

Managing Smoke Risk from Wildland Fires: Northern California as a Case Study

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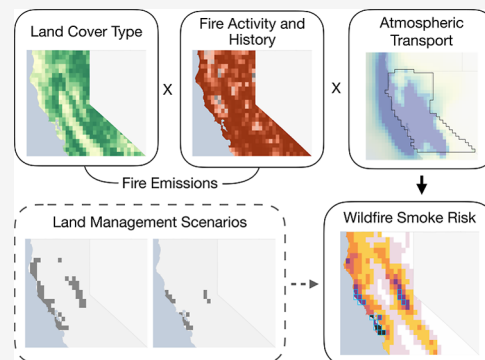


Supporting Information

ABSTRACT: Smoke fine particulate matter ($PM_{2.5}$) from increasing wildfires in the western United States threatens public health. While land managers often prioritize reducing wildfire risk in the wildland-urban interface, the impact on regional air quality from mitigating wildfire spread has been less explored. We developed a framework to quantify wildfire contributions to smoke exposure and assess targeted land management strategies. This data-driven approach integrates fire emissions and smoke transport to generate a smoke risk index at $0.25^\circ \times 0.25^\circ$ resolution. We deploy the smoke risk index in an online tool, enabling stakeholders to analyze smoke risk under various scenarios of burned area, fuel consumption, and land management. Using Northern California as a case study, we estimate that in 2020, targeted land management in the 15 highest risk areas ($\sim 3.5\%$ of the total) could have reduced smoke exposure by 17.6%. However, most prescribed burns conducted from 2017 to 2020 did not overlap with these high-risk zones.

Our framework also estimates excess deaths from smoke $PM_{2.5}$ exposure, attributing $\sim 36,400$ (95% CI: 25,400–47,200) deaths nationally to western US fires in the year following the 2020 fire season. Our adaptable tool can incorporate higher-resolution data sets and help stakeholders prioritize fuel treatment and fire suppression to mitigate smoke exposure risks.

KEYWORDS: wildland fires, land management, health effects, smoke, $PM_{2.5}$



1. INTRODUCTION

In the western US, smoke fine particulate matter ($PM_{2.5}$) pollution from wildfires poses a large environmental threat to public health and threatens to undo decades of progress in air pollution reduction under the Clean Air Act.^{1,2} The long-range transport of smoke extends the impact of wildfires far beyond the immediate fire perimeter, with broad implications for air quality and public health. However, the potential smoke exposure from future wildfires is not typically taken into consideration when planning prescribed fires: the primary focus remains on addressing impacts directly within the path of wildfires.^{3,4} Here, we present a smoke risk assessment tool that develops an array of emissions scenarios based on land-atmosphere variables. Our adaptable framework allows users to assess the most impactful locations from a public health perspective for the application of prescribed fires and fuel treatments in the western US.

$PM_{2.5}$ can penetrate deep into the lungs, leading to an array of health effects, including pulmonary disease, stroke, and premature death.^{5–7} Vulnerable populations^{8,9} and individuals with preexisting respiratory or cardiovascular conditions, most prevalent among elderly populations, are especially at risk.^{10,11} Recent increases in wildfires in the western US stem from a combination of prolonged drought due to climate change, historical buildup of fuels from aggressive suppression efforts,

and increased ignitions due to human population growth in the wildland-urban interface (“WUI”).^{12,13} These compounding risks have made it difficult for nature to self-correct and require large-scale ecosystem restoration and management.¹⁴ Thus, state and federal agencies increasingly seek to expand the scope of prescribed burning and fuel treatments to minimize the impacts of large wildfires.¹⁵

The US Forest Service (USFS) and California Department of Forestry and Fire Protection (CAL FIRE) have plans to treat, with 40% through prescribed burning, one million acres per year in California as part of the Joint Stewardship Agreement.^{16,17} Current agency tools (e.g., BlueSky, HYSPLIT, HRRR-Smoke) used to assess likely prescribed fire emissions mostly focus on modeling overall smoke spread and pooling, and this analysis often occurs after burn locations are identified during land management planning.^{18–20} Developing a $PM_{2.5}$ smoke exposure tool is a data-intensive process, requiring both historical and projected fire emissions in

