

Artificial Intelligence for Climate Smart Forestry: A Forward Looking Vision

Feng Luo^{1,*}, Ling Liu^{2,*}, G. Geoff Wang^{3,*}, Vijay Kumar^{4,*}, Mark S. Ashton^{5,*}

Jacob Abernethy², Fatemeh Afghah⁶, Matthew H. E. M. Browning⁷, David Coyle³, Philip Dames⁸, Tom O'Halloran³, James Hays², Patrick Heisl³, Chenfanfu Jiang⁹, Puskar Khanal³, Venkat Narayan Krovi¹⁰, Sara Kuebbing⁵, Nianyi Li¹, JingJing Liang¹¹, Ninghao Liu¹², Steve McNulty¹³, Christopher M. Oswalt¹⁴, Neil Pederson¹⁵, Demetri Terzopoulos¹⁶, Christopher W. Woodall¹⁷, Yongkai Wu⁶, Jian Yang¹⁸, Yin Yang¹⁹, Liang Zhao²⁰

¹School of Computing, Clemson University, Clemson, SC USA, {luofeng, nianyi}@clemson.edu

²College of Computing, Georgia Institute of Technology, Atlanta, GA USA, {ling.liu, prof, hays}@cc.gatech.edu

³Department of Forestry and Environmental Conservation, Clemson University, Clemson, SC USA, {gwang, dcoyle, tohallo, phiesl, pkhanal}@clemson.edu

⁴School of Engineering and Applied Science, University of Pennsylvania, Philadelphia, PA USA, seasdean@esas.upen.edu

⁵The Forest School, Yale University, New Haven, CT USA, {mark.ashton, sara.kuebbing}@yale.edu

⁶Department of Electrical and Computer Engineering, Clemson University, Clemson, SC USA, {fafghah, yongkai}@clemson.edu

⁷Department of Parks, Recreation and Tourism Management, Clemson University, Clemson, SC USA, mhb2@clemson.edu

⁸Department of Mechanical Engineering, Temple University, Philadelphia, PA USA, pdames@temple.edu

⁹Department of Mathematics, University of California, Los Angeles, Los Angeles, CA USA, chenfanfu.jiang@gmail.com

¹⁰Department of Automotive Engineering, Clemson University, Clemson, SC USA, vkrovi@clemson.edu

¹¹Department of Forestry and Natural Resources, Purdue University, West Lafayette, IN USA, jjliang@purdue.edu

¹²School of Computing, University of Georgia, Athens, GA USA, ninghao.liu@uga.edu

¹³Northern Research Station, USDA Forestry Service, Durham, NH USA, steven.mcNulty@usda.gov

¹⁴South Research Station, USDA Forestry Service, Knoxville, TN USA, christopher.oswalt@usda.gov

¹⁵The Harvard Forest, Harvard University, Petersham, MA USA, neilpederson@fas.harvard.edu

¹⁶Computer Science Department, University of California, Los Angeles, Los Angeles, CA USA, dt@cs.ucla.edu

¹⁷Northern Research Station, USDA Forestry Service, Durham, NH USA, christopher.w.woodall@usda.gov

¹⁸Department of Forestry and Natural Resources, University of Kentucky, Lexington, KY USA, jian.yang@uky.edu

¹⁹School of Computing, University of Utah, Salt Lake City, UT USA, yin.yang@utah.edu

²⁰Department of Computer Science, Emory University, Atlanta, GA USA, liang.zhao@emory.edu

Abstract— Forests and forest ecosystems are vital to our social, economic, and environmental well-being. However, climate change and climate-driven disturbances (CDDs) are undermining the health and resilience of forests worldwide and pose significant uncertainty to sustainable forest management. Climate-smart forestry (CSF) remains a grand challenge in practice due to our limited knowledge of how forests respond to climate change and our abilities to collect related information to empower decision making. Rapid advances in artificial intelligence (AI) can offer a timely opportunity to address the challenges in CSF. We argue that the AI-enabled, next-generation CSF can be achievable through synergistically coordinated and transdisciplinary efforts that develop and advance foundational and use-inspired AI technologies that can lead to building next-generation forest decision support systems.

