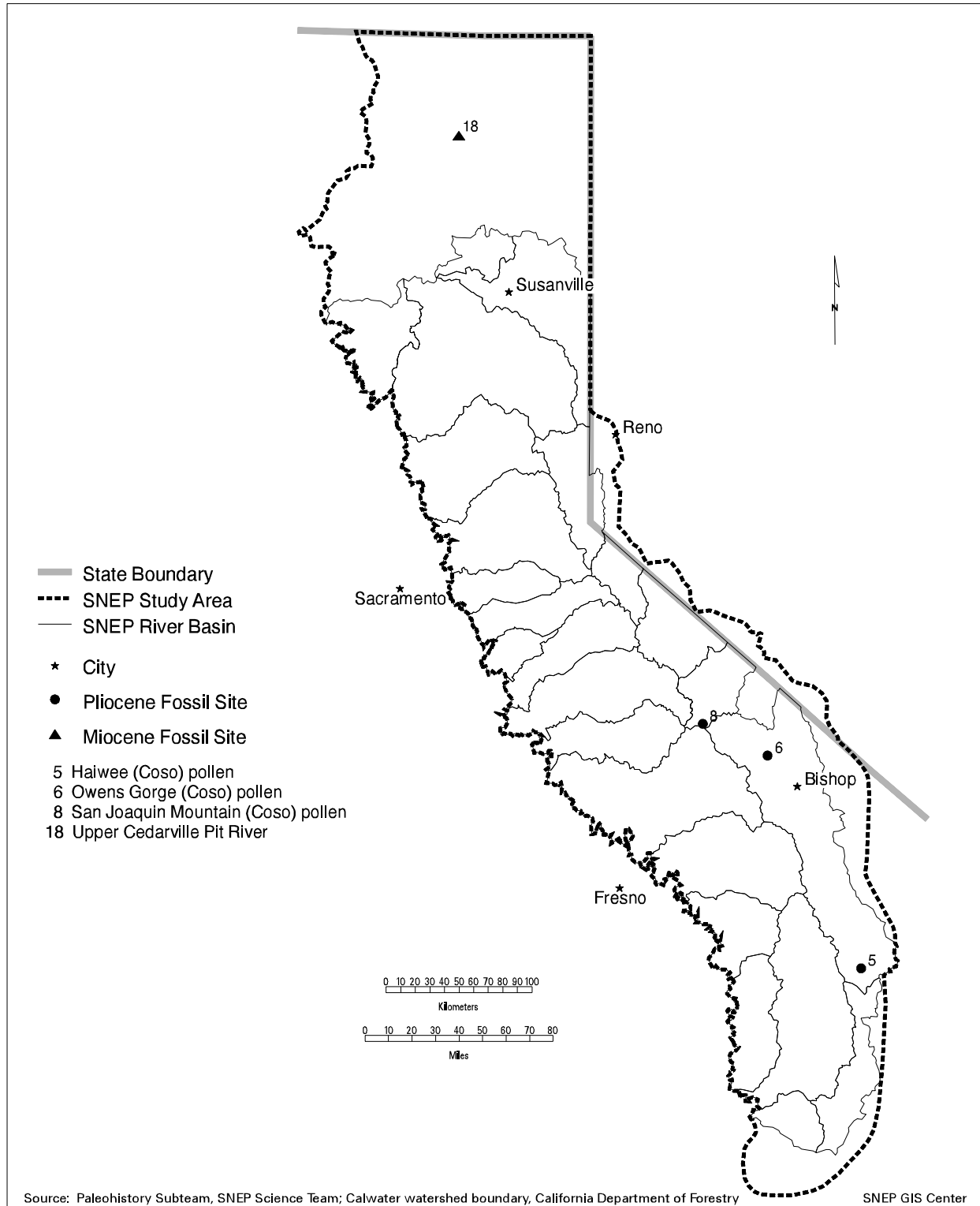


FIGURE 5.5

Distribution of red fir (*Abies* cf. *magnifica*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 5, 6, and 8 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question. Unpublished revisions by Howard Schorn of the University of California, Berkeley, Museum of Paleontology (letter to the author, June 1995) indicate that all *Abies concolor* fossils indicated for Tertiary Nevada–Sierra Nevada are in fact red fir.

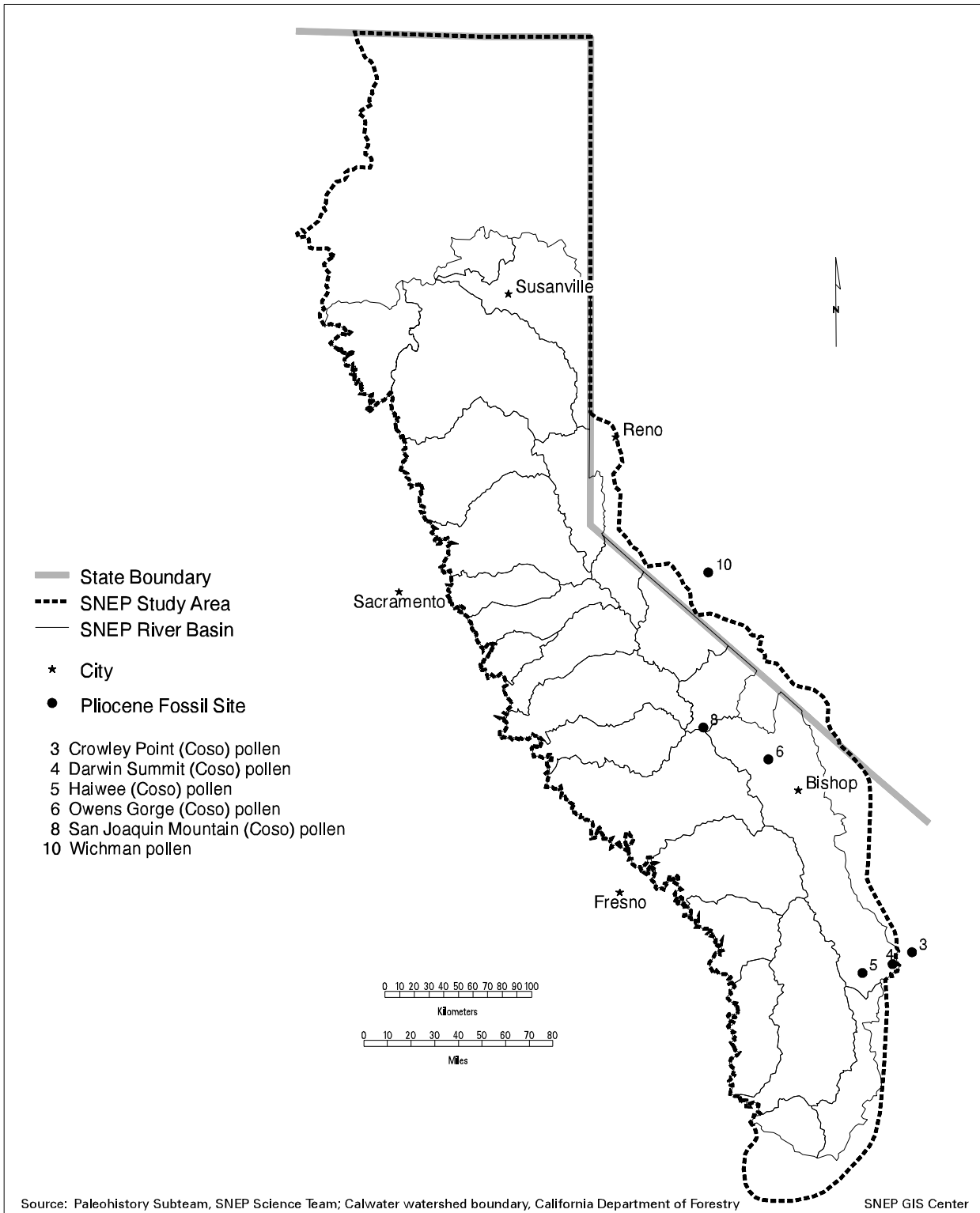


FIGURE 5.6

Distribution of bristlecone pine (*Pinus cf. longaeva*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 3–6, 8, and 10 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

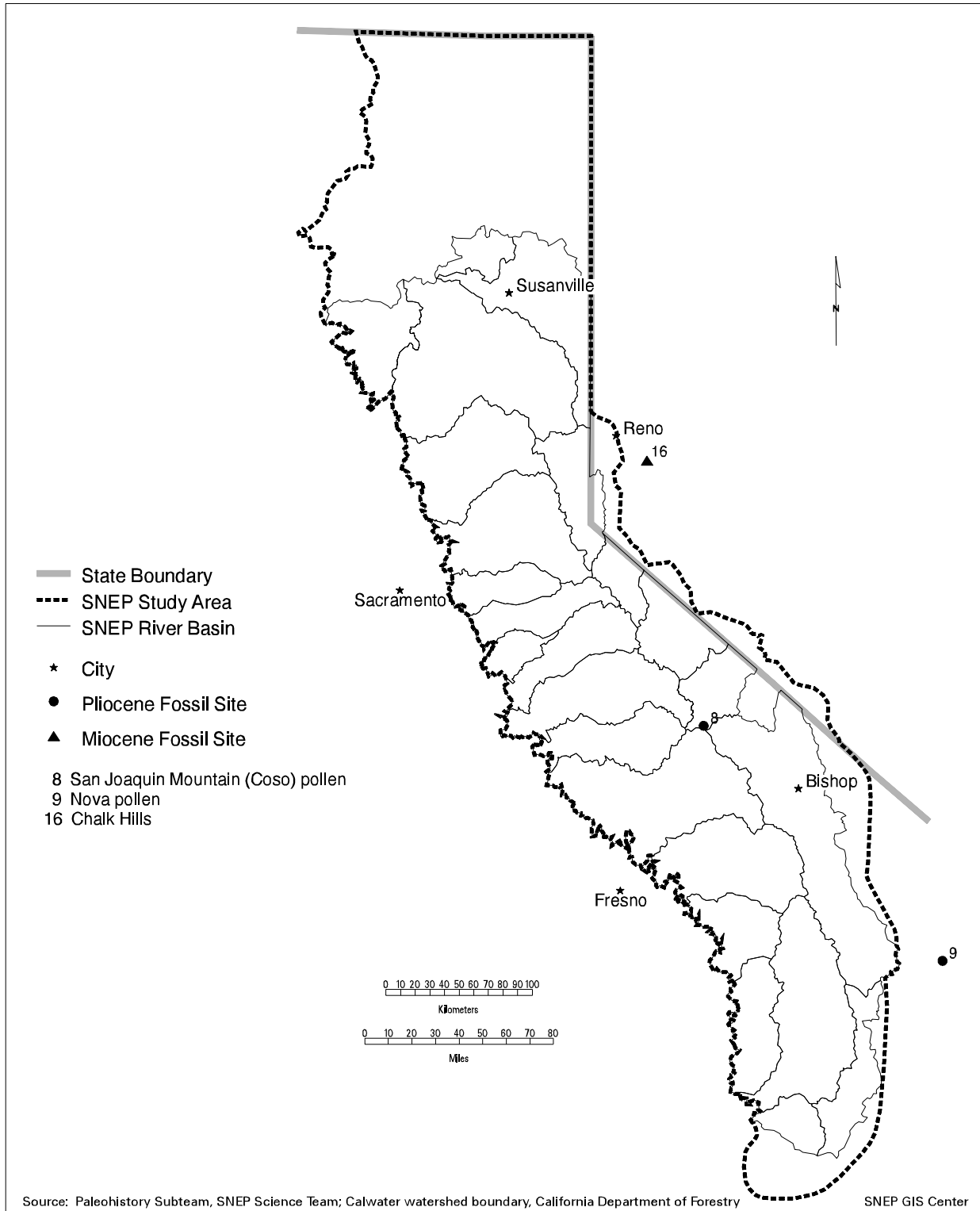


FIGURE 5.7

Distribution of foxtail pine (*Pinus* cf. *balfouriana*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 8 and 9 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

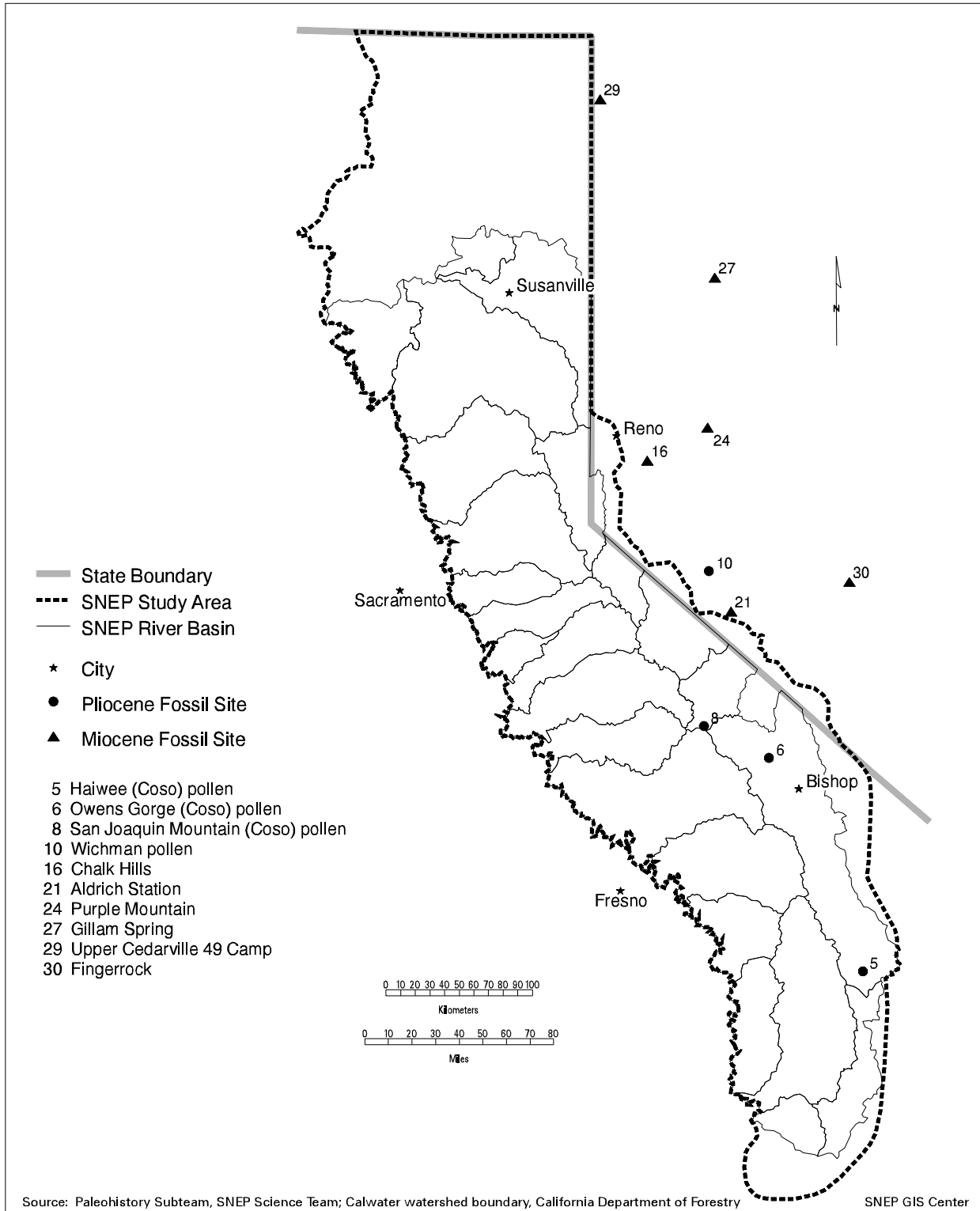


FIGURE 5.8

Distribution of western white pine (*Pinus* cf. *monticola*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 5, 6, 8, and 10 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