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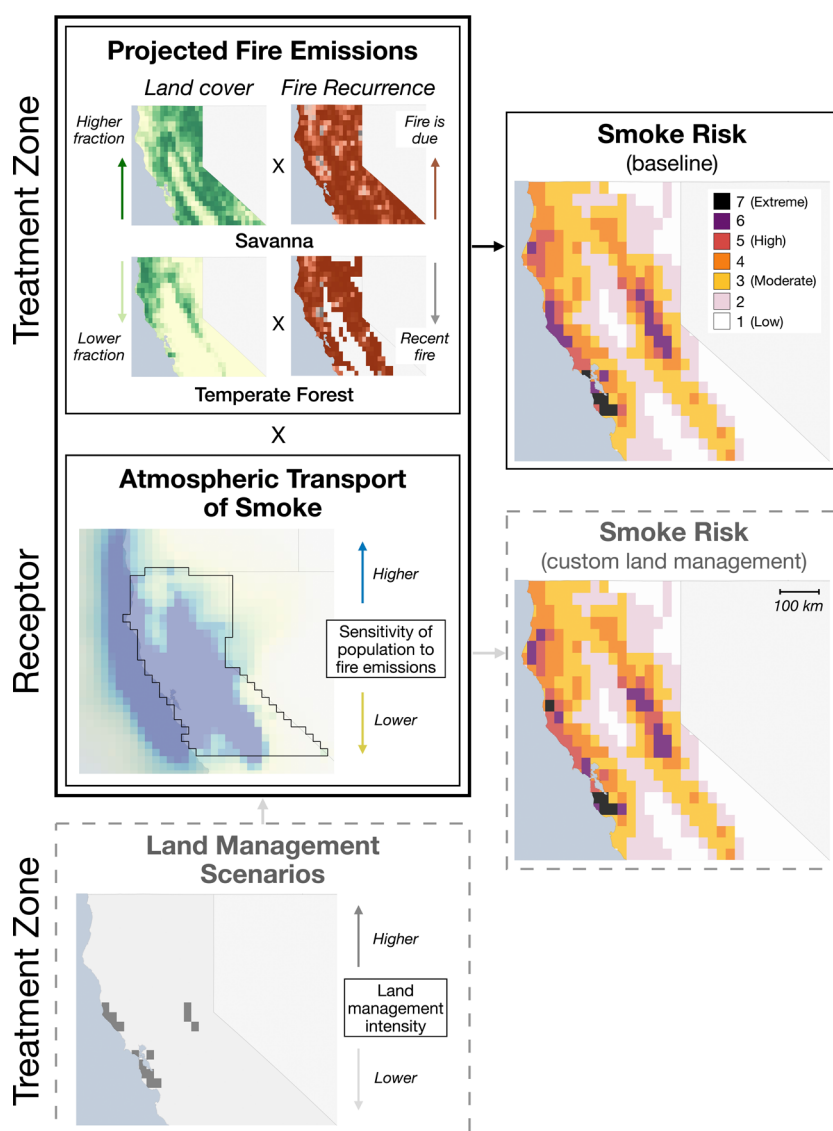


Figure 1. Schematic workflow to generate the smoke risk index. The smoke risk index is a metric that highlights those grid cells where potential wildfires would pose the greatest smoke population-weighted smoke exposure downwind. The index is ranked by percentiles of smoke contribution within the treatment zone to the population-weighted average exposure across the specified receptor region. Inputs include projected fire emissions and atmospheric transport of smoke using sensitivity footprints from the adjoint of the GEOS-Chem model. The land cover fraction and recurrence interval, key components for calculating projected fire emissions, range from 0 to 1. Sensitivity footprints are driven by weather and represent the degree to which emissions in each grid cell contribute to overall smoke exposure in the receptor. An optional input is a land management scenario, which describes a historical or hypothetical prescribed fire or fuel treatment plan and ranges from 0 to 1.

addition to local and synoptic meteorological patterns that influence the transport of smoke into and out of different regions. Considering the large interannual variability in wildfire activity and the uncertainty in atmospheric transport of pollution, accurately quantifying and projecting smoke exposure at a fine spatial scale remains challenging.

Recent studies investigating historical wildfire activity in the western US have focused on wildfire and smoke exposure trends,^{1,21–24} smoke transport,^{25,26} and the health impacts of smoke exposure.^{27–29} To quantify the smoke fraction in surface PM_{2.5}, many of these studies designed statistical models relying on NOAA's Hazard Mapping System (HMS) smoke product of plumes digitized by analysts from satellite observations.^{1,22,30,31} This approach, however, may incur large spatial biases as most smoke transported from the western US to the East is found to be aloft and does not affect

surface air quality.³² Relatively few studies have employed physics and chemistry-based mechanistic models to connect fire emissions with meteorological transport to calculate population-weighted smoke exposure downwind of the fires.^{3,9,33,34} To our knowledge, limited studies exist that adopt such a framework to connect near-term fire emissions with land management scenarios for maximizing public health benefits in the western US.³⁵

In this study, we designed the Smoke Management and Risk Tool: Fire-Land-Atmosphere Mapped Scenarios (SMRT-Flames) to assess smoke exposure across the western US and target areas where prescribed fires and other fire management approaches would yield the greatest benefit to air quality downwind. We use Northern California as a case study and extend the baseline framework used by Kelp et al. (2023) and Marlier et al. (2019). Our data-driven approach integrates (1)

historic and near-term fire emissions related to land use, (2) meteorology-driven transport and deposition patterns of smoke to downwind regional population centers, and (3) resultant population-weighted exposure for a variety of land management scenarios. To evaluate our framework against other empirical approaches, we estimate the historical smoke concentrations and premature mortality attributable to fires across the western US. Our work aims to identify high smoke-risk areas for wildfire prevention, thereby reducing smoke $\text{PM}_{2.5}$ exposure downwind, especially among vulnerable populations.

2. METHODS

Figure 1 shows a schematic workflow of our approach for SMRT-Flames. The baseline framework, taken from Kelp et al. (2023) and Marlier et al. (2019), consists of atmospheric transport (Section 2.1), historical fire emissions (Section 2.2), and calculation of smoke concentrations and related public health impacts (Section 2.3). Using Northern California as a case study, we modify this framework to calculate a smoke risk index and assess the potential impacts of various land management scenarios (Section 2.4). We define a “treatment zone” for land management and a “receptor” region for evaluating population-weighted smoke exposure. The treatment zone and receptor are decoupled in spatial extent, which makes it possible to assess the population-weighted exposure of multiple receptors (e.g., western US; southwestern US; and Central Valley in California) given land management decisions in an input treatment zone (e.g., Northern California).