Keywords— artificial intelligence, climate-smart forestry

I. INTRODUCTION

Forests cover 33% of the land in the United States, and forest ecosystems are vital to our social, economic, and environmental well-being [1-4]. National forests provide 20% of the nation's freshwater [5], 45% of outdoor recreation jobs [6], and 80% of the wood and fiber products consumed in the US [7]. US forestry is a multi-billion dollar industry [8]. However, climate change has the potential to impact our forests substantially. Forest dynamics are changing because of anthropogenic drivers, such as rising temperature and CO₂ levels [9], as well as the increasing frequency and severity of climate-driven disturbances [10, 11], such as wildfire, drought, ice storms, hurricanes, and insect and disease outbreaks [9]. Collectively, these perturbations have cost the

forest industry significant productivity and revenue. For instance, according to data from the National Interagency Fire Center [12, 13], federal wildfire suppression costs in the United States have spiked from an annual average of about \$425M from 1985 to 1999 to \$1.6B from 2000 to 2019. According to [14], “the total damage and cumulative economic loss for the 2021 wildfire season is estimated between \$70 billion and \$90 billion in the U.S.” Confronted by climate changes and climate-driven disturbances, both private and public forest landowners seek for timely guidance and tools for multi-objective forest management [15, 16]. Federal and state agencies must accurately assess forest conditions (e.g., tree density, carbon stocks) to develop forest management guidelines and strategies.

Climate-smart forestry (CSF) remains a grand challenge in practice due to 1) our limited knowledge of how different tree species and forests of different scales and their biophysical environments respond to climate changes and disturbances, 2) our limited knowledge of how such changes in climate interact with a multitude of other largely anthropogenic factors affecting our forests (e.g. insects, disease, pollutants, land-use history), and 3) our limited ability to accurately predict forest states and evaluate various harvesting regimes under uncertainties induced by climate change and climate-driven disturbances [17]. Rapid advances in artificial intelligence (AI) and the increased availability of data through ubiquitous network connectivity offer a timely opportunity to address the challenges in CSF. We argue that the vision of AI-enabled, next-generation CSF is only achievable through synergistically coordinated and transdisciplinary efforts that

* These authors contributed equally to this paper.

develop and advance foundational and use-inspired AI technologies that advance a transformative approach toward building next-generation forest decision support systems.

For AI and forestry to work in concert, we argue that three synergistic research endeavors are needed: *First*, we will need to leverage state-of-the-art results in CSF research to characterize the set of pressing forestry challenges where AI technologies may bring significant practical innovations in problem solving through AI-enabled use-inspired forestry research. *Second*, we will need to advance foundational AI algorithms, tools and systems to make them customizable, adaptable, and extensible for tackling complex CSF challenges by integrating human knowledge and inputs, and by facilitating scientific and in-field human experts to provide guidance or to influence the design and development of the forest-use-inspired AI solutions. *Third*, we will need to devise human-centered software service platforms and tools to methodically align foundational AI research and development with forest problems and use-inspired scenarios.

In this paper, we will articulate these three orthogonal yet highly complementary dimensions of the AI-forestry alliance that will fulfill a unique vision and be transformative beyond the scope of existing state-of-the-art research efforts in AI or forestry. They will validate a transdisciplinary, multi-institutional research endeavor that promises to synergistically advance foundational and use-inspired AI research and development in several core areas of CSF.