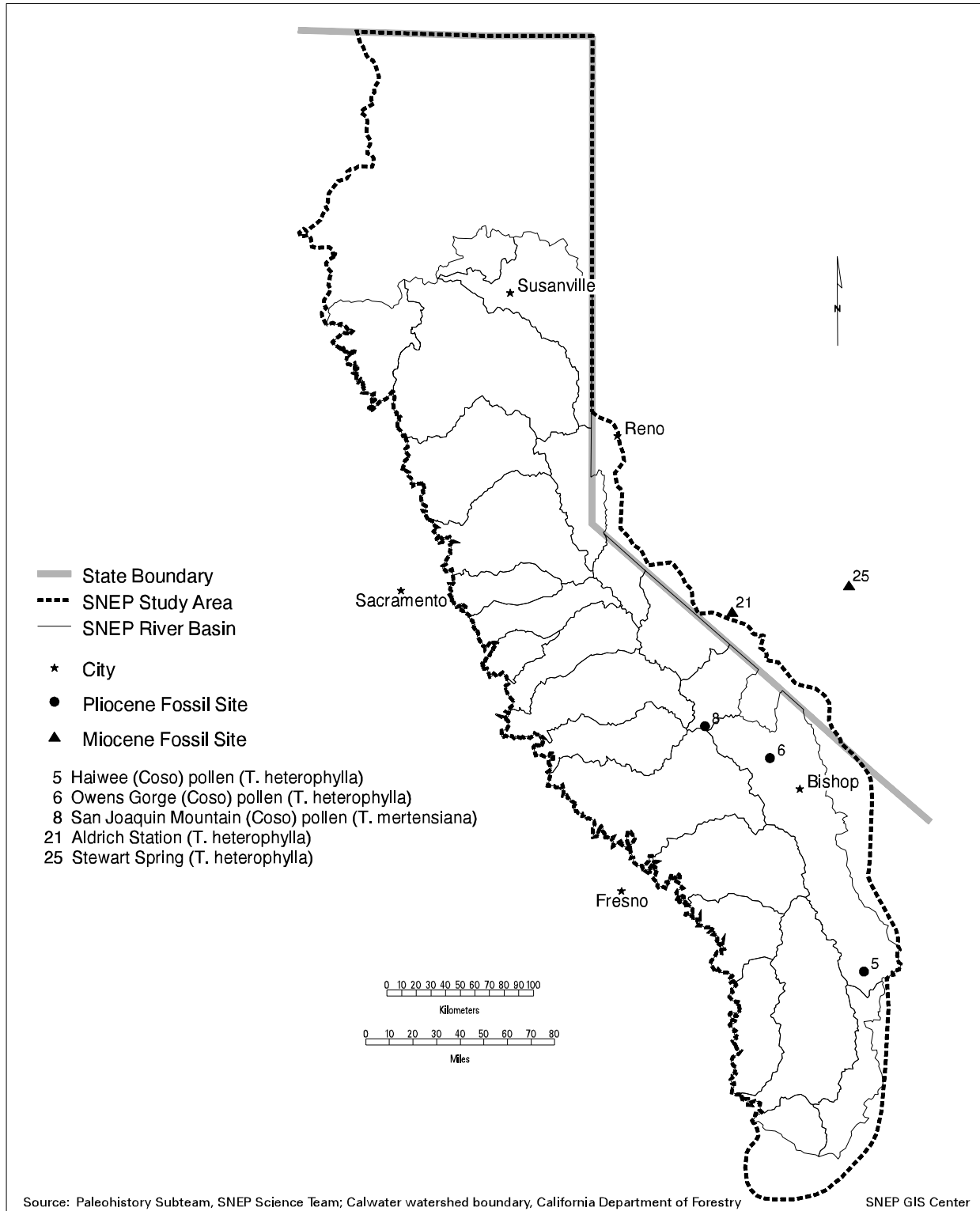


FIGURE 5.9

Distribution of hemlock (*Tsuga*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 5, 6, and 8 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

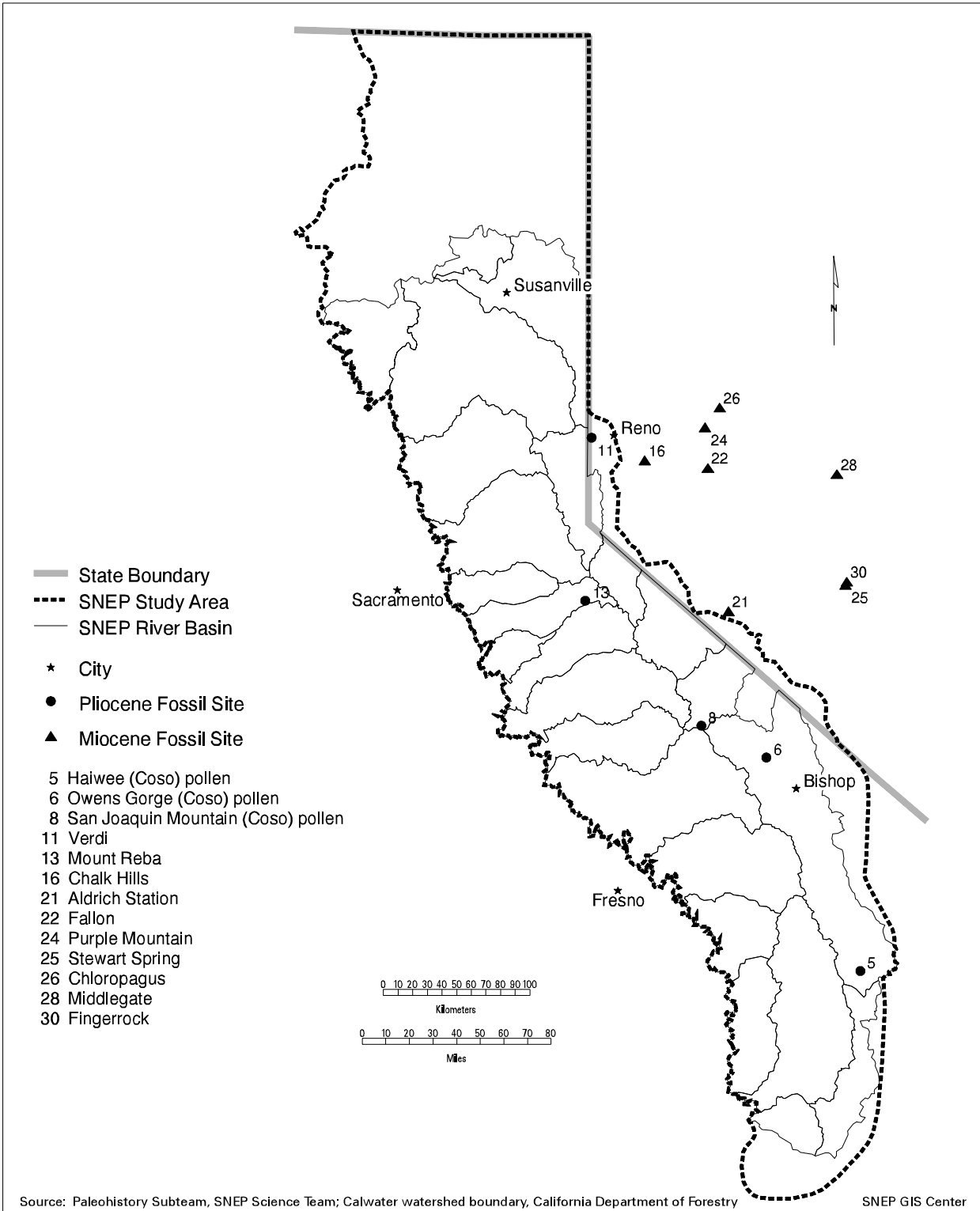


FIGURE 5.10

Distribution of white fir (*Abies cf. concolor*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 5, 6, and 8 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question. Unpublished taxonomic revisions by Howard Schorn of the University of California, Berkeley, Museum of Paleontology (letter to the author, June 1995) indicate that there is no white fir in this bioregion except in the Reno, Nevada, area (Verdi) during the Tertiary. The fossils originally described as white fir now are primarily identified as red fir.

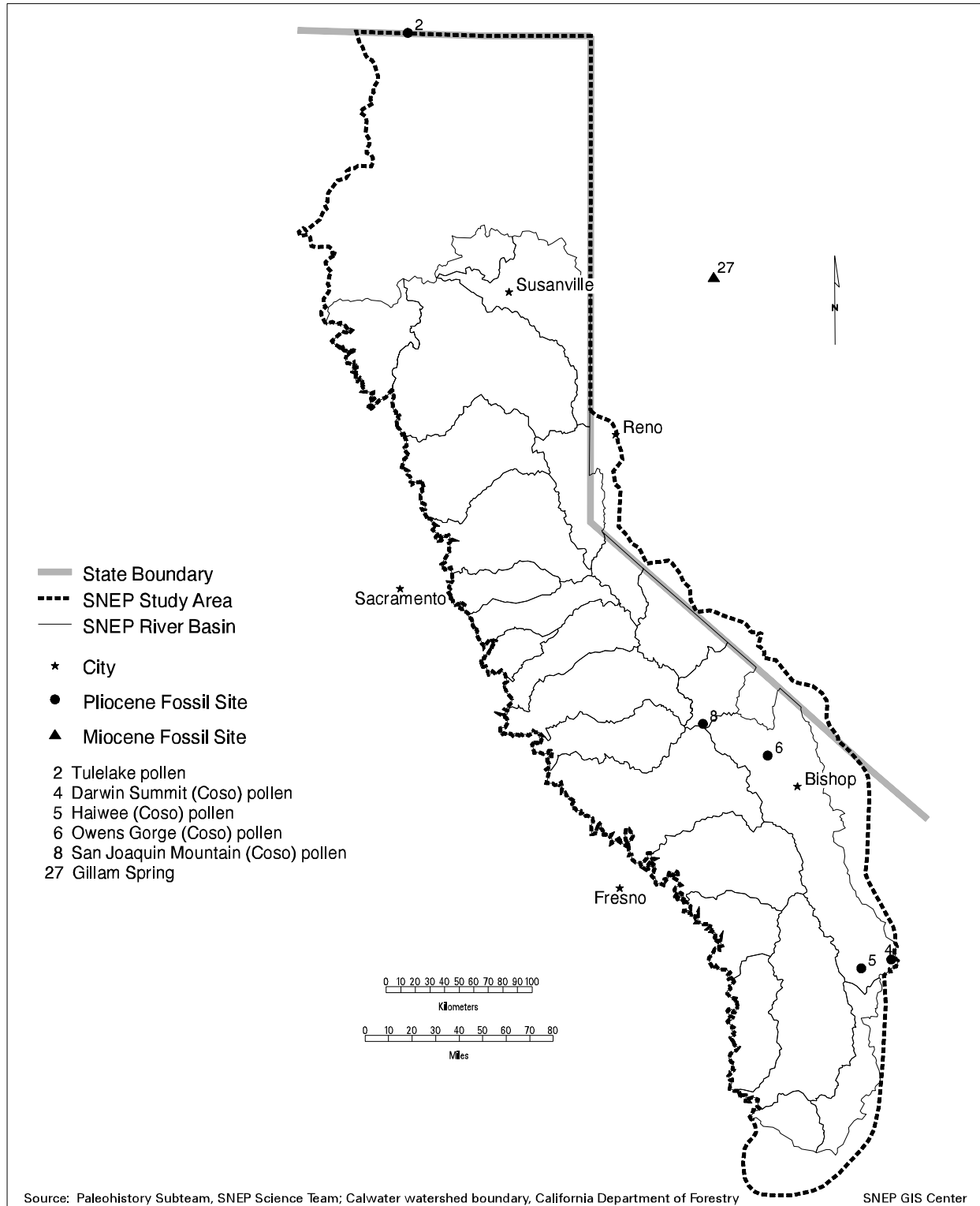


FIGURE 5.11

Distribution of incense cedar (*Calocedrus* cf. *decurrens*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 2, 4–6, and 8 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

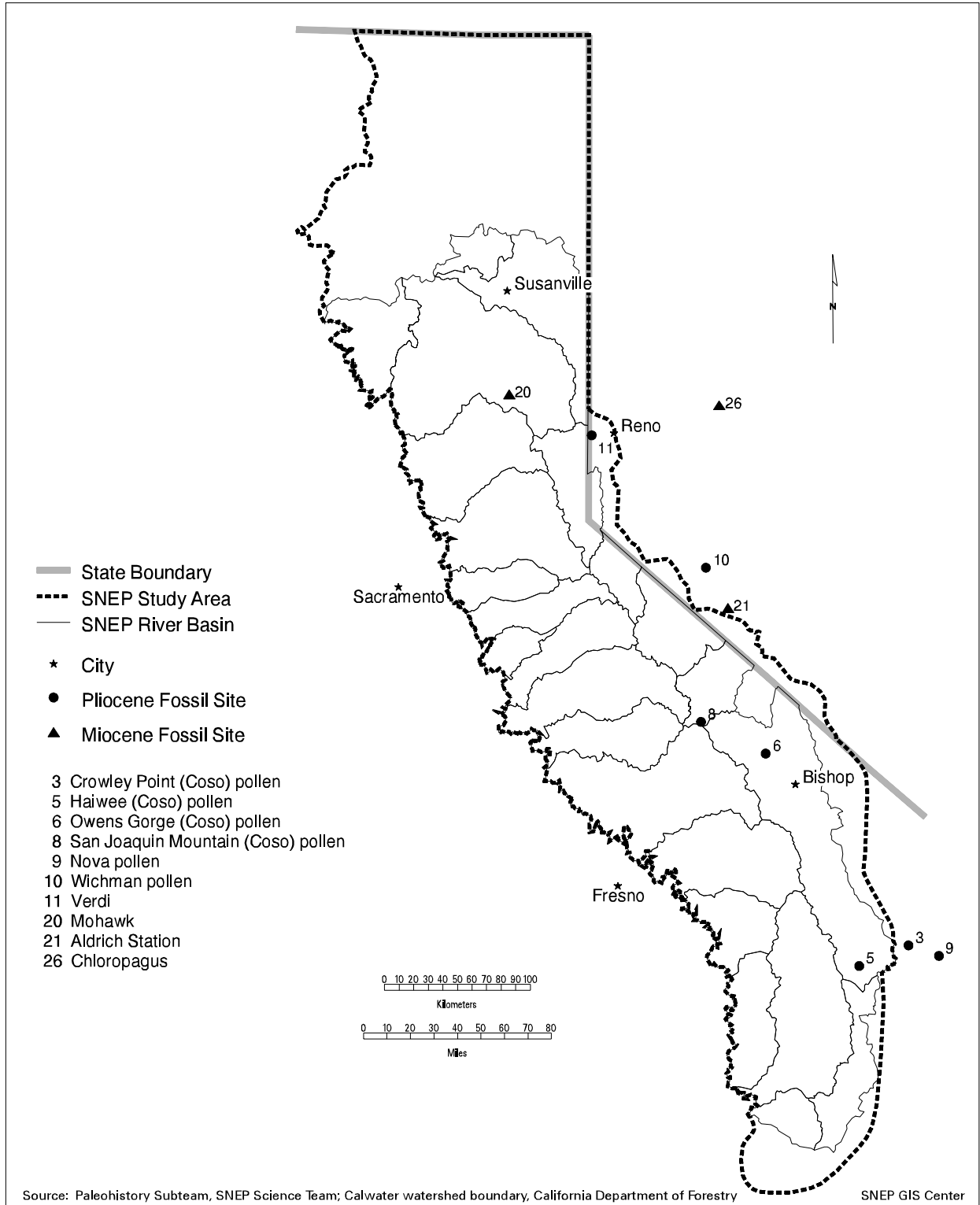


FIGURE 5.12

Distribution of sugar pine (*Pinus cf. lambertiana*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 3, 5, 6, and 8–10 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