2.1. GEOS-Chem Adjoint and Meteorology. The adjoint of the GEOS-Chem (<https://geos-chem.org>) chemical transport model (CTM) calculates the sensitivity of population-weighted smoke exposure in specified receptor regions to wildfire emissions upwind. The adjoint considers the advection, convection, and deposition processes experienced by smoke plumes.³⁶ Following the approach of previous studies,^{9,33,34} we use the adjoint of the GEOS-Chem v8-02-01 to quantify these source-receptor relationships. GEOS-Chem is driven by GEOS-FP assimilated meteorology from NASA Global Modeling and Assimilation Office. Simulations have $0.25^\circ \times 0.3125^\circ$ horizontal resolution over the nested North America domain ($140^\circ\text{--}40^\circ\text{W}$, $10^\circ\text{N--}70^\circ\text{N}$). The method accounts for the spatiotemporal distribution of smoke plumes and generates monthly mean gridded sensitivities, termed adjoint sensitivities, from July to November—when fires are most active in the western U.S.—for the period 2016–2021, which includes both low and high fire years. We then resample the adjoint sensitivities to $0.25^\circ \times 0.25^\circ$ spatial resolution to match the input fire emission database. We used the GEOS-Chem_Adjoint_v35 code version for this analysis. The most recently updated version of the adjoint (GEOS-Chem_Adjoint_v36) includes stratospheric (UCX) chemistry, which is not a focus of this study. Otherwise, we expect no discernible differences between model versions.

The GEOS-Chem adjoint sensitivities also account for spatial variations in population within the receptor regions, which allows us to derive the population-weighted smoke exposure by multiplying these sensitivities with the estimated fire emissions (Section 2.2). We define smoke as the primary $\text{PM}_{2.5}$ emitted by fires in the form of organic carbon (OC) and black carbon (BC) emissions.³⁷ Following Koplitz et al. (2016), we multiply OC by a factor of 2.1 to account for aerosol aging.³⁸ The sum of the adjoint sensitivities multiplied

by the fire emissions per grid cell yields the population-weighted smoke exposure in a receptor region for any fire emissions scenario, as the relationship between emissions at the source and smoke exposure at the receptor is assumed to be linear.^{33,39} We exclude secondary organic aerosols (SOA) from wildfires both due to the large uncertainty of models in simulating smoke SOA^{40–42} and because the multiplication of the adjoint sensitivities by the fire emissions assumes a linear relationship which is reasonable for OC and BC but not for SOA as its chemistry is nonlinear.⁴³

We divide the contiguous US into nine regional receptors as shown inset in Figure 2, following previous studies.^{30,44} We

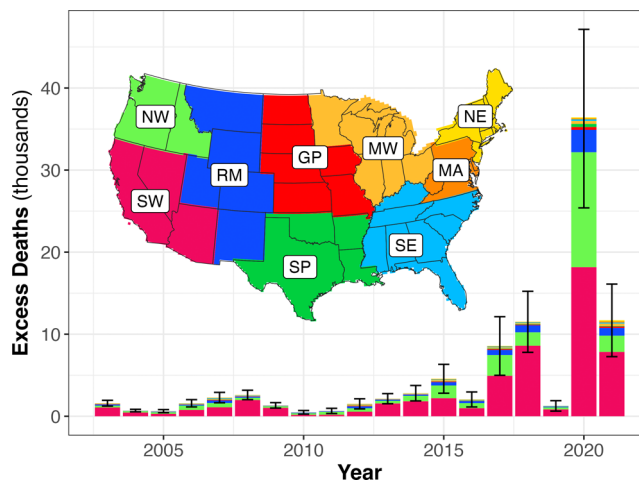


Figure 2. Excess deaths attributable to smoke $\text{PM}_{2.5}$ exposure across the contiguous US from western US fires. The annual excess mortalities from 2003 to 2021 are separated by region, or receptor, across CONUS. The inset map defines the receptor regions, following O'Dell et al. (2021): Northwest (NW), Southwest (SW), Rocky Mountains (RM), Great Plains (GP), Southern Plains (SP), Midwest (MW), Mid Atlantic (MA), Northeast (NE), and Southeast (SE). Error bars correspond to the 95% confidence interval of total excess deaths across CONUS.

calculate the adjoint sensitivities given meteorological conditions from 2016 to 2021, yielding a set of monthly mean sensitivity maps spanning six years. We selected the period 2016 to 2021 due to data availability and the computational expense of running forward (CTM) and backward (adjoint) GEOS-Chem simulations. The six years of sensitivities generated are sufficient given methods reported in previous studies (Koplitz et al., 2016), accounting for both high-fire (2017, 2018, 2020, 2021) and low-fire (2016, 2019) seasons in the western US, and capturing both El Niño (2016, 2018, 2019) and La Niña (2017, 2020, 2021) years. While meteorology is linked to droughts and the incidence of fires, this approach also allows us to capture much of the interannual variations in those meteorological processes, such as winds and precipitation, that affect transport of smoke to the receptors. We assume that future interannual variability in transport is similar to that found during 2016–2021. To examine fires from 2003 to 2015, we match the meteorology of each year with that of one of the adjoint sensitivities from 2016 to 2021 based on the vapor pressure deficit derived from ERA5-Land reanalysis meteorology ($0.1^\circ \times 0.1^\circ$), averaged over the fire season and across the treatment zone.⁴⁵ We then derive the projected smoke exposure contributions by multiplying the

matching adjoint sensitivity with OC + BC emissions from the input year (Figures 1 and S1).