II. FOUNDATIONAL AI FOR CLIMATE SMART FORESTRY

Foundational AI research has the potential to transform US forestry by providing high fidelity, predictive intelligence across diverse spatiotemporal scales under climate change and disturbances. Despite remarkable progress in AI, there remain several open challenges in the adoption and application of AI to CSF. We describe four foundational AI challenges: (i) *The small data problem*: Traditional AI/ML methods for training predictive models with sufficient accuracy require an intractable amount of data. AI learning with limited, sparse, or unlabeled data improves scalability and can establish novel use cases in fields such as forestry where annotations are expensive and differ in scale. (ii) *Heterogeneous information fusion*: Forest data from a plethora of sources and sensors are often collected and studied by forest researchers and field engineers with specific research foci. Applying, adapting, and augmenting AI models for multi-objective learning over heterogeneous and multimodal data is another open challenge. (iii) *Adaptive learning and control*: Autonomous robots can learn to handle numerous edge cases and variations in the field through learning algorithms for agile and robust navigation and control under noisy and dynamically changing uncertainty. (iv) *Human-in-the-loop intelligence*: AI models and algorithms that are explainable and capable of leveraging human-in-the-loop intelligence will increase trust and ease of adoption.

A. Data-Efficient AI

One of the big challenges in the forest domain is the problem of small and sparse data and the scarcity of quality labeled data. For instance, many forestry datasets are collected over years in different forest regions with relatively little disturbance [18], so the ground truth data about climate-driven disturbances are based on sparsely sampled small footprints, whereas annotations are expensive and vary in

spatiotemporal scales. There is a pressing demand for new AI theory and novel AI algorithms that can learn efficiently from data sources with small and limited data, noisy and sparse data, or unlabeled data.

1) Learning with small and sparse data

One approach to quickly learning new concepts in a data-efficient manner is to explore few-shot learning methods [19, 20]. Few-shot learning refers to the ability to classify objects where many predictions are required given a few examples of each category [21, 22]. One-shot learning is a special case of few-shot learning. One-shot learning aims to learn a rich low-dimensional feature representation, called an object embedding, which can be calculated for objects of a given class easily and used as a learned feature vector for the known. Then candidate examples are compared for verification and identification tasks. A deep neural network trained with contrastive loss or the triplet loss function has been shown to perform better in the learning of embeddings for one-shot or few-shot learning problems. Zero-shot learning refers to the ability to learn to predict the correct class without being exposed to any instances belonging to that class in the training dataset. Zero-shot, one-shot, and few-shot learning may be considered as a meta-learning problem where the model learns how to learn to solve the given problem through knowledge transfer [23, 24]. For example, Siamese networks [25, 26] and triplet networks [27, 28] can effectively discriminate two unseen classes, whereas matching networks [21], prototypical networks [29], and relation networks [30] are known to be more effective for discriminating multiple unseen classes.

2) Learning to estimate unknowns through multi-objective and multi-modal representation learning.

One key issue in enabling CSF is the accurate modeling of tree regeneration/growth/death and overall health of tree species under different climate-driven disturbances. Accurate modeling requires integrating known models (e.g., tree growth patterns under no disturbance) with our best estimate of unknowns (e.g., how different tree species respond to the changes in soil condition, water level, and overall stand health under different climate-driven disturbances, such as wildfire, drought, windthrow, and infestation). One approach to tackling the multi-objective learning problem with a large amount of unlabeled data is to integrate multi-modal representational learning, transfer learning, or reinforcement learning with few-shot learning. For example, by combining the Harvard tree growth data network with the FIA database [31, 32]. We can develop multi-modal representation learning algorithms specifically optimized for forest data collections with the temporal alignment of historical weather data. We may utilize the cross-modality retrieval capability of the multi-modal embedding model(s) to extract tree species-specific data over-determined spatial and temporal ranges with corresponding historical weather alignment, and build AI models to learn how different tree species respond to certain climate-driven disturbances, such as fire, drought or insect and disease outbreaks. Based on large-scale, offline model training under non-disturbance and known climate-driven disturbances, we can leverage few-shot learning to elicit unknown patterns under disturbance and make timely response and transform disturbed forest regions into novel ecosystems.

B. Generalizable AI

Forest domain-specific AI solutions require the capability of AI models to work effectively under different conditions, including unknowns or evolving conditions. The random forest [33] is a supervised AI learning model widely adopted by forestry researchers [18, 34]. However, compared to prediction quality under no disturbance, the highly uncertain and substantially skewed distribution of forestry data under climate change and climate-driven disturbances renders these models poor in their predictive performance [35-37]. Moreover, prior knowledge and learning experiences are intricately linked to every phase of the complete life cycle of the trees, tree species, and the forest, from getting trees off to a better start to making sure trees are harvested in a way that protects the future of the forest, all demanding generalizable AI solutions.