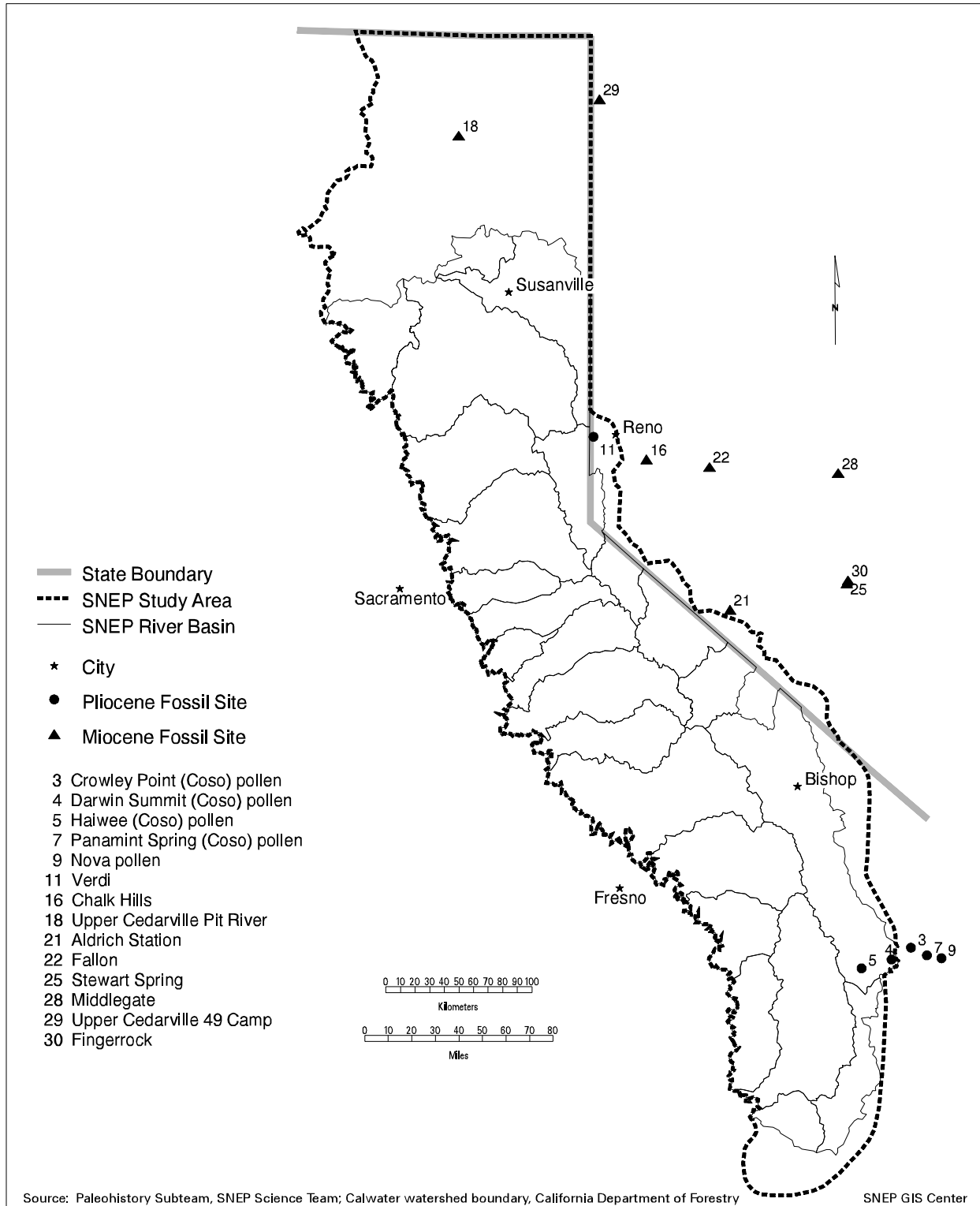


FIGURE 5.13

Distribution of ponderosa pine (*Pinus cf. ponderosa*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 3–5, 7, and 9 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

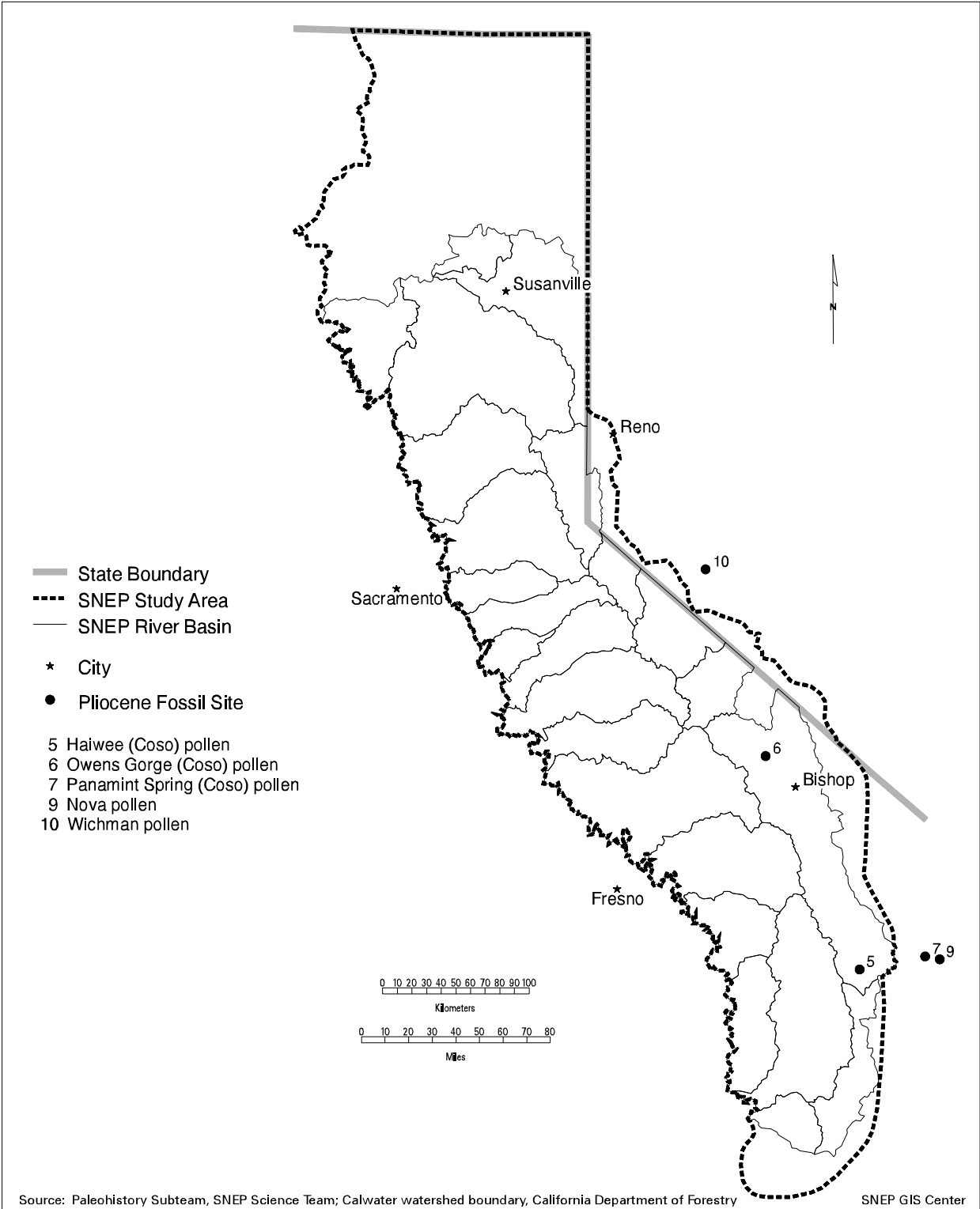


FIGURE 5.14

Distribution of Jeffrey pine (*Pinus cf. jeffreyi*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 5–7, 9, and 10 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

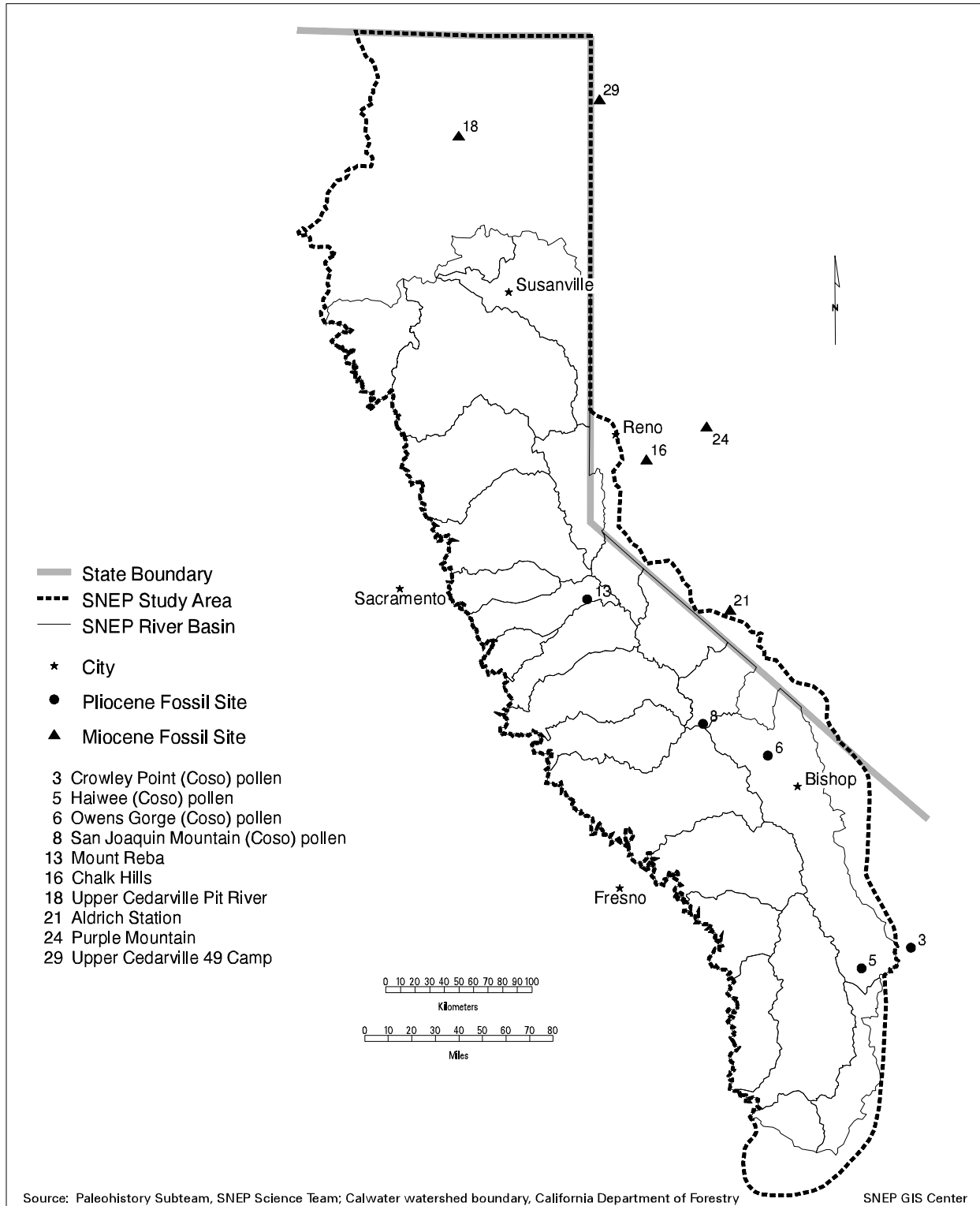


FIGURE 5.15

Distribution of Douglas fir (*Pseudotsuga cf. douglasii*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 3, 5, 6, and 8 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

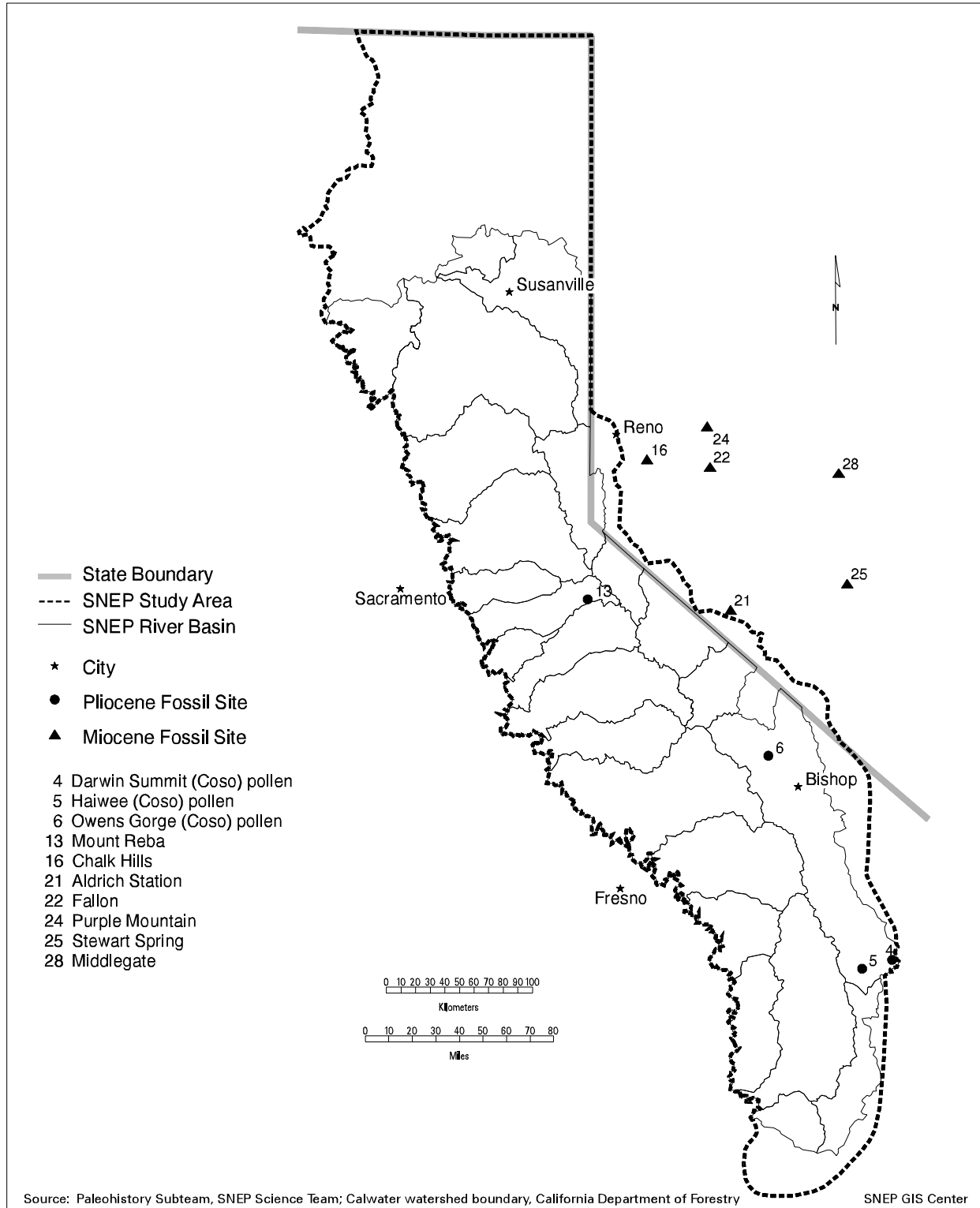


FIGURE 5.16

Distribution of giant sequoia (*Sequoiadendron cf. giganteum*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 4–6 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