2.2. Historical Wildfire Emissions. For historical wildfire emissions, we use the Global Fire Emissions Database version 4s (GFED4s) gridded at $0.25^\circ \times 0.25^\circ$ spatial resolution in monthly timesteps.⁴⁶ We use monthly GFED4s fire emissions in the western US receptor regions (Northwest, Southwest, and Rocky Mountains, abbreviated as NW, SW, and RM) from July–November 2003 to 2021. The fire emissions are based on observed relationships between the satellite-derived burned area from MODerate resolution Imaging Spectroradiometer (MODIS) observations and fuel consumption estimates from the Carnegie-Ames-Stanford Approach (CASA) biogeochemical model.^{46,47} In GFED4s, fuel consumption is defined as the amount of biomass, coarse and fine litter, and soil organic matter consumed per unit area burned and is the product of the fuel load and combustion completeness. Starting from 2017, GFED4s emissions are preliminary estimates, derived instead from the linear relationship between historical GFED4s emissions and MODIS active fire detections.⁴⁶

2.3. Calculating the Health Impacts from Historical Smoke $\text{PM}_{2.5}$ Exposure. We assess the excess deaths attributable to smoke $\text{PM}_{2.5}$ from western US fires from 2003 to 2021 by using the parametric concentration–response function (CRF) detailed in Vodonos et al. (2018).⁴⁸ Inputs in the CRF include the annual average of the population-weighted $\text{PM}_{2.5}$ with and without smoke contribution, population, and baseline mortality rates. The meta-analysis by Vodonos et al. (2018) includes 53 cohort studies that quantified the association between $\text{PM}_{2.5}$ exposure and increased mortality risk. The meta-analysis parametric model in Vodonos et al. (2018) approximates the long-term $\text{PM}_{2.5}$ mortality concentration response over a large range of $\text{PM}_{2.5}$ concentrations. Following Vohra et al. (2021), we calculate excess deaths as follows

$$\text{excess deaths} = \text{BMR} \times \text{pop} \times \text{AF} \quad (1)$$

where BMR is the all-cause baseline mortality rate (number of deaths per 100,000 people), pop is the total population over the age of 14 in the receptor region, and AF is the fraction of early deaths attributable to smoke $\text{PM}_{2.5}$ exposure. The age constraint is tied to the set of cohort studies used to derive the Vodonos et al. (2018) CRF.⁴⁸ To evaluate excess mortality, we use the 2003–2019 state-level baseline mortality and population data from the Global Burden of Disease (GBD) (GBD, 2019). For receptors that partially cover a state, we use the 2020 population counts from the 1 km Gridded Population of the World, version 4 (GPWv4.11) to approximate the fraction of the state population that lives within that receptor. Due to data limitations, we use GPW for population-weighting and disaggregation and GBD for the excess mortality calculation. Compared to GBD, GPW has a higher spatial resolution but lower temporal frequency and no age group distribution. We calculate the yearly receptor-level BMR in deaths per 100,000 people as the mean BMR in each state within the receptor weighted by the fraction of the receptor population living in that state, from 2003 to 2021. For 2020 and 2021, we used the BMR and population data from 2019 for our receptor-level BMR excess mortality findings. This likely led to underestimates in mortality for 2020–2021 due to compound effects from Covid-19 and wildfire smoke.³¹ AF is calculated as follows

$$\text{AF} = \frac{e^{\bar{\beta} * x_{\text{smoke}}} - 1}{e^{\bar{\beta} * x_{\text{smoke}}}} \quad (2)$$

$$x_{\text{smoke}} = x_{\text{total}} - x_{\text{background}} \quad (3)$$

$$\bar{\beta}(x) = \frac{1}{x_{\text{smoke}}} \int_{x_{\text{background}}}^{x_{\text{total}}} \beta(x) dx \quad (4)$$

where x is the mean annual population-weighted $\text{PM}_{2.5}$ concentration (in units of $\mu\text{g m}^{-3}$), x_{smoke} is the smoke $\text{PM}_{2.5}$, x_{total} is the total $\text{PM}_{2.5}$ from all sources, $x_{\text{background}}$ is nonsmoke background $\text{PM}_{2.5}$, and $\bar{\beta}(x)$ is the mean long-term $\text{PM}_{2.5}$ mortality concentration–response from Vodonos et al. (2018), calculated as an average of $\beta(x)$ across a range of x from $x_{\text{background}}$ to x_{total} . To calculate x_{smoke} , we set the smoke $\text{PM}_{2.5}$ in nonfire months (January to June, December) to $0 \mu\text{g m}^{-3}$ and take the average across all months, an approach also taken by previous studies of smoke exposure (e.g., Koplitiz et al., 2016; Marlier et al., 2019). To calculate $x_{\text{background}}$, we use surface $\text{PM}_{2.5}$ measurements from the US Environmental Protection Agency (EPA) air quality monitoring network. We use an average of 1230 EPA stations across CONUS per year; the number of stations varies interannually, depending on data availability. We approximate $x_{\text{background}}$ as the median $\text{PM}_{2.5}$ during nonfire months, averaged across monitors within the receptor region and weighted by the colocated GPWv4.11 population counts of the closest year (CIESIN, 2018).