1) Generalizable AI through robust and prognostic fusion.

An important objective of learning systems is the construction of predictive models with high generalization performance. The most popular approach is to find the best model for a target task. However, it is difficult in general to estimate the best model when given finite samples. Combining multiple neural network predictors has been shown to improve generalization error [38-41]. This is specifically important for enabling climate-smart forestry, which requires learning with limited ground truth data and learning in a changing environment with evolving data streams. We conjecture that ensemble fusion of multiple network predictors with adaptive teaming strategies will bring fresh new solutions to the concept drift problem [42, 43], which is a change in the definition of the concept (class) in data streams because the underlying distribution from which data are drawn for concept learning changes over time.

2) Generalizable AI through reusing and transferring information.

Transfer learning enables the generalization of knowns to learn unknowns by utilizing the transferability of AI models. The main idea is to store knowledge gained while solving one problem and apply it to a different but related problem. For example, knowledge gained while learning to recognize tree growth disturbed under windthrow could apply when trying to recognize tree growth disturbed under ice storm. Transfer learning is an optimization for domain adaptation. It can address the variability and diversity challenges in forest ecosystems by transferring knowledge from existing tree growth processes of certain tree species in specific forest region(s) in order to learn tree growth of other similar species or the same species in a new region, and thus aid in developing digital twin models of diverse tree species across various spatiotemporal scales. A key challenge in transfer learning is to minimize negative transfer where previous data and learned models (knowledge) negatively intervene into the new learning task.

3) Generalizable AI through hierarchical adaptive learning.

The generalizability of an AI model can also refer to its ability to quickly adapt to changing environments. One approach is to model changes in a task environment in terms of state and action spaces relevant to the task and use

reinforcement learning (RL) to learn an optimal policy for actions in various states of a task environment, which maximize the cumulative rewards received by the agent [44].

C. Exploration-Adaptive AI

Existing forest monitoring with event-triggered sensors and satellite imaging [45, 46] does not offer a low-cost and agile solution with the necessary spatial and spectral resolution to build a digital twin. Flying drones into the forests provides much more detailed information, especially of the underbrush and in remote forest regions. Unmanned Aerial Vehicle (UAV) systems offer the potential for rapid and high-resolution mapping of wide forest areas due to their 3D mobility, flexibility, low flight altitude (below the clouds), and fast and easy deployment. The operation of UAVs in forest monitoring must involve the development of fully autonomous UAVs that can individually or cooperatively operate in unknown and unstructured environments (Figure 1).

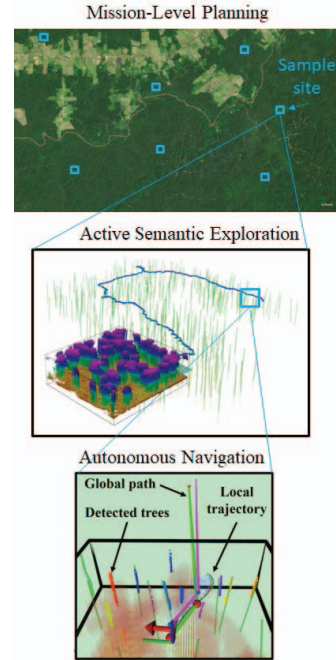


Fig. 1. Hierarchical planning architecture. The *mission planner* (left) determines where to sample the forest (blue squares). The *active semantic exploration* (middle) guides one or more robots to collaboratively build a semantic map of a patch of the forest (i.e., to collect a sample of the biodiversity and structure of part of the forest). The *autonomous navigation* (right) guides robots through the complex 3D geometry of a forest.

1) Mission planner.

The mission planner identifies sampling locations (task identification [47]) and dynamic multi-agent task assignment. A key challenge is to quantify the uncertainty in task locations and durations (i.e., new tasks may be discovered online) in addition to communication constraints [48-54].