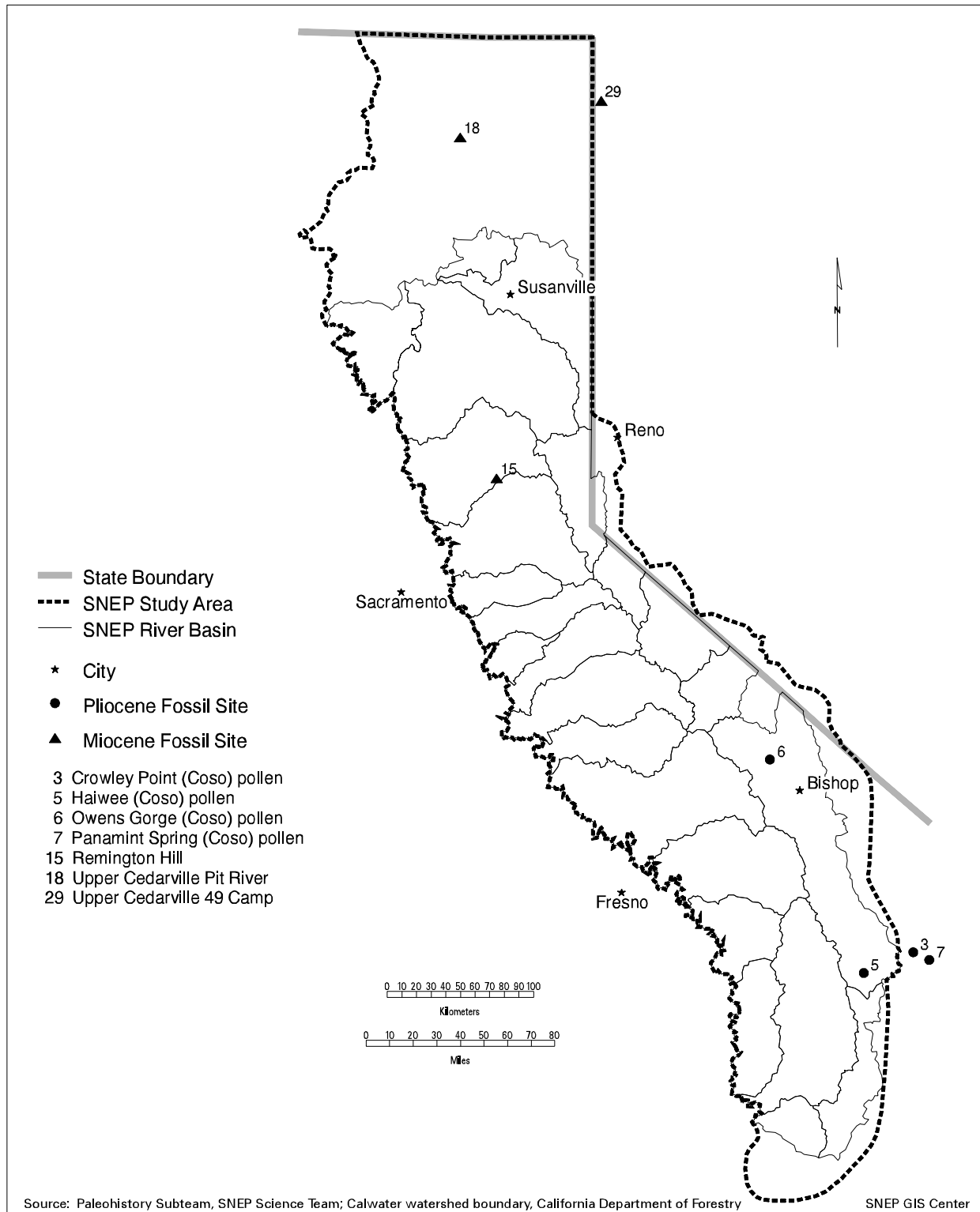


FIGURE 5.17

Distribution of black oak (*Quercus* cf. *kelloggii*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 3 and 5–7 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question.

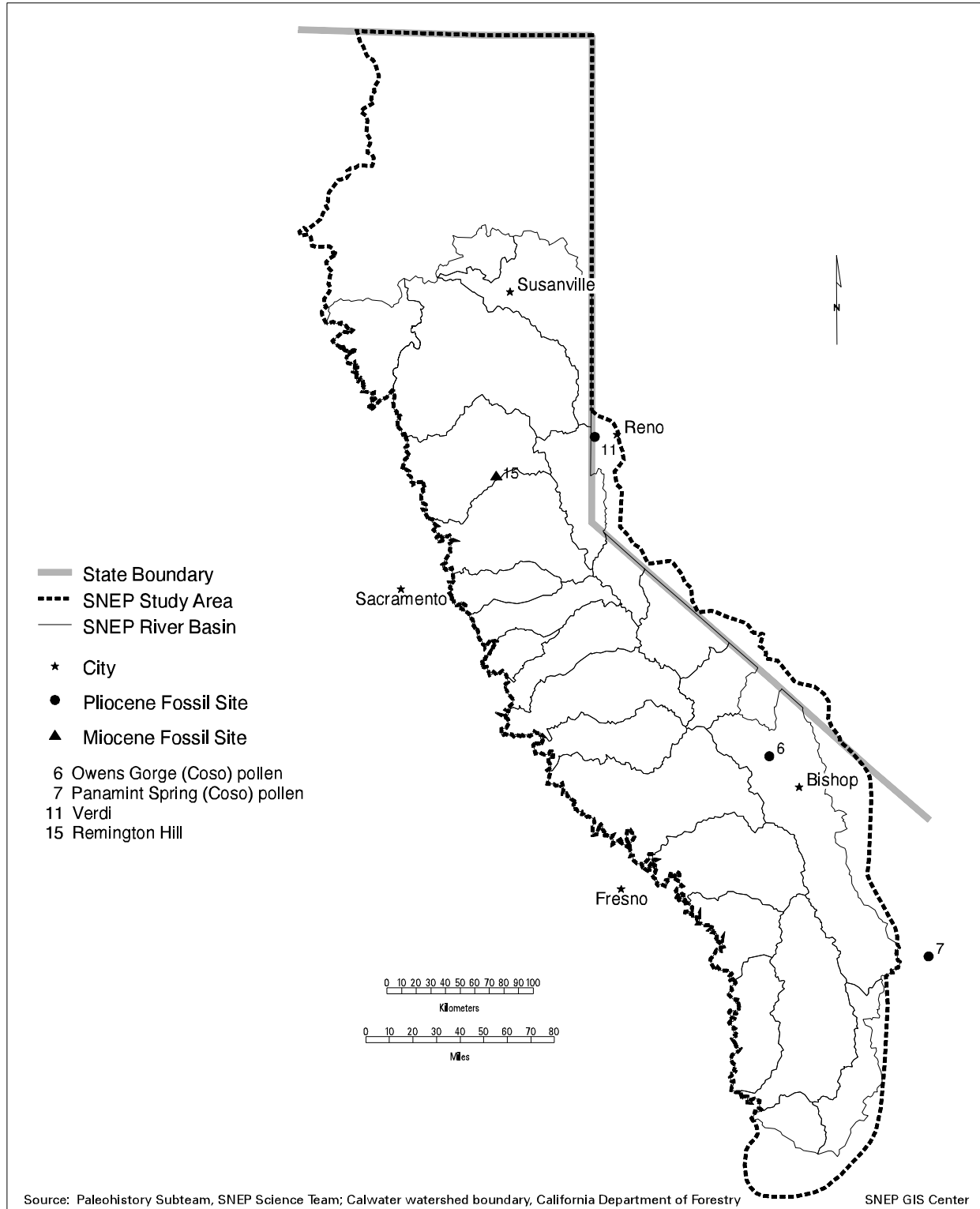


FIGURE 5.18

Distribution of white oak (*Quercus* cf. *lobata*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Floras 6 and 7 are pollen sites, interpretations are based on a very small number of grains, and species identifications may be incorrect. These sites should be treated with question. Wolfe and Tanai (1987) indicate that the Verdi site actually is an *Acer* not oak.

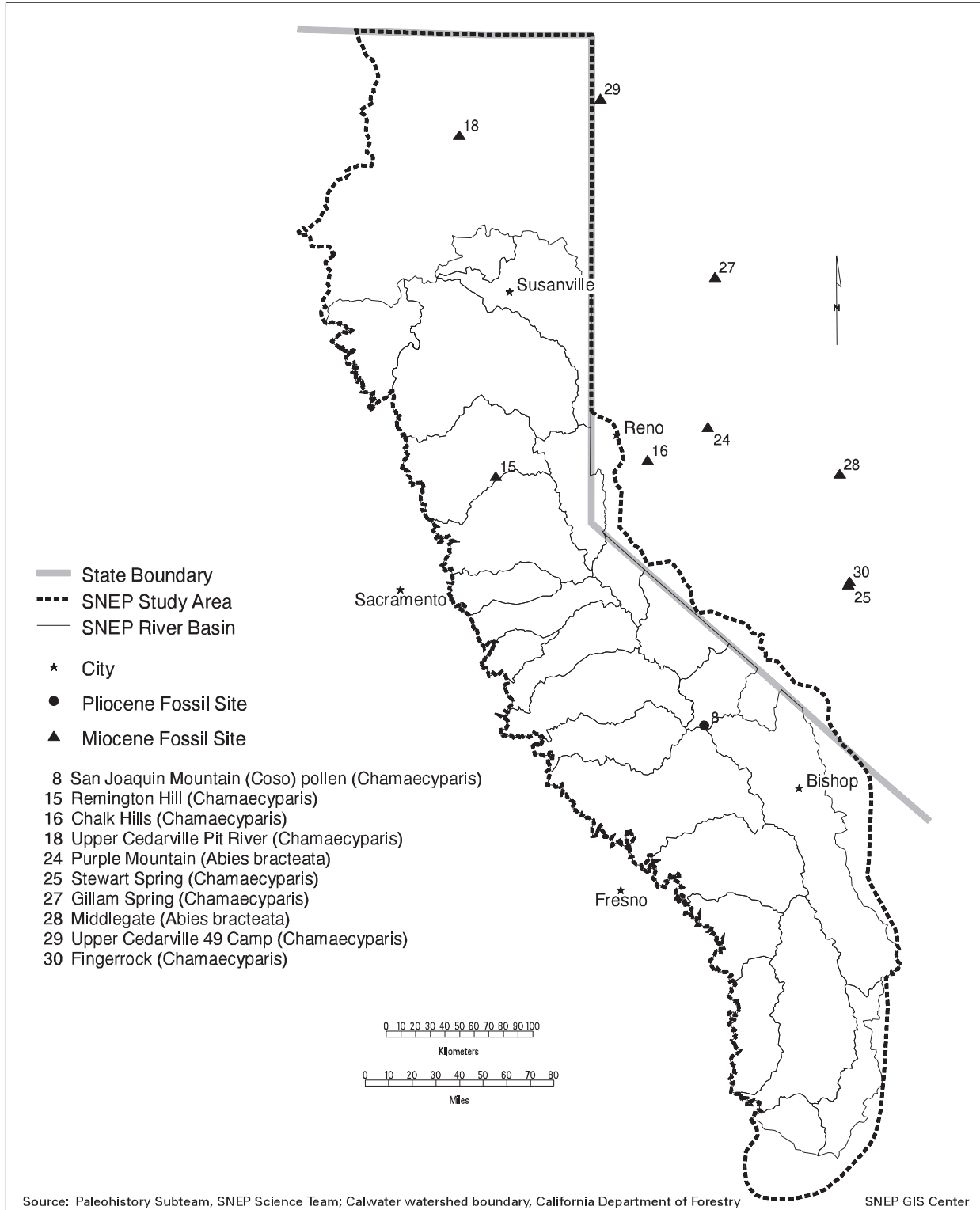


FIGURE 5.19

Distribution of Santa Lucia fir (*Abies bracteata*) and false cypresses (*Chamaecyparis*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Flora 8 is a pollen site, interpretations are based on a very small number of grains, and species identifications may be incorrect. This site should be treated with question.

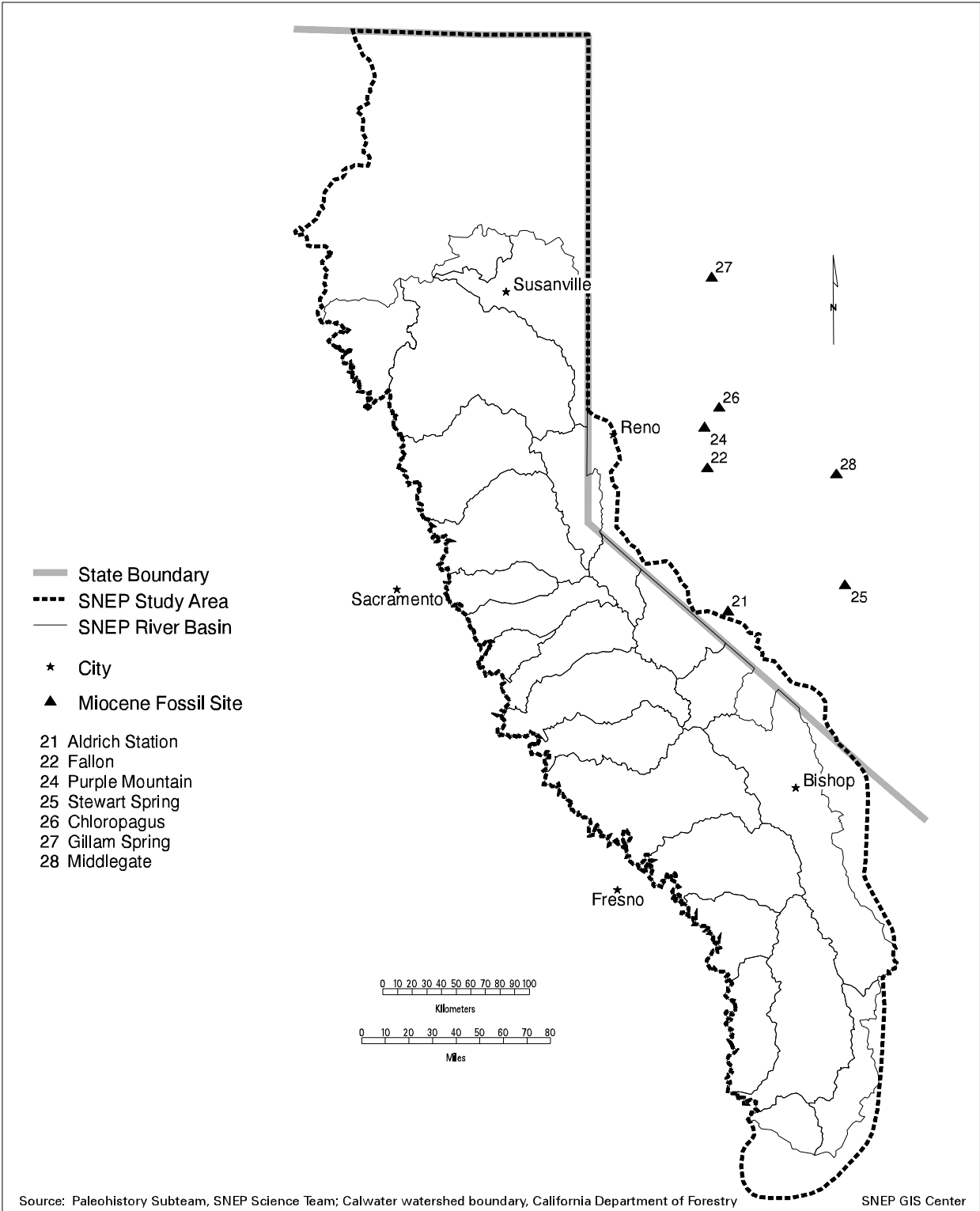


FIGURE 5.20

Distribution of Brewer's spruce (*Picea cf. breweriana*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits.