Using the Vodonos et al. (2018) CRF, we estimate a 95% confidence interval (CI) for excess mortality estimates for each year from 2003 to 2021 based on calculated smoke $\text{PM}_{2.5}$ exposure from that year. The 95% CI reflects the uncertainty bounds only in the concentration response function and not in the BMR or population data. Given our focus on smoke exposure from wildfires ignited in the western US, we calculated the smoke $\text{PM}_{2.5}$ and health impacts for each region (Figure 2 inset) using only western US wildfire emissions. That is, we multiply all adjoint sensitivities for each receptor by the GFED4s emissions in grid cells only in the Northwest (NW), Rocky Mountains (RM), and Southwest (SW) regions in the western US. On a regional basis, we compare our modeled estimates of smoke exposure against a recent empirically derived data set of wildfire smoke $\text{PM}_{2.5}$ from Childs et al. (2022).

2.4. Calculating Smoke Risk. The following subsections outline the key components of our wildfire smoke risk framework: land cover, burned area, and fuel consumption (Section 2.4.1); potential burned area and fire recurrence (Section 2.4.2); projected fire emissions and smoke exposure (Section 2.4.3); and smoke risk index (Section 2.4.4). We also deployed an online software tool that calculates the smoke risk under various scenarios of input burned area, fuel consumption, and land management (Section 2.4.5). Following Kelp et al. (2023), we select Northern California as a case study of interest in the tool, as it contains dense fuel loads and contributes a large proportion of population-weighted smoke exposure both in California and across the western US. Also, unlike chaparral or coastal shrub ecosystems elsewhere in the state, Northern California has been identified as a region that would benefit from wise prescribed fire management.¹⁵

2.4.1. Land Cover, Burned Area, and Fuel Consumption. We use the 500 m annual MODIS land cover data set (MCD12Q1).⁴⁹ Given their significant contribution to wildfire smoke in the western US, we focus only on regions identified

Table 1. Land Management Scenarios^a

scenario	type	description	area treated (acres)
baseline		no future treatments are applied	0
NFPORS + FRAP ^b	historical	combined federal and state prescribed burns from NFPORS and FRAP from December 2017 to June 2020	285,955
risk-optimized (15, high)	hypothetical	15 highest risk grid cells (according to the smoke risk index), treated with LMI = 0.5	30,000
risk-optimized (100, medium)	hypothetical	100 highest risk grid cells, treated with LMI = 0.25 (1000 acres per grid cell)	100,000
risk-optimized (50, high)	hypothetical	50 highest risk grid cells, treated with LMI = 0.5 (2000 acres per grid cell)	100,000

^aDetailed definitions of each historical and hypothetical land management scenario for Northern California in 2020. ^bNFPORS is the National Fire Plan Operations and Reporting System and FRAP is the Fire and Resource Assessment Program. NFPORS is operated at the federal level by the US Forest Service and FRAP includes state-level efforts with CAL FIRE involvement.

as savanna (SAVA) or temperate forest (TEMF), two broad land cover types defined in GFED4s.^{14,46} We incorporate two types of dry matter (DM) emissions: historical 0.25° × 0.25° fire emissions estimates from GFED4s and potential emissions at the same horizontal resolution. Disaggregating DM emissions by land cover type enables one to account for differences in fuel consumption, emissions factors, and varying land management techniques.

To calculate fuel consumption rates, we relate DM emissions to burned area by using the historical relationship between GFED4s DM emissions and burned area from 2003 to 2016, aggregated during fire season months. We choose 2003–2016 based on the consistency of the GFED4s MODIS-based method during the combined Terra and Aqua era;⁴⁵ GFED4s burned area is not available post-2016 because an alternative method based primarily on active fires is used instead. This approach is computationally fast and can be generalized across wildland regions globally but lacks the regional specificity implemented in traditional U.S. fuel consumption models such as CONSUME.⁵⁰ We separately calculate fuel consumption rates for SAVA and TEMF. Because GFED4s provides only total burned area, we use the 500 m MODIS MCD12Q1 land cover product and MCD64A1 burned area product to calculate the fraction of GFED4s burned area attributed to SAVA or TEMF in each grid cell.^{49,51} We use the weighted mean (“mean”) and percentiles to consider the intrinsic variability within fuel consumption (fc): “low” (25th percentile), “median”, and “high” (75th percentile) fuel consumption scenarios (Table 1). The mean SAVA fc is 2.18 kg m⁻² (range: 0.68–4.19), and the mean TEMF fc is 11.66 kg m⁻² (range: 9.42–17.18).