2) Active semantic exploration.

The semantic maps have been shown to improve robot perception [55-61] and autonomy [62-68], along with a novel AI learning strategy to guide robots to autonomously generate these maps, including new neural network architectures to improve the speed and reliability of instance segmentation and classification, and a novel semantic mapping algorithm based on multi-Bernoulli random finite

sets [66]. The active exploration strategy will use an information-theoretic objective function with a motion penalty to drive the robots to efficiently construct the semantic maps. In the future, an accurate metric-semantic map, even when individual measurements have high uncertainty, is needed

3) *Autonomous navigation*

An autonomous robot must use onboard sensing and computation to quickly plan trajectories and safely reach its goal locations, such as those set by the semantic exploration module. Existing autonomous navigation systems for aerial robots [69-72] use signed distance field (SDF) representations of the map, which demands larger storage and computational power and does not account for key platform constraints (e.g., battery life and perception range). In the future, built upon the an autonomous navigation software stack [73-76] in cluttered environments [77]—e.g., under dense forest canopy [65]—novel reinforcement learning algorithms with fast convergence and low computational complexity in high dimensional state-space actions could be developed, which would create a local planner to enhance the safety and speed of ground robot navigation, and to improve generalization to new scenarios [78].

D. *Explainable and Trustworthy AI*

To facilitate the wide deployment of innovative AI solutions in the forest domain and to gain the trust of public and private forest landowners in AI-enabled CSF developments, we must provide the capability of explaining the reasoning behind predictive models or decisions in a way that humans can understand, the capability of putting the human in the loop for forest decision support systems, and the capability of providing privacy and safety guarantees with respect to the socioeconomic consequences.

1) *Feedback-driven learning for human-AI interactive exploration.*

Feedback-driven AI promotes human-centric design and human-in-the-loop intelligence by generating feedback based on domain knowledge and the explainability of AI results [79]. Explainability is typically modeled by a set of probabilistic logical formulas summarizing human expert knowledge about causality. A popular approach to explainable AI is to learn an effective surrogate model, which is based on domain knowledge from human experts and hence is intuitive for humans to understand, yet can best approximate the original complex model. In addition, to optimizing the primary loss function by minimizing the difference between the surrogate model and the original model, the explainability learning algorithm will add a regularization term to reward the explainability. Different criteria can be used to measure explainability, such as the number of probabilistic logical formulas (the smaller the better). Explainability can zoom into the causal relationships among variables of interest and reveal how the fundamental design principles and key parameters may impact the convergence and stability of learning.

2) *Trustworthy AI through privacy and federated learning*

For many private forest landowners, privacy is a primary concern and often one of the biggest deterrents for different stakeholders of the forest system to provide and share data. Federated learning is an emerging distributed learning paradigm that utilizes a distributed population of participants

(e.g., individual forest landowners) to collaboratively train a deep neural network model [80-85]. Compelling applications in forest systems include using local data from multiple forest landowners to jointly train predictive models for prediction of tree growth of different tree species under non-disturbance or certain type of climate-driven disturbance. Recent studies show that an honest but curious client may use an inference-based reconstruction attack to derive private client training data based on the local model update shared by the client to the federated server [86, 87].

3) *Trustworthy AI through fairness.*

Forest datasets are subject to imbalance and sampling or selection bias. For example, compared to the tree growth data acquired under non-climate disturbance, such data collected under climate-driven disturbances has a much smaller sample size. Such biases in training datasets can influence many AI algorithms. Class-weight is a cost-sensitive method to tackle the class imbalance. Re-weighting-based methods reduce selection bias by assigning weight to training errors, where the weights are learned from estimates of sample probabilities, such as clustering-based estimation or kernel mean matching.

III. AI-ENABLED CLIMATE-SMART FORESTRY

Enhancing the resilience of the nation's forests under climate change and climate-driven disturbances through CSF practices remains a tremendous challenge. It is known that certain forest conditions could reduce the occurrence or intensity of certain disturbances (e.g., fire, pestilence, disease) due to their unique characteristics [88]. Here, we argue that AI can help 1) understand tree and forest growth, yield, and vulnerability under climate change and disturbances, 2) assess economic and ecological impacts due to future changes in forest conditions, and 3) measure carbon emissions/removals and associated risks/opportunities across space and time in forests.