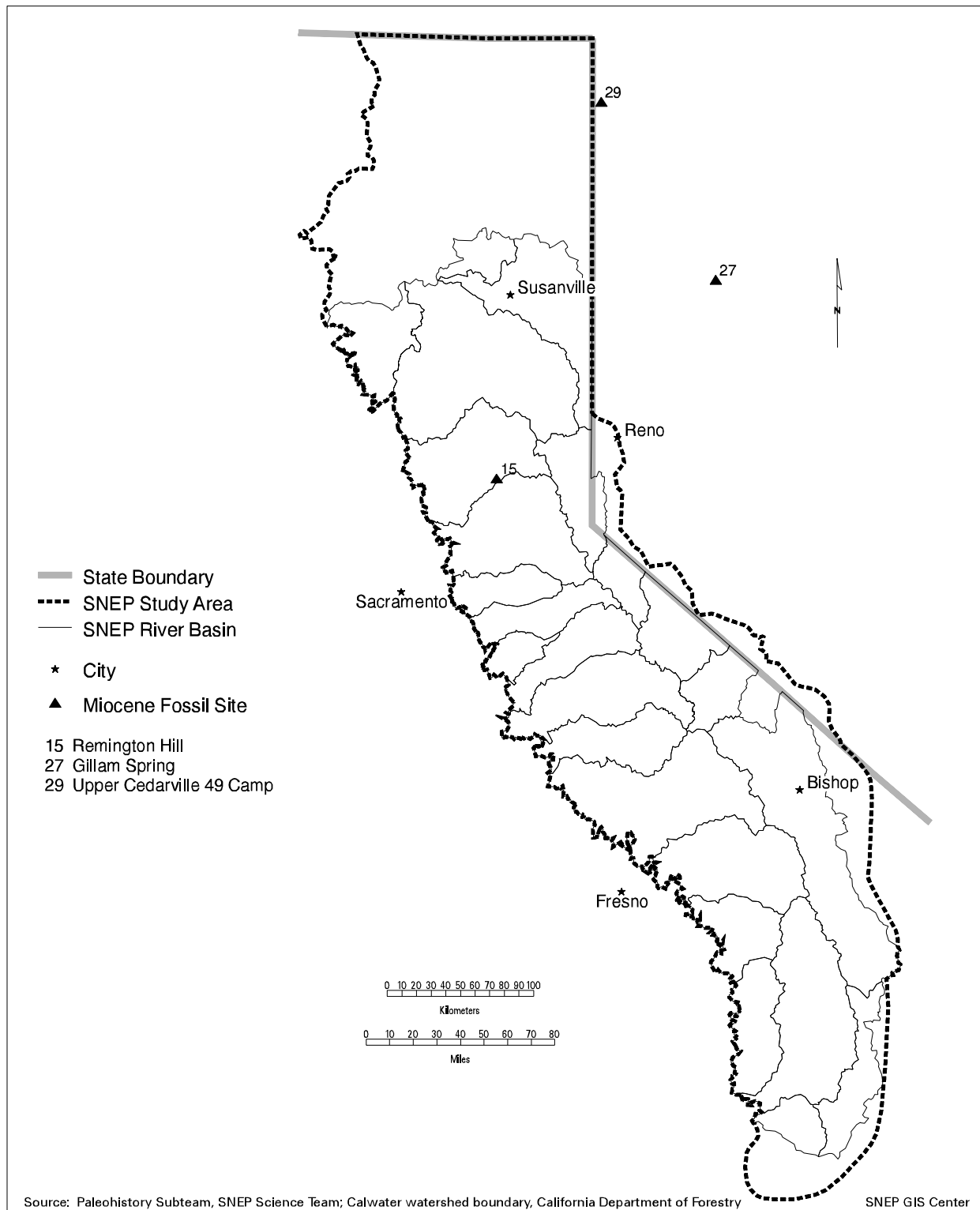


FIGURE 5.21

Distribution of coast redwood (*Sequoia cf. sempervirens*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits.

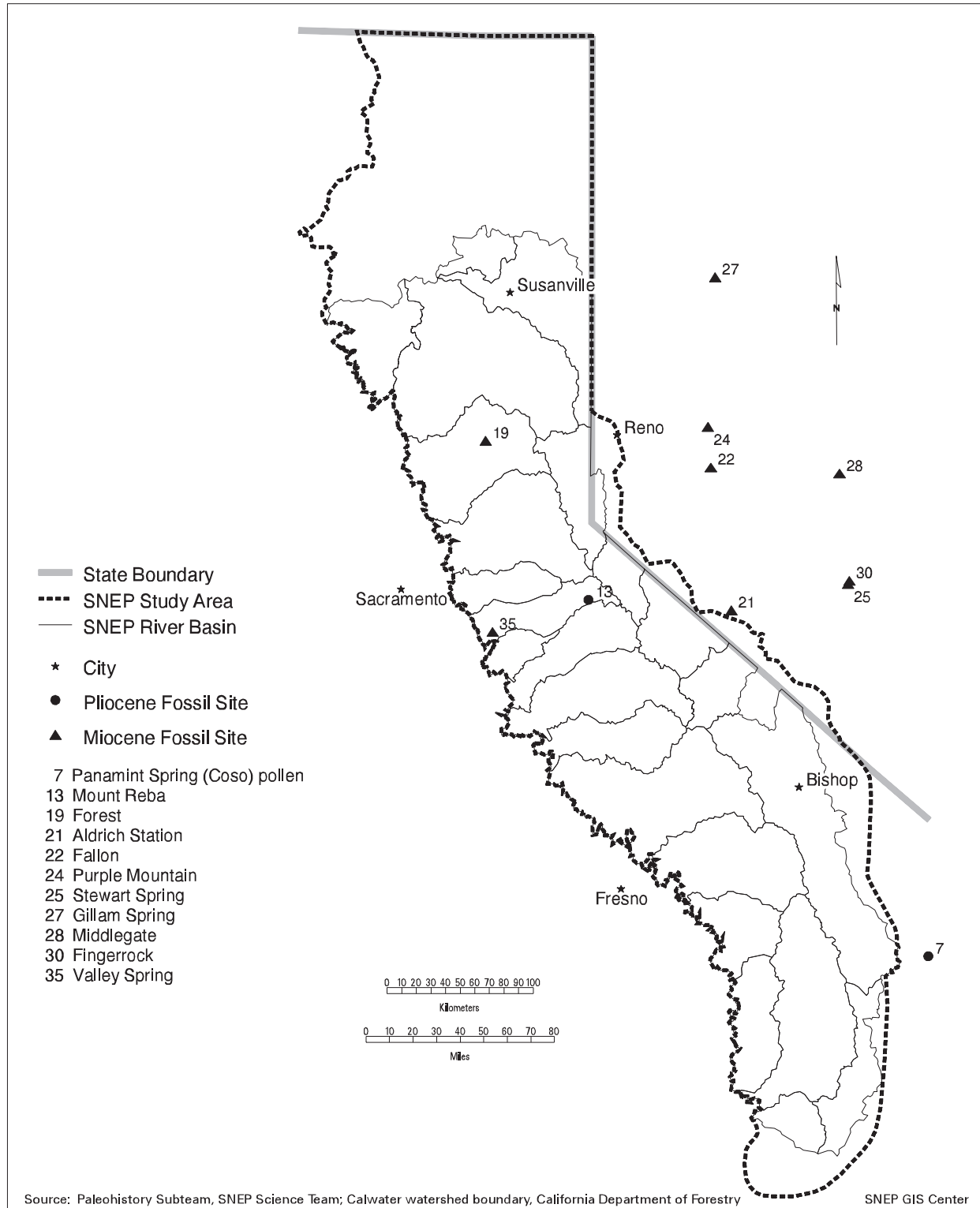


FIGURE 5.22

Distribution of coast live oak (*Quercus* cf. *chrysolepis*) in the Sierra Nevada and adjacent Nevada as recorded in Tertiary fossil deposits. *Note:* Flora 7 is a pollen site, interpretations are based on a very small number of grains, and species identifications may be incorrect. This site should be treated with question.

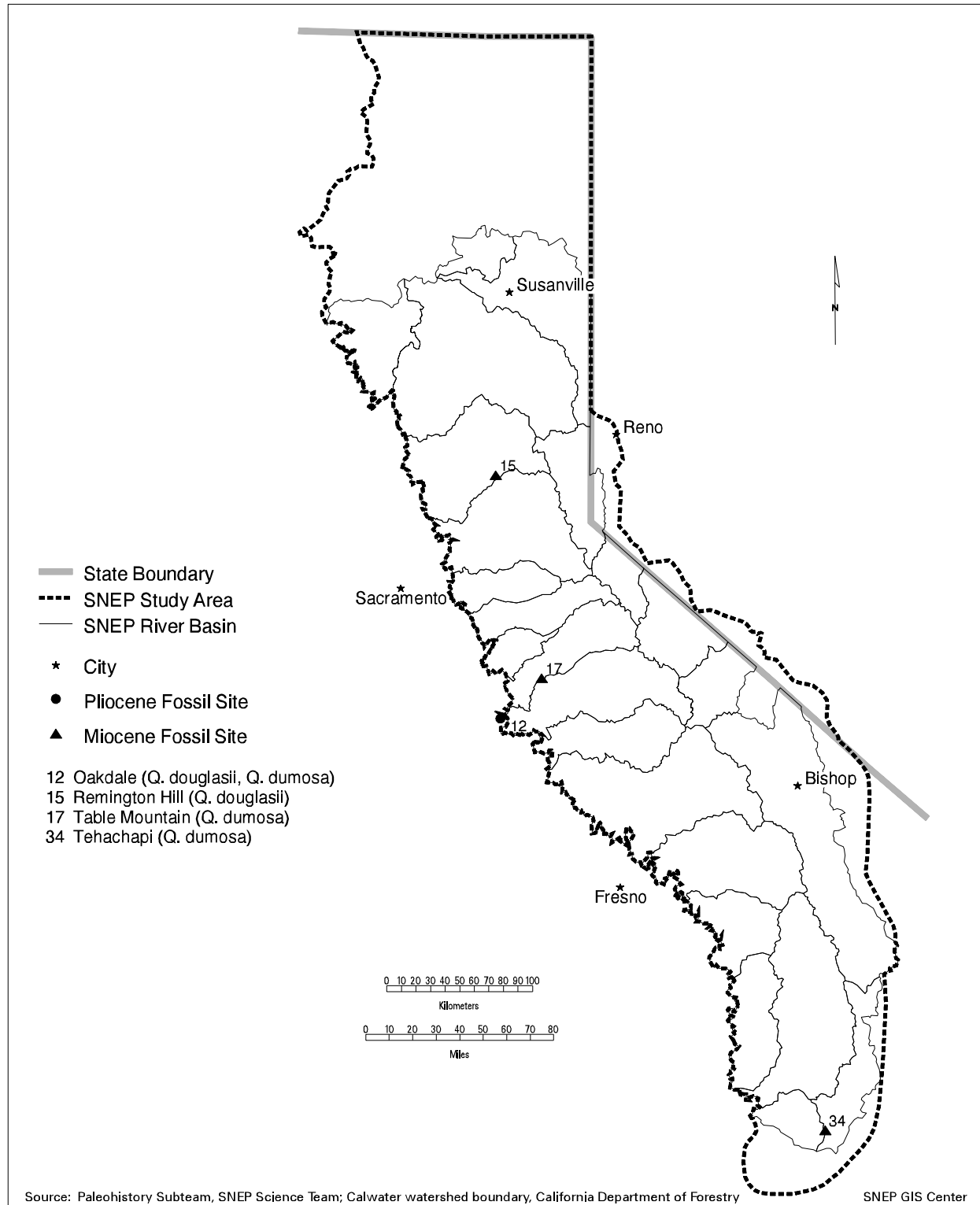


FIGURE 5.23

Distribution of blue oak (*Quercus douglasii*) and scrub oak (*Q. dumosa*) in the Sierra Nevada as recorded in Tertiary fossil deposits.

MANAGEMENT IMPLICATIONS

From the study of Tertiary vegetation dynamics we derive several lessons, but one is central: ecosystems change. The theme of ecosystem change is dominant throughout SNEP's assessments and analyses (Anderson and Moratto 1996; Franklin and Fites-Kaufmann 1996; Kinney 1996; Skinner and Chang 1996; Stine 1996; Woolfenden 1996). Land management does not occur in a static biotic or physical environment; every management action is like a leap into white water. Understanding that ecological (and social) systems are in flux, that they change in sometimes predictable and sometimes chaotic ways, at scales from minute to large, improves our chances for setting and reaching realistic objectives for management of natural ecosystems.

Successful land managers learn to work optimally within the flow of natural change, or at least to understand the consequences of moving against currents. SNEP analyses emphasize both spatial (Davis and Stoms 1996; Franklin and Fites-Kaufmann 1996; Skinner and Chang 1996) and temporal (Kinney 1996; Skinner and Chang 1996; Woolfenden 1996) scales of change. Ecological processes cluster in nested levels, from short to long term, small to large scale (Delcourt and Delcourt 1991). Knowledge of Tertiary evolution and of the development of our modern landscape emphasizes the millennial scale of change within which we view smaller-scale natural ecosystem dynamics, elucidates the responses of modern species to climates we do not experience at present, and underlines some of the transient and individualistic aspects of many current assemblages of plants and animals. We must place our understanding of human impacts, sustainability, and conservation within this context of change.

Many of the SNEP analyses focus on the nature of agents of change that derive from within ecosystems and operate at short time scales (e.g., competition, disturbance, natural selection, succession) (Chang 1996; Ferrell 1996; Franklin and Fites-Kaufmann 1996; Menke et al. 1996; Skinner and Chang 1996). Simultaneously, forces external to ecosystems and/or operating on longer time scales (e.g., climate effects like El Niño, changes in orbital patterns of the earth, changes in the earth's axis relative to the sun, sunspots) interact to influence vegetation dynamics. Taxa endure and are influenced by these larger effects as well as the short-term forces. We must assume that, to some degree, the past dynamics of species in the Sierra Nevada represent potential behaviors of these external forces.

Several implications for land management in the Sierra Nevada derive from this chapter. The most important is a scale lesson and derives from a comparison of the rate and magnitude of change that humans impose with the rate and magnitude of change on an evolutionary scale. Although 65 million years have witnessed drastic changes in Sierran ecosystems, taken at a millennial or centennial (human) scale the pace of evolutionary change is very slow. Humans, by contrast, in a

matter of decades have effected vegetation changes in the Sierra Nevada as large as or larger than these long-term evolutionary changes. Rate of environmental change is extremely important to species viability, distribution, and persistence, as adaptation and evolution can track environmental change at only relatively slow rates. The challenge to managers is to mimic rates and magnitudes of natural change and to prevent magnitudes of change comparable to the Tertiary from occurring in a matter of decades or centuries.

It is also important to consider the implications for native species that are a result of changes that have occurred over evolutionary time. Tertiary environments and climates supported species assemblages for which there seem to be no modern analogs, although the species are currently native. Vegetation associations containing these species occurred in mixes and distributions that are unknown in the Sierra today. Thus, it would be inaccurate to assume that the behavior of current taxa under current (or recent historic) climates represents the full range of those species' responses (including species dynamics, population extirpations, and range expansions). It may also be inaccurate to assume that currently native species will behave in future climates as they have behaved in the recent past. Although climatologists do not confidently predict the direction and magnitude of climate change in the Sierra Nevada over the next fifty or one hundred years (Stine 1996), there is relative agreement that climates will change and that there will be more frequent and extreme fluctuations in climate (see Woolfenden 1996). Sierran taxa may react to these fluctuations in individualistic and unpredictable ways. Unforeseen responses are likely, whether "positive" (population health, expansion, productivity), "negative" (population decline, extirpation) or novel (unprecedented response).

Natural reactions under new climates may be further affected by land-management practices and produce unexpected vegetation responses. Under conditions of such uncertainty a land manager's best responses might be:

- Do not assume that species will continue to respond exactly as they have in the recent past or at present.
- Recognize that population extirpations and range shifts have occurred in response to climate changes in the past and are likely to occur in the future.
- Plan for more frequent and severe fluctuations in climate than in the recent past.
- Assume that land management will interact with species' natural responses, adding an element of unknown magnitude.

Maintaining diverse, healthy forests with conditions favoring resilience to unpredictable but changing future climates and management regimes is the most appropriate management response. Planning that depends on landscapes reach-

ing precise vegetation targets is likely to fail. Management programs that build flexibility, reversibility, and alternative pathways are more likely to succeed in uncertain futures.

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APPENDIX 5.1

Systematic Composition of the Tertiary Sierra Nevada Floras

The floras are listed in alphabetical order. Species names in parentheses are the closest modern affinities to fossil taxa.

LIST 1

Aldrich Station flora, western central Nevada, mid-Miocene (Axelrod 1956).

Equisetales
Equisetaceae
Equisetum alexanderi
Gymnosperms
Cupressaceae
Thuja dimorpha (plicata)
Pinaceae
Abies concoloroides (concolor)
Picea magna (polita, neoveitchii)
Picea sonomensis (breweriana)
Pinus florissanti (ponderosa)
Pinus wheeleri (monticola, lambertiana)
Pseudotsuga sonomensis (menziesii)
Tsuga sonomensis (heterophylla)
Taxodiaceae
Sequoiadendron chanevi (giganteum)
Angiosperms
Berberidaceae
Mahonia marginata (beali)
Mahonia reticulata (repens)
Betulaceae
Alnus smithiana (tenuifolia)
Caprifoliaceae
Symphoricarpos wassukana (oreophilus)
Celastraceae
Pachystima nevadensis (myrsintes)
Fagaceae
Quercus hannibali (chrysolepis)
Quercus simulata (myrsinaefolia)
Hippocastanaceae
Aesculus ashleyi (parryi)
Leguminosae
Amorpha oblongifolia (californica)
Sophora spokanensis (japonica)
Myricaceae
Comptonia parvifolia (asplenifolia)
Oleaceae
Fraxinus acornia (velutina)
Platanaceae
Platanus paucidentata (racemosa)
Rhamnaceae
Rhamnus precalifornica (californica)
Rosaceae
Amelanchier apiculata (utahensis)
Cercocarpus antiquus (betuloides)
Salicaceae
Populus alexanderi (trichocarpa)
Populus payettensis (angustifolia)

Populus sonorensis
Populus subwashoensis (temula, grandidentata)
Salix knowltonii (lemmonii)
Salix payettensis (exigua)
Sapotaceae
Burmelia beaverana (lanuginosa)
Ulmaceae
Ulmus moorei (crassifolia)
Zelkova nevadensis (serrata)

LIST 2

Alturas flora (macrofossil), northeastern California, late Miocene (Axelrod 1944b).