2.4.2. Potential Burned Area and Fire Recurrence. To estimate the potential burned area ahead of an upcoming fire season, we multiply the potential burned area by the fire recurrence coefficient, which represents the risk of a wildfire in each grid cell, given the context of its fire history. The potential burned area is determined by user-defined scenarios, specifying the percentages of SAVA and TEMF burned. Users may use historical burned area estimates to inform the authors of this input. As later discussed in Section 2.4.5, additional spatial layers, such as land management intensity, can further refine the potential burned area. The fire recurrence coefficient follows the principle that areas recently experiencing wildfires are less likely to burn again. We use the mean fire return interval (MFRI) in the LANDFIRE 2016 Biophysical Settings (BPS) data set to determine the frequency at which fires have historically occurred in each grid cell.⁵² LANDFIRE is an interagency program that maps vegetation, fire, and fuel characteristics at 30 m resolution across the US. To determine the time elapsed since the most recent fire in each grid cell, we

use burned area and recurrence interval estimates. We use the MODIS MCD64A1 burned area (500 m), supplemented by the Monitoring Trends in Burn Severity (MTBS) data set of final fire perimeters derived from Landsat (30 m) and Sentinel-2 (10–20 m) imagery.^{53–55} Since the MTBS record (from 1984) starts earlier than the MODIS record (from 2000), we use MTBS to supplement the MODIS fire history. The recurrence coefficient (rc) is calculated as follows

$$t_{\text{sincefire}} = \min[(t_0 - t_{\text{MTBS}}), (t_0 - t_{\text{MCD64A1}})] \quad (5)$$

$$\text{rc} = \begin{cases} \frac{t_{\text{sincefire}}}{\text{MFRI}}, & t_{\text{sincefire}} < \text{MFRI} \\ 1, & t_{\text{sincefire}} \geq \text{MFRI} \end{cases} \quad (6)$$

where t_0 is the input emissions year, t_{MTBS} is the last year with a MTBS fire perimeter relative to t_0 , t_{MCD64A1} is the last year with MCD64A1 burned area relative to t_0 , $t_{\text{sincefire}}$ is the number of years since the last fire, and MFRI is the LANDFIRE MFRI. The rc is scaled to a range between 0 (low likelihood to burn, or recent burn) and 1 (high likelihood to burn, or fire is due).

2.4.3. Projected Fire Emissions and Smoke Exposure. We combine the potential burned area (BA), the corresponding fuel consumption level (fc), and the recurrence coefficient (rc) to calculate the projected dry matter emissions for each land cover type as follows

$$\text{DM} = \text{DM}_{\text{SAVA}} + \text{DM}_{\text{TEMF}} \quad (7)$$

$$\text{DM}_{\text{SAVA}} = \text{fc}_{\text{SAVA}} \times \text{BA}_{\text{SAVA}} \times \text{rc}_{\text{SAVA}} \quad (8)$$

$$\text{DM}_{\text{TEMF}} = \text{fc}_{\text{TEMF}} \times \text{BA}_{\text{TEMF}} \times \text{rc}_{\text{TEMF}} \quad (9)$$

For each grid cell, we project the fire emissions for the grid cell (E), or the OC + BC emissions, for land-cover specific DM emissions (DM_{SAVA} and DM_{TEMF}). We calculate fire emissions using the GFED4s dry matter emissions (DM) and Akagi et al. (2011)⁵⁶ emissions factors (EF) as follows

$$E = \text{DM}_{\text{SAVA}} \times \text{EF}_{\text{SAVA}} + \text{DM}_{\text{TEMF}} \times \text{EF}_{\text{TEMF}} \quad (10)$$

Our overall smoke risk assessment metric, $\text{PM}_{2.5}(\text{proj})$, in units of $\mu\text{g m}^{-3}$, projects the contribution of each grid cell to the population-weighted smoke $\text{PM}_{2.5}$ of the receptor during the fire season months (July to November) in a given input year. To calculate this projection, we multiply the projected fire emissions E , in units of kg m^{-2} , by the GEOS-Chem adjoint sensitivity S , in units of $(\mu\text{g m}^{-3})/(\text{kg m}^{-2})$, as follows

$$\text{PM}_{2.5}(\text{proj}) = E \times S \quad (11)$$

2.4.4. Smoke Risk Index Conversion. We consolidate the projected population-weighted smoke exposure for each grid

cell into a smoke risk index to help land managers identify regions that pose a relatively greater smoke risk to populations downwind. Our risk map groups grid cells into six categories of relative risk based on the following percentile cutoffs: 0%–25% (level 1, “little to no risk”), 25%–50% (level 2, “low risk”), 50%–75% (level 3, “moderate-low risk”), 75%–90% (“moderate risk”), 90%–95% (level 5, “high risk”), 95%–99% (level 6, “very high risk”), and over 99% (level 7, “extreme risk”). Due to the heavy-tailed nature of the distribution of smoke $\text{PM}_{2.5}$ exposure across Northern California, we use the 99th percentile cutoff to distinguish very high risk from extreme risk. We focus on the top 15 grid cells, corresponding to $\sim 3.5\%$ of the total area.

2.4.5. Google Earth Engine Online Tool. We deploy the smoke risk index and health analysis in a Google Earth Engine online tool, SMRT-Flames (<https://smoke-policy-tool.projects.earthengine.app/view/smrt-flames>), to support end users such as land and air quality managers. Google Earth Engine is a cloud-based platform that supports geospatial analysis, online visualization, and web app deployment through Earth Engine Apps.⁵⁷ The tool enables end users to estimate the relative population-weighted smoke risk given an input treatment zone, receptor, fire emissions year, and meteorology year. We include fire emissions from 2003 to 2021 and meteorological scenarios from the GEOS-Chem adjacent from 2016 to 2021. Here, we implemented Northern California as the input treatment zone for a case study.