A. *Climate-Tree Growth Intelligence*

Climate has been shown to have a strong impact on tree growth in many parts of the world [89] and climate change strongly influences short- and long-term tree growth [90-93]. Understanding how different tree species respond to climate change and disturbances, projecting tree growth under climate change, and quantifying forest vulnerability can assist risk-averse decision-making for forestry and inform long-term management decisions. First, AI can help *understand the response of tree attributes to climate change and climate-driven disturbances*. Having forest factors, such as forest density, forest composition, the percentage of congeneric trees and species with complementary traits and growth habits, and time since disturbance, as well as having access to physical and geographic data will allow us to investigate the relationships between tree growth and stand factors, local site conditions, and climate. AI models enhance our understanding of the response of trees under a range of local conditions and histories, and under a range of climatic conditions, while at the same time developing the many levels of uncertainty that exist in the productivity of forest ecosystems over time.

Second, AI can help *forest growth and yield projection under climate change*. Projecting forest biomass inclusive of

mortality and recruitment under global change is foundational to the quantification of forest ecosystem services. To date, the projection of forest biomass is limited by small geographic coverage, low quality, and incompatibility. By integrating multi-modal forest and climate data from multiple sources, including ground-sourced FIA data, dendrochronological data, and high-resolution remote sensing data from satellite and UAV-based platforms (i.e., GEDI, LANDSAT, MODIS, airborne-LiDAR, etc.) into one universal training dataset, we can adopt multi-modal representation learning algorithms to further enhance the generalization performance and provide data-efficient, generalizable, and explainable climate-smart forest growth and yield projection intelligence.

Third, AI can help *quantification of forest vulnerability*. Quantifying forest vulnerability to climate change and climate-driven disturbances, such as wildfires [94-97], droughts [98, 99], ice storms [100, 101], hurricanes [102], and insect outbreaks [103] is important for CSF practices aimed at reducing the susceptibility or increasing the adaptive capacity of forests. It is necessary to quantify the vulnerability of trees, forest stands, and landscapes at the decadal to centennial temporal scale. By leveraging tree ring and climate change data, we may develop AI methods for quantifying tree vulnerability. The tree ring data can be used to reconstruct past forest disturbances [104] and provide scenarios of forest recovery post-disturbance. We can adopt data-efficient learning to predict past disturbances from tree ring data with higher generalization performance and robustness guarantees. Moreover, we can quantify the vulnerability of forest stands and landscapes using freely available dense LANDSAT time-series stacks (LTSS) and LiDAR point cloud data. Previous methods [105, 106] reconstructed forest disturbance history only from LTSS and failed to detect minor but widespread disturbances that are small in disturbed patch size, less abrupt in impact duration, and more rapid in vegetation regrowth. By incorporating LiDAR point cloud data to provide a more detailed and comprehensive mapping of forest structure (e.g., canopy height, canopy density) to delineate minor disturbance events more effectively, we may develop a 3D deep learning model that integrates LTSS and LiDAR data to improve the efficacy of disturbance detection and the accuracy of disturbance agent classification. By identifying the state before the disturbance and its changes since the disturbance, we can quantify the vulnerability of each stand subject to a historical disturbance event.