Angiosperms
Salicaceae
Populus alexanderi (trichocarpa)
Populus payettensis (angustifolia)
Populus plicatula (tremuloides)
Salix truckeana (nigra)
Salix vanorensis (caudata)
Salix wilcatensis (lasiolepis)
Ulmaceae
Ulmus moragensis (speciosa)

LIST 3

Chalk Bluffs flora (macrofossil and pollen), western central Sierra Nevada, California, early Eocene (Leopold 1983; MacGinitie 1941).

Pteridophytes
Cyatheaaceae
Hemitelia pinnata
Schizaeaceae
Lygodium kaulfussii
Gymnosperms
Cycadaceae
Zamia californica
Angiosperms
Aceraceae
Acer aequisdentatum
Asteraceae
Asclepias laterita
Anacardiaceae
Rhus mixta
Apocynaceae
Nerium hinoidea
Tabernaemontana chrysophylloides

Betulaceae
Alnus peria
 Burseraceae
Canarium californicum
 Caprifoliaceae
Viburnum variabilis
 Celastraceae
Celastrus preangulata
 Cercidiphyllaceae
Cercidiphyllum elongatum
 Combretaceae
Terminalia estamina
 Compositae
Calycites mikanoides
 Cornaceae
Cornus kelloggii
 Ebenaceae
Diospyros retinervis
 Euphorbiaceae
Acalypha aequalis
Mallotus riparius
 Fagaceae
Castanopsis longipetiolatum
Quercus distincta
Quercus eoxalapensis
Quercus nevadensis
 Hamamelidaceae
Hamamelites voyana
Liquidambar californicum
 Icacinaceae
Phytocrene sordida
 Juglandaceae
Carya sessilis
Engelhardtia nevadensis
 Lauraceae
Cinnamomum acrodromum
Cinnamomum dilleri
Cryptocarya praesamarensis
Laurophyllum fremontensis
Laurophyllum litseaefolia
Neolitsea lata
Persea praelingue
Persea pseudo-carolinensis
 Leguminosae
Dalbergia rubra
Desmodium indentum
Inga ionensis
Pongamia ovata
Strongylodon falcata
Vouapa geminifolia
 Liliaceae
Smilax labidurommae
 Magnoliaceae
Magnolia dayana
 Meliaceae
Cedrela eolancifolia
 Menispermaceae
Hyperbaena diforma
 Moraceae
Artocarpus lessigiana
Ficus densifolia
Ficus goshenensis
 Myrtaceae
Calyptanthus myrtifolia
 Nymphaeaceae
Nelumbium lacunosum
 Nyssaceae
Nyssa californica
 Oleaceae
Fraxinus yubaensis
 Palmae
Sabalites californicus
 Platanaceae
Platanophyllum angustiloba
Platanophyllum angustiloba var. *serrata*
Platanophyllum whitneyi
Platanus appendiculata
Platanus coloradensis
 Rhamnaceae
Rhamnidium chaneyi
Rhamnus calyptus
Rhamnus plenus

Rosaceae
Chrysobalanus eoicaco
Vauquelinia exigua
 Sabiaceae
Meliosma truncata
 Salicaceae
Salix ionensis
 Sapindaceae
Cupania oregona
Thouinopsis myricaefolia
 Saxifragaceae
Hydrangea californica
 Simarubaceae
Ailanthus lesquereuxi
 Theaceae
Gordonia egregia
 Ulmaceae
Chaetoptelea pseudo-fulva
 Vitaceae
Cissus pyriformus

Additions to the Chalk Bluffs flora from pollen identifications (Leopold 1983, 1984):

Gymnosperms
 Pinaceae (very small fraction; inblown)
Abies
Picea
Pinus
 Angiosperms
 Bombacaceae
 diverse genera
 Juglandaceae
Juglans
Carya
Engelhardtia
Platycarya
 Hamamelidaceae
Liquidambar
 Icacinaceae
Phytocrene
 Melicaceae
Cedrela
 Platanaceae
Platanus
 Schizaeaceae
Anemia
 Tiliaceae
 diverse genera

LIST 4

Chalk Hills flora (macrofossil), western central Nevada, late Miocene (Axelrod 1962; Howard Schorn, letter to Constance I. Millar, July 1995).

Gymnosperms
 Cupressaceae
Chamaecyparis linguaeifolia (lawsoniana)
Cupressus/Juniperus
 Pinaceae
Abies concoloroides (concolor)
Pinus balfouroides (balfouriana) (per Axelrod 1986)
Pinus florissanti (ponderosa)
Pinus wheeleri (monticola)
Pseudotsuga sonomensis (menziesii)
Tsuga (mertensiana)
 Taxodiaceae
Sequoiadendron chaneyi (giganteum)
 Angiosperms
 Berberidaceae
Mahonia reticulata (nervosa, repens)
 Betulaceae
Alnus smithiana (tenuifolia)
Betula thor (papyrifera)
 Ericaceae
Arbutus matthesii (menziesii)
Rhododendron gianellana (occidentale, albiflorum)

Fagaceae
Castanopsis sonomensis (chrysophylla)
Quercus simulata (myrsinaefolia)
Juglandaceae
Carya bendirei (ovata)
Rhamnaceae
Ceanothus chaneyi (integerrimus)
Ceanothus leitchii (velutinus)
Rhamnus precalifornica (californica)
Rosaceae
Amelanchier alvordensis (alnifolia)
Holodiscus idahoensis (microphyllus, dumosus)
Prunus moragensis (emarginata)
Salicaceae
Populus eotremuloides (trichocarpa)
Populus pliotremuloides (tremuloides)
Populus washoensis (grandidentata, tremula var. davidiana)
Salix knowltoni (lemmonii)
Salix laevigatoides (laevigata)
Salix owyheeana (hookeriana)
Saxifragaceae
Ribes stanfordianum (sanguineum, nevadense)

LIST 5

Chloropagus flora (macrofossil), western central Nevada, mid-Miocene (Axelrod 1956).

Gymnosperms
Cupressaceae
Juniperus nevadensis (utahensis, californica)
Thuja dimorpha (plicata)
Pinaceae
Abies concoloroides (concolor)
Picea sonomensis (breweriana)
Pinus wheeleri (lambertiana)
Taxaceae
Torreya nancyana (californica)
Angiosperms
Berberidaceae
Mahonia marginata (beali)
Mahonia reticulata (repens)
Fagaceae
Quercus hannibali (chrysolepis)
Quercus simulata (myrsinaefolia)
Quercus wislizenoides (wislizenii)
Leguminosae
Cercis carsoniana
Robinia californica (neo-mexicana)
Rosaceae
Amelanchier apiculata (utahensis)
Cercocarpus linearifolium (ledifolius)
Salicaceae
Populus alexanderi (trichocarpa)
Populus payettensis (angustifolia)
Populus subwaschoensis (tremula, grandidentata)
Salix knowltoni (lemmonii)
Salix payettensis (exigua)
Salix wildcatensis (lasiolepis)
Typhaceae
Typha lesquereuxi (latifolia)

LIST 6

Crowley Point (Coso) flora (pollen), eastern central California, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (67), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms
Cupressaceae
Juniperus occidentalis
Juniperus osteosperma

Pinaceae
Pinus aristata or *flexilis*
Pinus lambertiana
Pinus cf. *monophylla*
Pinus ponderosa
Pseudotsuga taxifolia
Angiosperms
Berberidaceae
Mahonia sp.
Caprifoliaceae
Symphoricarpos oreophilus
Cornaceae
Cornus californica
Cornus sessilis
Fagaceae
Quercus kelloggii
Rhamnaceae
Ceanothus cordulatus
Ceanothus integerrimus
Rhamnus crocea
Rosaceae
Cercocarpus betuloides
Rosa sp.
Salicaceae
Populus trichocarpa
Salix exigua
Salix lasiandra
Salix lasiolepis
Saxifragaceae
Ribes nevadense
Ribes viscosissimum
Sterculiaceae
Fremontia californica
Ulmaceae
Ulmus alata

LIST 7

Darwin Summit (Coso) flora (pollen), eastern central California, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (17), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms
Cupressaceae
Calocedrus decurrens
Juniperus osteosperma
Pinaceae
Pinus aristata or *flexilis*
Pinus cf. *monophylla*
Pinus ponderosa
Taxodiaceae
Sequoiadendron giganteum
Angiosperms
Betulaceae
Betula fontinalis
Caprifoliaceae
Symphoricarpos albus
Cornaceae
Cornus nuttallii
Juglandaceae
Pterocarya sp.
Rhamnaceae
Ceanothus cordulatus
Salicaceae
Salix lasiolepis
Saxifragaceae
Ribes roezlii

LIST 8

Fallon flora (macrofossil), western central Nevada, mid-Miocene (Axelrod 1956).

- Gymnosperms
 - Cupressaceae
 - Juniperus nevadensis* (*utahensis*, *californica*)
 - Thuja dimorpha* (*plicata*)
 - Pinaceae
 - Abies concoloroides* (*concolor*)
 - Picea sonomensis* (*breweriana*)
 - Pinus florissanti* (*ponderosa*)
 - Taxaceae
 - Torreya nancyana* (*californica*)
 - Taxodiaceae
 - Sequoiadendron chaneyi* (*giganteum*)
- Angiosperms
 - Berberidaceae
 - Mahonia marginata* (*beali*)
 - Mahonia reticulata* (*repens*)
 - Betulaceae
 - Betula thor* (*papyrifera*, *occidentalis*)
 - Ericaceae
 - Arbutus matthesii* (*menziesii*)
 - Fagaceae
 - Quercus hannibali* (*chrysolepis*)
 - Quercus simulata* (*myrsinaefolia*)
 - Quercus wislizenoides* (*wislizenii*)
 - Leguminosae
 - Sophora spokaneensis* (*japonica*)
 - Oleaceae
 - Fraxinus alcorni* (*velutina*)
 - Rosaceae
 - Cercocarpus linearifolius* (*ledifolius*)
 - Salicaceae
 - Populus eotremuloides* (*trichocarpa*)
 - Populus subwashoensis* (*tremula*, *grandidentata*)
 - Salix knowltoni* (*lemmonii*)
 - Salix payettensis* (*exigua*)
 - Typhaceae
 - Typha lesquereuxi* (*latifolia*)

LIST 9

Fingerrock flora (macrofossil), southwestern Nevada, mid-Miocene (Wolfe 1964).

- Gymnosperms
 - Cupressaceae
 - Chamaecyparis nootkatensis*
 - Pinaceae
 - Abies* (*concolor*)
 - Picea magna*
 - Pinus* (*monticola*)
 - Pinus* (*ponderosa*)
 - Taxodiaceae
 - Glyptostrobus* sp.
- Angiosperms
 - Aceraceae
 - Acer bolanderi*
 - Acer* (*macrophyllum*)
 - Berberidaceae
 - Mahonia reticulata* (*repens*)
 - Betulaceae
 - Alnus relata*
 - Betula thor*
 - Cyperaceae
 - Cyperacites* sp.
 - Ebenaceae
 - Diospyros*
 - Ericaceae
 - Arbutus traini* (*menziesii*)
 - Fagaceae
 - Quercus* (*chrysolepis*)
 - Quercus pseudolyrata*
 - Quercus simulata* (*chrysolepis?* *myrsinaefolia*)

- Juglandaceae
 - Carya bendirei* (*tonkinensis*)
- Platanaceae
 - Platanus bendirei*
- Salicaceae
 - Populus lindgreni* (*heterophylla*)
- Ulmaceae
 - Ulmus newberryi*
 - Zelkova oregoniana*

LIST 10

Forest flora (macrofossil), southern central Sierra Nevada, California, late Miocene (Chaney 1944; Knowlton 1911).

- Angiosperms
 - Aceraceae
 - Acer arcticum*
 - Acer* sp.
 - Fagaceae
 - Quercus pseudo-chrysolepis* (*chrysolepis*)
 - Quercus steenstrupiana?*
 - Quercus transgressus*
 - Liliaceae
 - Smilax diforma* (*rotundifolia*)
 - Platanaceae
 - Platanus paucidentata* (*racemosa*)
 - Salicaceae
 - Salix hesperia* (*lasiandra*)
 - Ulmaceae
 - Ulmus californica* (*americana*)

LIST 11

Gillam Spring flora, northwestern Nevada, mid-Miocene (Axelrod and Schorn 1994). Species lists are by age of florules (upper = youngest; lower = oldest).

Upper Gillam Spring Florule

- Equisetales
 - Equisetaceae
 - Equisetum*
- Gymnosperms
 - Cupressaceae
 - Calocedrus*
 - Chamaecyparis*
 - Pinaceae
 - Abies*
 - Picea lahontense*
 - Picea magna*
 - Picea sonomensis*
 - Pinus* (*contorta*)
 - Pinus* (*monticola*)
 - Pinus* (three-needle)
 - Pinus tiptonia*
 - Taxodiaceae
 - Sequoia*
- Angiosperms
 - Aceraceae
 - Acer chaneyi*
 - Acer scottiae*
 - Berberidaceae
 - Mahonia reticulata*
 - Betulaceae
 - Betula smithiana*
 - Ericaceae
 - Arbutus idahoensis*
 - Vaccinium* (*sonomensis*)
 - Fagaceae
 - Quercus hannibali*
 - Quercus simulata*
 - Juglandaceae
 - Pterocarya*
 - Oleaceae
 - Fraxinus*

Rosaceae
Crataegus
Prunus chaneysii
Robinia
Salicaceae
Salix
Salix boisensis
Salix pelvica
Typhaceae
Typha
Ulmaceae
Ulmus
Cyperacites?
Eugenia nevadensis?

Middle Gillam Spring Florule
Gymnosperms
Cupressaceae
Chamaecyparis
Pinaceae
Picea lahontense
Picea sonomensis
Pinus (three-needle)
Pinus tiptonia

Angiosperms
Aceraceae
Acer osmonti
Acer schorni
Berberidaceae
Mahonia reticulata
Betulaceae
Alnus latahensis
Betula ashley
Betula smithiana
Ericaceae
Arbutus idahoensis
Fagaceae
Lithocarpus klamathensis
Quercus (ovata)
Quercus (oblonga)
Platanaceae
Platanus
Rosaceae
Cercocarpus antiquus
Salicaceae
Salix (two spp.)
Typhaceae
Typha
Ulmaceae
Ulmus
Pieris?

Lower Gillam Spring Florule
Gymnosperms
Cupressaceae
Chamaecyparis sp.
Thuja? sp.
Pinaceae
Picea magna
Pinus tiptonia

Angiosperms
Aceraceae
Acer (bolanderi)
Acer chaneysii
Acer (glabrum)
Acer (nigra)
Acer tyrrelli
Berberidaceae
Mahonia
Betulaceae
Betula vera
Ericaceae
Arbutus idahoensis
Vaccinium
Juglandaceae
Fagus washoensis
Lithocarpus
Pterocarya
Quercus eoprinus
Quercus hannibali (chrysolepis)
Quercus simulata

Oleaceae
Fraxinus
Platanaceae
Platanus
Rhamnaceae
Rhamnus
Rosaceae
Amelanchier
Prunus cassianna
Salicaceae
Populus eotremuloides (tremuloides)
Salix
Saxifragaceae
Hydrangea?
Typhaceae
Typha
Ulmaceae
Zelkova

LIST 12

Haiwee (Coso) flora (pollen), southeastern Sierra Nevada, California, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (123), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms
Cupressaceae
Calocedrus decurrens
Pinaceae
Abies concolor
Abies grandis
Abies magnifica
Pinus aristata or *flexilis*
Pinus jeffreyi
Pinus lambertiana
Pinus cf. monophylla
Pinus monticola
Pinus murrayana
Pinus ponderosa
Pseudotsuga taxifolia
Tsuga heterophylla
Taxodiaceae
Sequoiadendron giganteum

Angiosperms
Betulaceae
Alnus rhombifolia
Betula fontinalis
Corylus californica
Caprifoliaceae
Sambucus glauca
Cornaceae
Cornus nuttallii
Fagaceae
Quercus kelloggii
Quercus wislizenii
Garryaceae
Garrya sp.
Juglandaceae
Juglans nigra
Papaveraceae
Dendromecon rigida
Rhamnaceae
Ceanothus cordulatus
Ceanothus integerrimus
Rosaceae
Amelanchier alnifolia
Holodiscus dumosa
Rubus parviflorus
Salicaceae
Salix exigua
Salix lasiandra
Saxifragaceae
Ribes cereum
Ribes montigenum
Ribes nevadense
Ribes roezlii

Sterculiaceae
Fremontia californica
Ulmaceae
Zelkova sp.