First, the tool enables users to select a treatment zone and receptor, which are decoupled in spatial extent. For example, Northern California can be selected as the treatment zone and Central Valley as the receptor. The fire emissions year and meteorology year can be varied to examine potential differences in smoke risk attributable to different weather patterns. Second, users can adjust the fuel consumption rate of a potential wildfire or prescribed fire treatment and the fraction of area burned for both savannas and temperate forests based on historical burn fractions or existing land management plans for the two land cover types. Finally, users can choose the intensity of a land management treatment based on (1) a “targeted” scenario of high smoke risk areas identified by the smoke risk index or (2) a “variable” scenario based on historical or planned prescribed fires and fuel treatments. While the targeted scenario applies a uniform land management intensity (LMI) to a select number of grid cells with the highest smoke risk, the variable scenario allows the LMI to vary by grid cell according to user input. The scenarios allow users to identify priority areas for reducing smoke exposure given recent land management activities and act as a dampening factor on fire emissions, similar to the recurrence interval.

As an example, we use prescribed burns recorded in the National Fire Plan Operations and Reporting System (NFPORS), used by the U.S. Department of Agriculture and Department of the Interior, and by CAL FIRE’s Fire and Resource Assessment Program (FRAP) as a proxy for land management intensity from December 2017 to June 2020, or the time period between the end of the 2017 fire season and just prior to the 2020 fire season. We remove NFPORS records that are likely duplicates by spatially filtering NFPORS coordinates within FRAP polygons. We make two assumptions: (1) that areas where prescribed fires occur have higher resource allocation and land management intensity, making wildfires in these areas easier to control and extinguish, and (2) that prescribed burns moderate future wildfires in a grid cell

and decrease fire severity, leading to lower fire emissions.⁵⁸ We aggregate the total area of prescribed fires at $0.25^\circ \times 0.25^\circ$ resolution and scale LMI levels between 0 and 1, where 0 is no land management and 1 is no fire emissions due to aggressive treatment. For intermediate LMI values, we create bins based on the relative distribution of treatment sizes found in NFPORS and FRAP: <100 acres = 0.05, 100–500 acres = 0.1, 500–1000 acres = 0.2, 1000–1500 acres = 0.3, 1500–2000 acres = 0.4, and >2000 acres = 0.5. In the default scenario, the tool calculates the impact of a risk-optimized scenario with a high LMI of 0.5 applied to the top 15 at-risk grid cells, equivalent to 30,000 acres treated (Table 1).

In addition, we tested the impact of several hypothetical land management scenarios on overall population exposure. In line with recent state and federal prescribed fire activities and plans in California,¹⁵ we test two additional risk-optimized scenarios of 100,000 acres treated annually using prescribed fire in Northern California. In the first scenario, 100 grid cells are treated with a medium LMI of 0.25, and in the second scenario, 50 grid cells are treated with a high LMI of 0.5. The number of grid cells is arbitrarily designated in these scenarios to show the effect of varying the scale and intensity in land management strategies. However, the user input layer is flexible to further adjustments to the land management scale and intensity levels, especially as future studies shed light on the efficacy of prescribed fires and fuel treatments for reducing wildfire occurrence, fire spread, and burn severity.⁵⁹ Other adjustments can include using estimates of planned resource allocation due to high tree mortality⁶⁰ or wildfire suppression difficulty.⁶¹

3. RESULTS AND DISCUSSION

Following Kopplitz et al. (2016), we first quantify the annual health impacts of smoke $\text{PM}_{2.5}$ originating from the western US from 2003 to 2021. We then demonstrate how an extended framework can be used as a land management tool by using Northern California as a case study (Figure 1).

3.1. Annual Health Impacts from Western US Smoke.

We find that air pollution-related deaths from western US wildfire smoke predominantly affect populations aged 14 years and above in the western US, with little influence east of the Rocky Mountains. Figure 2 shows the variation in excess mortality attributable to smoke $\text{PM}_{2.5}$ from western US fires from 2003 to 2021. The calculated mortalities reflect interannual variability in wildfire activity with several recent extreme wildfire seasons since 2017. The peak occurs in the 12 month aftermath of the 2020 fire season during which we find that excess mortality due to smoke from western US fires reached 34,900 deaths (95% CI: 24,500–45,000) in western US receptors alone, with 1500 (95% CI: 900–2200) deaths east of the Rockies. From 2003 to 2021, our mean estimates show that 94% of all mortalities from western US wildfires occurred locally, whereas 6% occurred east of the Rocky Mountains. Although smoke from prescribed fires in the eastern US is an air quality concern⁸ and wildfire activity may increase in the southeastern US in the coming decades,⁶² we find little evidence that wildfire smoke emanating from the western US occurred at levels that affected the health of populations in the eastern US from 2003 to 2021 (Figure 2). However, our study does not consider wildfire smoke originating outside of the U.S. Canada, for example, has been shown to impact communities in the Midwest and East Coast.^{63–65} Variability in annual meteorology had a small