B. Multi-Objective Forest Assessment Intelligence

To maintain the resilience of forests, we can develop AI-enabled methods to assess the economic value and tree diversity impact of forests, which will help the development of intervention plans and policies to mitigate the negative effects of climate change and climate-driven disturbances. First, AI can help *forest economic value assessment under climate change*. One of the greatest challenges in forest management and decision-making is designing an optimal harvesting policy to maximize the economic value of forests. This optimization needs to simultaneously account for an array of risks due to natural disturbances, catastrophic events, timber and biomass prices, and interest rates [107]. For instance, changes in species composition would affect

biophysical and environmental factors that directly or indirectly affect timber supply [108], such as forest productivity [109], as well as the frequency and severity of forest fires and pest infestations [110, 111]. The prediction will become even more complex in the future because 1) the carbon credit value of forests needs also to be considered, and 2) climate change and disturbances have broad impacts on all parts of the forest sector, from tree growth to timber processing, to transportation costs. To solve this complex, stochastic optimization problem, a deep reinforcement learning (DRL) [112, 113] based method will be useful to learn an optimal harvesting policy using information such as tree diversity and structure, harvest timing and rotation policy, tree growth modeling, carbon credit modeling, climate modeling, and disturbance modeling, as well as economic factors.

Second, AI can help *map tree species diversity across the nation's forest communities*. Our nation's forests are the most vital repository of terrestrial biodiversity and the provider of a myriad of ecosystem services [114, 115]. With changes in climate and land-use patterns, it has become increasingly urgent to characterize tree species diversity and depict its patterns and main drivers, which are of immense value in devising spatially explicit conservation strategies [116]. In recent years, data and machine learning-based approaches to explore global forest tree species diversity, productivity, and tree symbionts [117, 118] have been developed. By leveraging existing forest and climate data, we can adopt non-parametric deep neural network ensemble learning models to capture the nonlinear relationship between tree species diversity and a large number of predictor variables without statistical assumptions and predetermined mathematical formulas. The AI models will enable us to delineate forest bioregion boundaries, identify expected boundary changes, and estimate potential assemblage shifts under different future climate change scenarios.

C. Forest Carbon Sequestration Intelligence

Forests are a dominant contributor to the terrestrial carbon sink and have been identified as a key component of climate mitigation strategy. Accurately and cost-efficiently measuring the sequestered carbon is critical for guiding the development and implementation of CSF practices. However, traditional methods for estimating carbon are either empirical or costly and are not scalable to large regions. AI methods can help more accurately predict carbon sequestration. Previously, tree volume has been estimated from the 3D point cloud using an empirical function [119]. One optimization is to develop a volumetric 3D CNN-based method, which represents 3D shapes using 3D occupancy grids and directly applies 3D convolution to this representation to calculate the volume of irregular trees quickly and accurately. With the construction of a lost and damaged tree dataset, the AI method can identify dead and damaged trees from drone images/videos captured after the occurrence of disturbances. By combining the original 3D forest model, we will be able to account for carbon loss. Furthermore, knowledge collected from carbon loss will help us understand how different tree species and stand conditions respond to different types of disturbances.

using specialty cameras, radar, and other sensors in the autonomous drones. AI will process the collected data to derive accurate measurements about the sampled stand. These measurements will then be validated by actual ground measurements. In addition to routine measurements with FIA plots, the drones can collect data on species identity, forest health or vigor, leaf area, water content of live tissue, standing snags, downed woody materials, forest floor, soil carbon, and soil moisture. Such a FIDAS promises to revolutionize forest data collection and significantly advance forest research and management.

V. CONCLUSIONS

AI has the potential to transform US forestry systems by addressing the grand challenges of achieving 21st-century Climate-Smart Forestry (CSF), including assessment and attainment of forest resilience under weather and climate variability, and subject to the scarcity of natural resources. Realizing this potential will require fundamental transformations in how we apply and integrate AI technologies and in how we design and develop AI systems for next-generation CSF systems. Traditionally, AI algorithm and technology designers have been solution and software providers, whereas the domain clients, among them forestry stakeholders and researchers, were end consumers. This is neither adequate nor sustainable, especially within our vision to expand and transform the complex commercial multi-forest-stakeholder enterprise by building future, cyber-physical forest ecosystem supplemented by AI-enabled forest decision support systems and services. We have argued that for AI-driven endeavors to succeed in this complex CSF future, a strong collaboration must be established between the AI researcher/practitioners and a broad range of stakeholders, including forest landowners, forest laborers, consultants, technology service providers, and state and regional policymakers, as well as forestry researchers and scientists.

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