LIST 13

Lake Tahoe flora (pollen), central Sierra Nevada, California, late Pliocene (Adam 1973).

Gymnosperms
Pinaceae
Abies
Libocedrus
Picea
Pinus
Pseudotsuga
Tsuga
Angiosperms
Amaranthaceae
Amaranthus?
Betulaceae
Alnus
Betula
Caryophyllaceae
Chenopodiaceae
Sarcobatus
Compositae
Artemisia
Cornaceae
Cornus
Cyperaceae
Ericaceae
Euphorbiaceae
Euphorbia
Fagaceae
Quercus
Gramineae
Juglandaceae
Juglans
Loranthaceae
Arceuthobium
Malvaceae
Oleaceae
Fraxinus
Onagraceae
Oenothera?
Polygonaceae
Eriogonum
Polygonum
Ranunculaceae
Rhamnaceae
Rosaceae

LIST 14

La Porte flora (macrofossil), western central Sierra Nevada, California, early Oligocene (Potbury 1935).

Pteridophytes
Polypodiaceae
Polypodites sp.
Gymnosperms
Cycadaceae
Zamia mississippiensis var. *macrophylla* (spp.)
Taxaceae
Cephalotaxus californica (*argotaenia*)
Angiosperms
Apocynaceae
Tabernaemontana intermedia (*lanceolata*, *rupicola*)
Aquifoliaceae
Ilex oregona (*paraguensis*)
Cornaceae
Cornus kelloggii (spp.)
Dilleniaceae
Davilla intermedia (*aspera*, *rugosa*)
Euphorbiaceae

Acalypha serrulata (*schlechtendahlana*)
Aleurites americana (*triloba*)
Euphorbiophyllum multiflorum (*Drypetes alba*)
Microdesmis occidentalis (*casearifolia*)
Fagaceae
Quercus nevadensis (*hainanensis*)
Quercus suborbicularia (spp.)
Hamamelidaceae
Liquidambar californicum (*styraciflua*)
Lauraceae
Cinnamomum acrodromum (*mercadoi*)
Cinnamomum dilleri (*pedunculatum*)
Laurophyllum intermedium (*Misanteca capitata*)
Laurophyllum raminervum (*Ocotea* sp.)
Ocotea eocernua (*cernua*)
Persea praelingue (*lingue*)
Persea pseudo-carolinensis (*podadenia*)
Leguminosae
Leguminosites falcatum (*Prioria copaifera*)
Lonchocarpus coriaceus (*hondurensis*)
Mimosites acutifolius (*Pithecolobium corymbosum*)
Sophora repandifolia (spp.)
Liliaceae
Smilax gosheensis (*mexicana*)
Menispermaceae
Cissampelos rotundifolia (*pareira*)
Hyperbaena diforma (*smilacina*)
Moraceae
Ficus gosheensis (*bonplandiana*)
Palmae
Sabalites rhapifolius (*Rhapis flabelliformis*)
Rhamnaceae
Rhamnidium chaneyi (*elaeocarpum*)
Sabiaceae
Meliosma gosheensis (*panamensis*)
Sapotaceae
Chrysophyllum conforme (*mexicanum*)
Sterculiaceae
Sterculia ovata (*blancoi*, *lanceolata*)
Styracaceae
Styrax curvatus (*argenteum*)
Tiliaceae
Columbia occidentalis (*longipetiolata*)
Ulmaceae
Ulmus pseudo-fulva (*mexicana*)
Verbenaceae
Petrea rotunda (*arborea*, *volubilis*)

LIST 15

Middlegate flora (macrofossil), western central Nevada, mid-Miocene (Axelrod 1956, 1976, 1986).

Equisetales
Equisetaceae
Equisetum alexanderi (sp.)
Gymnosperms
Cupressaceae
Thuja dimorpha (*plicata*)
Pinaceae
Abies concoloroides (*concolor*)
Abies scherii (*bracteata*) (Axelrod 1976)
Picea magna (*polita*, *neovietchii*)
Picea sonomensis (*breweriana*)
Pinus (*ponderosa*) (Axelrod 1986)
Taxodiaceae
Sequoiadendron chaneyi (*giganteum*)
Angiosperms
Aceraceae
Acer alvordensis (*macrophyllum*)
Acer arida (*grandidentatum*)
Acer middlegatei (*saccharinum*)
Acer minor (*negundo*)
Anacardiaceae
Rhus alvordensis (*glabra*)
Berberidaceae
Mahonia marginata (*beali*)
Mahonia reticulata (*repens*)

Betulaceae
Betula thor (papyrifera, occidentalis)
Betula vera (lenta)
Ericaceae
Arbutus prexalapensis (xalapensis)
Fagaceae
Quercus hannibali (chrysolepis)
Quercus simulata (mysinaefolia)
Quercus wislizenoides (wislizenii)
Lauraceae
Persea coalingensis (podadenia)
Leguminosae
Robinia californica (neo-mexicana)
Myricaceae
Comptonia parvifolia (asplenifolia)
Nymphaeaceae
Nymphaeites nevadensis (Nymphaea spp.)
Oleaceae
Fraxinus coulteri (oregona, americana)
Fraxinus millsiana (anomala)
Platanaceae
Platanus dissecta (orientalis)
Platanus paucidentata (racemosa)
Rhamnaceae
Ceanothus precuneatus (cuneatus)
Rosaceae
Cercocarpus antiquus (betuloides)
Cercocarpus holmesii (paucidentatus)
Crataegus middlegatei (chrysophylla, erythropoda)
Crataegus pacifica (euneata, monogyna)
Prunus morganensis (emarginata)
Salicaceae
Populus eotremuloides (trichocarpa)
Populus payettensis (angustifolia)
Populus pliotremuloides (tremuloides)
Salix hesperia (lasiandra)
Salix knowltoni (lemmonii)
Salix truckeana (gooddingii)
Salix wilcatensis (lasiolepis)
Styracaceae
Styrax middlegatei (californica)
Typhaceae
Typha lesquereuxii (latifolia)

LIST 16

Mohawk flora (macrofossil), northern Sierra Nevada, California, mid-Miocene (Axelrod 1944; Knowlton 1911).

Gymnosperms
Pinaceae
Pinus (lambertiana)
Angiosperms
Betulaceae
Alnus sp.
Ericaceae
Arbutus matthesii (menziesii)
Fagaceae
Quercus payettensis
Hamamelidaceae
Liquidambar (styraciflua)
Juglandaceae
Carya egregia (ovata)
Juglans (californica)
Lauraceae
Laurus californica
Persea pseudo-carolinensis (podadenia)
Magnoliaceae
Magnolia dayana
Rhamnaceae
Berchemia multinervis (scandens)
Salicaceae
Populus eotremuloides (trichocarpa)
Salix hesperia (lasiandra)

LIST 17

Mount Reba flora (macrofossil) (partial list), western central Sierra Nevada, California, early Pliocene (Axelrod 1976, 1977).

Gymnosperms
Cupressaceae
Cupressus (cashmeriana)
Pinaceae
Abies (concolor)
Pseudotsuga (menziesii)
Taxodiaceae
Sequoiadendron (giganteum)
Angiosperms
Fagaceae
Lithocarpus (densiflora)
Quercus (chrysolepis)

LIST 18

Nova flora (pollen), eastern central California, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (77), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms
Cupressaceae
Juniperus osteosperma
Ephedraceae
Ephedra nevadensis
Pinaceae
Abies sp.
Pinus attenuata
Pinus balfouriana
Pinus jeffreyi
Pinus lambertiana
Pinus cf. monophylla
Pinus ponderosa
Angiosperms
Betulaceae
Alnus rhombifolia
Corylus californica
Juglandaceae
Juglans californica
Juglans rupestris
Pterocarya sp.
Rhamnaceae
Ceanothus cordulatus
Rhamnus californica
Rosaceae
Cercocarpus betuloides
Holodiscus sp.
Salicaceae
Populus angustifolia
Salix exigua
Salix lasiolepis
Ulmaceae
Ulmus alata

LIST 19

Oakdale flora (macrofossil), western central Sierra Nevada, California, early Pliocene (Axelrod 1944a).

Angiosperms
Berberidaceae
Mahonia marginata (fremontii)
Ericaceae
Arctostaphylos oakdalensis (mariposa)
Fagaceae
Quercus dispersa (dumosa)
Quercus douglasoides (douglasii)
Quercus wislizenoides (wislizenii)

Lauraceae
Umbellularia salicifolia (californica)
 Leguminosae
Robinia californica (neo-mexicana)
 Rhamnaceae
Ceanothus precuneatus (cuneatus)
 Rosaceae
Photinia sonomensis (arbutifolia)
 Salicaceae
Populus alexanderi (trichocarpa)
Populus paucidentata (acuminata)
Populus pliotremuloides (tremuloides)
Salix wilcatensis (lasiolepis)
 Sapindaceae
Sapindus oklahomensis (drummondii)
 Saxifragaceae
Ribes mehrtensis (quercetorum)
 Ulmaceae
Celtis kansana (reticulata)

LIST 20

Owens Gorge (Coso) flora (pollen), eastern central Sierra Nevada, California, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (162), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms
 Cupressaceae
Calocedrus decurrens
 Pinaceae
Abies concolor
Abies magnifica
Pinus aristata or flexilis
Pinus jeffreyi
Pinus lambertiana
Pinus monticola
Pinus murrayana
Pseudotsuga taxifolia
Tsuga heterophylla
 Taxodiaceae
Sequoiadendron giganteum
 Angiosperms
 Aceraceae
Acer glabrum
 Betulaceae
Corylus californica
 Caprifoliaceae
Symphoricarpos albus
 Cornaceae
Cornus californica
Cornus nuttallii
 Fagaceae
Quercus agrifolia
Quercus breweri
Quercus kelloggii
Quercus lobata
Quercus wislizenii
 Juglandaceae
Juglans cinerea
Juglans nigra
Pterocarya sp.
 Salicaceae
Salix exigua
Salix lasiandra
Salix lasiolepis
Salix nuttallii
 Saxifragaceae
Ribes monteginum
 Sterculiaceae
Fremontia californica

LIST 21

Panamint Springs (Coso) flora (pollen), eastern central Sierra Nevada, California, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (45), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms
 Pinaceae
Pinus attenuata
Pinus coulteri
Pinus jeffreyi
Pinus cf. monophylla
Pinus ponderosa
 Angiosperms
 Cornaceae
Cornus nuttallii
 Fagaceae
Castanopsis chrysolepis
Quercus kelloggii
Quercus lobata
 Garryaceae
Garrya sp.
 Juglandaceae
Juglans californica
Juglans rupestris
 Oleaceae
Fraxinus velutina
 Rosaceae
Holodiscus sp.
 Saxifragaceae
Ribes cereum
 Sterculiaceae
Fremontia californica
 Typhaceae
Typha latifolia
 Ulmaceae
Ulmus alata

LIST 22

Purple Mountain flora (macrofossil), western central Nevada, mid-Miocene (Axelrod 1976, 1995).

Gymnosperms
 Cupressaceae
Chamaecyparis sierrae (lawsoniana)
 Pinaceae
Abies concoloroides (concolor)
Abies klamathensis (shastensis)
Abies scherii (bracteata)
Picea magna (polita)
Picea sonomensis (breweriana)
Pinus quinifolia (monticola)
Pseudotsuga sonomensis (menziesii)
 Taxodiaceae
Sequoiadendron chaneyi (giganteum)
 Angiosperms
 Aceraceae
Acer columbianum (glabrum)
Acer middlegateii (saccharinum)
Acer oregonianum (macrophyllum)
 Berberidaceae
Mahonia reticulata (pinnata-insularis)
Mahonia simplex (japonica, lomariifolia)
 Betulaceae
Betula lacustris (papyrifera)
 Ericaceae
Arbutus matthesii (menziesii)
 Fagaceae
Castanopsis sonomensis (chrysophylla)
Lithocarpus klamathensis (densiflorus)
Quercus hannibali (chrysolepis)
 Leguminosae
Amorpha oklahomensis (fruticosa)

- Rhamnaceae
Ceanothus leitchii (velutinus)
Rhamnus precalifornica (californica)
- Rosaceae
Amelanchier alvordensis (alnifolia)
Cercocarpus antiquus (betuloides)
Cercocarpus holmesii (paucidentatus)
Heteromeles sonomensis (arbutifolia)
Holodiscus idahoensis (glabrescens)
Lyonothamnus parvifolia (extinct sp.)
Sorbus sp. (*aucuparia*)
- Salicaceae
Populus eotremuloides (trichocarpa)
Populus payettensis (angustifolia)
Populus pliotremuloides (tremuloides)
Salix knowltonii (lemmonii)
Salix sp. (*melanopsis*)
Salix sp. (*nigra*)
Salix wilcatensis (*lasiolepis*)

LIST 23

Remington Hill flora (macrofossil), western central Sierra Nevada, California, late Miocene (Condit 1944).

- Gymnosperms
Cupressaceae
Chamaecyparis gracilis (*lawsoniana*)
Taxodiaceae
Sequoia langsdorfii (*sempervirens*)
- Angiosperms
Aceraceae
Acer negundoides (*negundo*)
Berberidaceae
Mahonia malheurensis
Caprifoliaceae
Viburnum platyspermum (*ellipticum*)
Ericaceae
Arbutus matthesii (*menziesii*)
Arctostaphylos martzii (*manzanita*)
Leucothoe sp. (*davisiae*)?
Fagaceae
Quercus douglasoides (*douglasii*)
Quercus prelobata (*lobata*)
Quercus pseudo-lyrata (*kelloggii*)
Quercus remingtoni (*morehus*)
Quercus simulata (*myrsinaefolia*)
Quercus winstanleyi (*aliena*)
Quercus wislizenoides (*wislizenii*)
Hamamelidaceae
Liquidambar pachyphyllum (*styraciflua*)
Hippocastanaceae
Aesculus preglabra (*glabra*)
Juglandaceae
Juglans pseudomorpha (*nigra*)
Lauraceae
Persea pseudo-carolinensis (*borbonia*)
Umbellularia salicifolia (*californica*)
Liliaceae
Smilax diforma (*rotundifolia*)
Platanaceae
Platanus paucidentata (*racemosa*)
Rhamnaceae
Berchemia multinervis (*scandens*)
Ceanothus precuneatus (*cuneatus*)
Rosaceae
Crataegus newberryi (*pinnatifida*)
Prunus petrosperma (*ilicifolia*)
Salicaceae
Populus alexanderi (*trichocarpa*)
Populus pliotremuloides (*tremuloides*)
Populus prefremontii (*fremontii*)
Salix hesperia (*lasiandra*)
Sapindaceae
Ungnadia clarki (spp.)
Ulmaceae
Ulmus californica (*americana*)
Vitaceae
Vitis bonseri

LIST 24

San Joaquin (Coso) flora (pollen), eastern central Sierra Nevada, California, late Pliocene (Axelrod and Ting 1960).
Note: This flora is a pollen site, interpretations are based on a very small number of grains (59), and species identifications may be incorrect. This flora should be treated with question.

- Gymnosperms
Cupressaceae
Calocedrus decurrens
Chamaecyparis lawsoniana
Juniperus occidentalis
Pinaceae
Abies concolor
Abies grandis
Abies magnifica
Pinus aristata or *flexilis*
Pinus balfouriana
Pinus lambertiana
Pinus monticola
Pinus murrayana
Pseudotsuga taxifolia (*menziesii*)
Tsuga mertensiana
Taxaceae
Taxus brevifolia
- Angiosperms
Aceraceae
Acer circinatum
Anacardiaceae
Rhus diversifolia
Juglandaceae
Carya sp.
Rhamnaceae
Ceanothus cordulata
Rhamnus crocea
Salicaceae
Salix lasiolepis
Salix scouleriana
Saxifragaceae
Ribes cereum
Ribes nevadense

LIST 25

Stewart Spring flora (macrofossil and pollen), western central Nevada, mid-Miocene (Wolfe 1964 [macrofossil]; Schorn 1984 [pollen]).

- Gymnosperms
Cupressaceae
Chamaecyparis nootkatensis
Juniperus nevadensis (*californica*, *utahensis*)
Pinaceae
Abies (concolor)
Larix (occidentalis)
Picea (breweriana)
Picea magna
Pinus (edulis)
Pinus (monticola)
Pinus (ponderosa)
Tsuga (heterophylla)
Taxodiaceae
Sequoiadendron^a
- Angiosperms
Anacardiaceae
Astronium mawbyi
Rhus (integrifolia)
Schinus savegei (*gracilepis*)
Berberidaceae
Mahonia reticulata (*repens*)
Betulaceae
Betula sp. (*lacustris*)
Caprifoliaceae
Sambucus sp.

Cyperaceae
Cyperacites sp.
 Eleagnaceae
Eleagnus cedrusensis (utils)
 Ericaceae
Arbutus traini (menziesii)
Arctostaphylos (masoni)
 Fagaceae
Quercus cedrusensis
Quercus (chrysolepis)
 Garryaceae
Garrya axelrodi (elliptica)
 Graminae
Poacites sp.
 Juglandaceae
Carya^a
Juglans (major)
 Oleaceae
Fraxinus millsiana
 Rhamnaceae
Colubrina sp.
 Rosaceae
Amelanchier (cusicki)
Cercocarpus (antiquus)
Holodiscus fryi (dumosus)
Lyonothamnus parvifolius
Peraphyllum (vaccinifolium)
Prunus sp.
Rosa sp.
Sorbus (acuparia)
 Salicaceae
Populus cedrusensis (brandegeei)
Populus (tremuloides)
Populus (trichocarpa)
Populus washoensis (grandidentata? bonatti?)
Salix pelviga
 Sapindaceae
Sapindus sp.
 Saxifragaceae
Philadelphus nevadensis
Ribes webbi (cereum)
 Ulmaceae
Ulmus^a
Zelkova^a

^aPollen taxon is additional to macrofossil list.

LIST 26

Susanville Complex flora (macrofossil), northeastern Sierra Nevada, California, mid-Eocene (Knowlton 1911; Wolfe and Hopkins 1967).

Oreodaphne litsaeformis
 Angiosperms
 Araliaceae
Aralia lasseniana
 Fagaceae
Quercus moorii
Quercus olafensi
 Juglandaceae
Juglans rugosa
 Lauraceae
Cinnamomum scheuchzeri
Laurus californica
Laurus grandis
 Leguminosae
Leguminosites sp.
 Magnoliaceae
Magnolia hilgardiana
Magnolia ingelfieldi

LIST 27

Table Mountain flora (macrofossil), western central Sierra Nevada, California, late Miocene (Condit 1944).

Gymnosperms
 Pinaceae
Pinus pretuberculata (attenuata)
 Angiosperms
 Aceraceae
Acer bolanderi (acuminata)
 Anacardiaceae
Rhus mensae (laurina)
 Aquifoliaceae
Ilex opacoides (opaca)
 Berberidaceae
Mahonia prelanceolata (lanceolata)
 Cornaceae
Cornus ovalis (alternifolia)
Nyssa elaeagnoides (sylvatica)
 Ericaceae
Arbutus matthesii (menziesii)
Rhododendron sierrae (rockii)
 Fagaceae
Quercus bookei (Asian type)
Quercus convexa (engelmannii)
Quercus dispersa (dumosa)
 Juglandaceae
Carya typhinoides (cordiformis)
 Lauraceae
Persea coalingensis (borbonia)
Umbellularia salicifolia (californica)
 Leguminosae
Cercis buchananensis (canadensis)
Gleditsia (spp.)
Robinia californica (neo-mexicana)
 Magnoliaceae
Magnolia californica (grandiflora)
 Oleaceae
Forestiera buchananensis (neo-mexicana)
 Platanaceae
Platanus dissecta (racemosa)
 Rhamnaceae
Berchemia multinervis (scandens)
 Rosaceae
Cercocarpus antiquus (betuloides)
Crataegus newberryi (pinnatifida)
 Salicaceae
Salix californica (breweri)
 Saxifragaceae
Philadelphus nevadensis (lewisii)
 Ulmaceae
Celtis kansana (reticulata)
Ulmus californica (americana)

LIST 28

Tehachapi flora (macrofossil), southern Sierra Nevada, California, mid-Miocene (Axelrod 1939; Chaney 1944).

Equisetales
 Equisetaceae
Equisetum sp.
 Filicales
 Polypodiaceae
Pteris calabazensis
 Gymnosperms
 Cupressaceae
Cupressus mohavensis (arizonica)
 Pinaceae
Pinus lindgreni (cembroides)
 Angiosperms
 Anacardiaceae
Rhus obovata (virens)
Rhus preintegrifolia (integrifolia)
Rhus sonorensis
 Berberidaceae
Mahonia mohavensis (fremontii)

Burseraceae
Bursera sp.
 Caprifoliaceae
Viburnum sp. (*stenocalyx*)
 Ericaceae
Arbutus mohavensis (*peninsularis*)
Arbutus prexalapensis (*xalapensis*)
Arctostaphylos mohavensis (sp.)
 Euphorbiaceae
Euphorbia mohavensis (*hindsiana*)
 Fagaceae
Quercus browni (*chrysolepis*)
Quercus convexa (*engelmanni*)
Quercus declinata (*tomentella*)
Quercus dispersa (*dumosa*)
Quercus pliopalmeri (*paleri*)
Quercus turneri (*arizonica*)
 Juncaceae
Juncus sp.
 Lauraceae
Persea sp. (*hartwegii*)
Umbellularia dayana (*californica*)
 Leguminosae
Amorpha oblongifolia (*californica*)
Diphysa californica (*suberosa*)
Leucanea californica (*microcarpa*)
Pithecolobium miocenicum (*dulce*)
Pithecolobium mohavense (*mexicanum*)
Prosopis pliocenica (*juliflora*)
Robinia californica (*neo-mexicana*)
 Melicaceae
Cedrela oregoniana (sp.)
 Moraceae
Ficus sp. (*palmeri*)
 Myricaceae
Myrica mohavensis (*mexicana*)
 Oleaceae
Foresteria sp. (*reticulata*)
Fraxinus mohavensis (*macroptala*)
 Palmaceae
Erythea californica (*brandegeei*)
Sabal miocenica (*uresana*)
 Rhamnaceae
Ceanothus precrassifolius (*crassifolius*)
Ceanothus precuneata (*cuneatus*)
Colubrina lanceolata (*arborea*)
Condalia mohavensis (*lycoides*)
Karwinskia californica (*humboldtiana*)
Rhamnus precalifornica (*californica*)
 Rosaceae
Cercocarpus antiquus (*betuloides*)
Cercocarpus preledifolius (*ledifolius*)
Chamaebatiaria creedensis (*millefolium*)
Holodiscus elliptica (*discolor*)
Lyonothamnus mohavensis (*floribundus*)
Photinia (*Heteromeles*) sp. (*arbutifolia*)
Platanus paucidentata (*racemosa*)
Prunus masoni (*lyoni*)
Prunus preandersonii (*andersonii*)
Prunus prefasciculata (*fasciculata*)
Prunus prefremontii (*fremontii*)
Rosa miocenica (*mohavensis*)
 Salicaceae
Populus alexanderi (*trichocarpa*)
Populus lesquereuxi (*angustifolia*)
Populus pliotremuloides (*tremuloides*)
Populus prefremontii (*fremontii*)
Populus sonorensis (*monticola*)
Salix coalingensis (*lasiolepis*)
Salix kernensis (*bonplandiana*)
 Sapindaceae
Dodonea californica (*viscosa*)
 Sapotaceae
Bumelia florissanti (*lanuginosa*)
 Saxifragaceae
Philadelphus bendirei (*lewisi*)
 Sterculiaceae
Fremontia trilobata (*californica*)
 Typhaceae
Typha lesquereuxi (sp.)
 Ulmaceae
Celtis kansana (*reticulata*)

LIST 29

Tulelake flora (pollen), northeastern California, late Pliocene (Adam et al. 1990; Adam et al. 1989).

Gymnosperms
 Cupressaceae
 TCT (*Taxodiaceae-Cupressaceae-Taxaceae*) (probably *Juniperus occidentalis* or *Calocedrus decurrens*)
 Pinaceae
Pinus
 Angiosperms
 Chenopodiaceae-Amaranthus
 Compositae
Artemisia
 Cyperaceae
 Fagaceae
Quercus
 Poaceae

LIST 30

Upper Cedarville 49 Camp flora (macrofossil), northwestern Nevada, mid-Miocene (Chaney 1959; LaMotte 1935, 1936).

Lycopods
 Lycopodiaceae
Lycopodium prominens (*obscurum*)
 Gymnosperms
 Cupressaceae
Chamaecyparis gilmoreae (*nootkatensis*)
 Ginkgoaceae
Ginkgo adiantoides (*biloba*)
 Pinaceae
Abies laticarpus (*magnifica*)
Pinus monticolensis (*monticola*)
Pinus russelli (*ponderosa*)
Pseudotsuga masoni (*menziesii*)
 Taxaceae
Torreya bonseri (*californica*)
 Taxodiaceae
Sequoia langsdorfii (*sempervirens*)
 Angiosperms
 Aceraceae
Acer merriami (*macrophyllum*)
Acer negundoides (*negundo*)
Acer osmonti (*glabrum*)
 Araliaceae
Oreopanax conditi (*taubertianum*)
 Berberidaceae
Odostemon simplex (*nervosus*)
 Betulaceae
Alnus carpinoidea (*rubra*)
Ostrya oregoniana (*virginiana*)
 Cercidiphyllaceae
Cercidiphyllum crenatum (*japonicum*)
 Ericaceae
Arbutus matthesii (*menziesii*)
 Fagaceae
Castanea lesquereuxi (*pumila*)
Castanopsis chrysophylloides (*chrysophylla*)
Fagus washoensis (*longipetiolata*)
Quercus consimilis (*myrsinaefolia*)
Quercus distincta (*agrifolia*)
Quercus pseudo-lyrata (*kelloggii*)
 Juglandaceae
Carya egregia (*ovata*)
 Lauraceae
Umbellularia oregonensis (*californica*)
 Leguminosae
Cercis spokaneensis (*occidentalis*)
Leguminosites vicicarpus
 Menispermaceae
Cebatha heteromorpha (*triloba*)
 Oleaceae
Fraxinus sp. (*oregona*)

Platanaceae
Platanus dissecta (racemosa)
 Rosaceae
Crataegus newberryi (pinnatifida)
Prunus masoni (integrifolia)
Sorbus chaneyi (alnifolia)
 Rutaceae
Ptelea miocenica (trifoliata)
 Salicaceae
Populus eotremuloides (trichocarpa)
 Sapindaceae
Sapindus oregonianus (mukorossi)
 Saxifragaceae
Ribes sp. (lacustre)
 Sparganiaceae
Sparganium praesimplex (simplex)
 Tiliaceae
Tilia aspera (mandshurica)
 Ulmaceae
Ulmus speciosa (americana)

LIST 31

Upper Cedarville Pit River flora (macrofossil), northern Sierra Nevada, California, late Miocene (LaMotte 1935, 1936).

Gymnosperms
 Cupressaceae
Chamaecyparis gilmoreae (nootkatensis)
 Ginkgoaceae
Ginkgo adiantoides (biloba)
 Pinaceae
Abies laticarpus (magnifica)
Pinus russelli (ponderosa)
Pseudotsuga masoni (menziesii)
 Angiosperms
 Betulaceae
Alnus carpinoide (rubra)
 Ericaceae
Arbutus matthesii (menziesii)
 Fagaceae
Castanea lesquereuxi (pumila)
Castanopsis chrysophylloides (chrysophylla)
Fagus washoensis (longipetiolata)
Quercus consimilis (myrsinaefolia)
Quercus distincta (agrifolia)
Quercus pseudo-lyrata (kelloggii)
 Juglandaceae
Carya egregia (ovata)
 Lauraceae
Umbellularia oregonensis (californica)
 Oleaceae
Fraxinus sp. (oregona)
 Platanaceae
Platanus dissecta (racemosa)
 Rosaceae
Prunus masoni (integrifolia)
 Rutaceae
Ptelea miocenica (trifoliata)
 Sapindaceae
Sapindus oregonianus (mukorossi)
 Tiliaceae
Tilia aspera (mandshurica)

LIST 32

Valley Springs flora (macrofossil), western central Sierra Nevada, California, mid-Miocene (Axelrod 1944b).

Gymnosperms
 Pinaceae
Pinus sp.
 Taxaceae
Torreya sp.

Angiosperms
 Aceraceae
Acer bolanderi (acuminata)
Acer cf. negundoides (negundo)
 Berberidaceae
Mahonia hollicki
 Betulaceae
Alnus merriami
 Fagaceae
Quercus convexa (engelmannii)
Quercus hannibali (chrysoplepis)
Quercus simulata (myrsinaefolia)
 Lauraceae
Persea pseudo-carolinensis (borbonia)
Umbellularia salcifolia
 Moraceae
Ficus microphylla
 Platanaceae
Platanus dissecta (racemosa)
 Rhamnaceae
Ceanothus (rigidus)
 Rosaceae
Lyonothamnus mohavensis (floribundus)
 Salicaceae
Salix californica (breweri)
 Sapindaceae
Sapindus oklahomensis (drummondii)

LIST 33

Verdi flora (macrofossil), western central Nevada, early Pliocene (Axelrod 1958).

Gymnosperms
 Pinaceae
Abies concoloroides (concolor)
Picea (pollen, Howard Schorn personal communication cited in Adam 1973)
Pinus florissanti (ponderosa)
Pinus prelambertiana (lambertiana)
Pinus pretuberculata (attenuata)
 Angiosperms
 Characeae
Chara verdiana (spp.)
 Ericaceae
Arctostaphylos verdiana (nevadensis)
 Fagaceae
Quercus prelobata (lobata)^a
Quercus renoana (engelmannii)
Quercus wislizenoides (wislizenii)
 Grossulariaceae
Ribes galeana (roezlii)
 Naiadaceae
Potamogeton verdiana (spp.)
 Nymphaeaceae
Nymphaeites nevadensis
 Rhamnaceae
Ceanothus precuneatus (cuneatus)
 Rosaceae
Prunus moragensis (emarginata)
 Salicaceae
Populus alexanderi (trichocarpa)
Populus pliotremuloides (tremuloides)
Populus subwashoensis (tremula davidiana)
Salix boisiensis (scouleriana)
Salix truckeana (gooddingii)

^aNow identified as *Acer* (Wolfe and Tanai 1987).

LIST 34

Wichman flora (pollen), northern central Nevada, late Pliocene (Axelrod and Ting 1960). *Note:* This flora is a pollen site, interpretations are based on a very small number of grains (37), and species identifications may be incorrect. This flora should be treated with question.

Gymnosperms

Pinaceae

- Pinus attenuata*
- Pinus flexilis* or *aristata*
- Pinus jeffreyi*
- Pinus lambertiana*
- Pinus monticola*

Angiosperms

Anacardiaceae

- Rhus diversilobus*

Betulaceae

- Alnus rhombifolia*

Cornaceae

- Cornus nuttallii*

Fagaceae

- Quercus breweri*

Juglandaceae

- Juglans cinerea*

Rhamnaceae

- Ceanothus cordulatus*

Rosaceae

- Cercocarpus ledifolius*
- Rubus parviflorus*

Saxifragaceae

- Ribes nevadense*

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