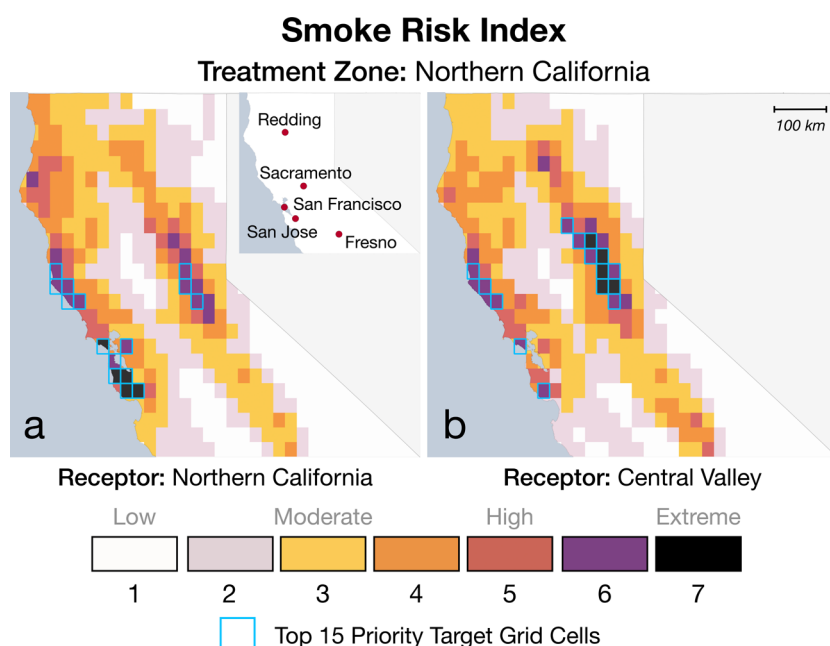


Figure 3. Smoke risk index for the Northern California treatment zone in 2020. Darker colors indicate those grid cells where potential wildfires would pose the most risk for population-weighted smoke exposure in the two receptors, (a) Northern California and (b) Central Valley. Blue boxes indicate the 15 priority target grid cells that pose the greatest smoke exposure risk to these regional populations. Results are shown for the baseline scenario, which assumes that 5.85% of the total savanna area and 9.33% of the total temperate forest area burn with mean fuel consumption in Northern California.

effect on annual smoke concentrations and, by extension, public health impacts (Figure S1). The coefficient of variation, or the standard deviation divided by the mean, of annual smoke $PM_{2.5}$ ranges from 9 to 27% under the meteorological range of the 2016–2021 adjoint sensitivities.

The calculated smoke $PM_{2.5}$ and health impacts presented here show differences compared to data sets that rely on the unequal distribution of surface $PM_{2.5}$ monitoring networks. Figure S2 compares our CTM-based excess mortality estimates from smoke exposure in the western US with those derived from a combination of surface $PM_{2.5}$ measurements and HMS smoke plumes in Childs et al. (2022). In low fire years (e.g., 2006–2016), our estimates of excess mortality attributable to smoke exposure agree well with those of Childs et al. (2022). For 2020, however, the Childs et al. (2022) data set of smoke $PM_{2.5}$ yields approximately 21,700 excess deaths (95% CI: 14,000–29,200) in the western US, 40% less than our higher mean estimate of approximately 36,400 deaths (95% CI: 25,400–47,200). Given the use of the same CRF for excess deaths calculations in both data sets, we attribute this discrepancy to differences in approaches in estimating wildfire smoke $PM_{2.5}$ exposure for the 2020 fire season. For example, as discussed by Qiu et al. (2024), possible uncertainties for our CTM-based approach arise from the GFED4s fire emissions estimates, GEOS-Chem boundary layer mixing, and smoke injection heights, which may have led to overestimation of smoke $PM_{2.5}$ during the intense 2020 wildfire season. In contrast, the machine learning-based approach of Childs et al. (2022) may underestimate extreme smoke $PM_{2.5}$ values or may struggle to represent smoke transport in data-sparse areas where EPA stations are lacking or HMS smoke day definitions may be unreliable.

3.2. Smoke Risk and Land Management Case Study in Northern California. Excess deaths in Northern California comprise about one-third of the total $PM_{2.5}$ -attributable

mortality to western US wildfires in 2020. Due to the co-occurrence of high fire activity and smoke exposure, we focus on Northern California for our case study. Our framework identifies locations with the greatest contribution to the overall risk of smoke exposure and the associated adverse health effects across all grid cells in Northern California. Figure 3 shows the projected smoke risk index for Northern California in 2020 for the baseline scenario, which does not consider any historical or hypothetical land management scenarios. We use the historical average percent of total savanna (5.85%) and temperate forest (9.33%) burned to define the baseline emission scenario for the Northern California treatment zone in 2020.

We find that the 15 grid cells with the highest smoke risk to downwind populations are found in heavily forested regions and within the WUI surrounding San Francisco, San Jose, and Sacramento (Figure 3a). As seen in 2020, the occurrence of several large wildfires near the San Francisco and San Jose WUI regions, including the CZU (86,509 acres) and SCU Lightning Complex (396,624 acres), underscores the potential fire and smoke risk near dense urban centers. The priority smoke risk areas may shift for other receptors. For example, in the Central Valley receptor, the priority grid cells shift away from the San Francisco and San Jose WUI region to forested areas northeast of Sacramento (Figure 3b). These results underscore the WUI as a high-risk area for population-weighted smoke exposure, reinforcing its importance in prescribed fire management policies across the western U.S. While protecting communities in the WUI remains a heightened policy focus area, recent work has shown that land management within these areas has been less effective at reducing future burn severity and smoke emissions compared to treatments outside the WUI (Kelp et al., 2024). These findings emphasize the need to reassess current strategies and develop more effective interventions to address the unique

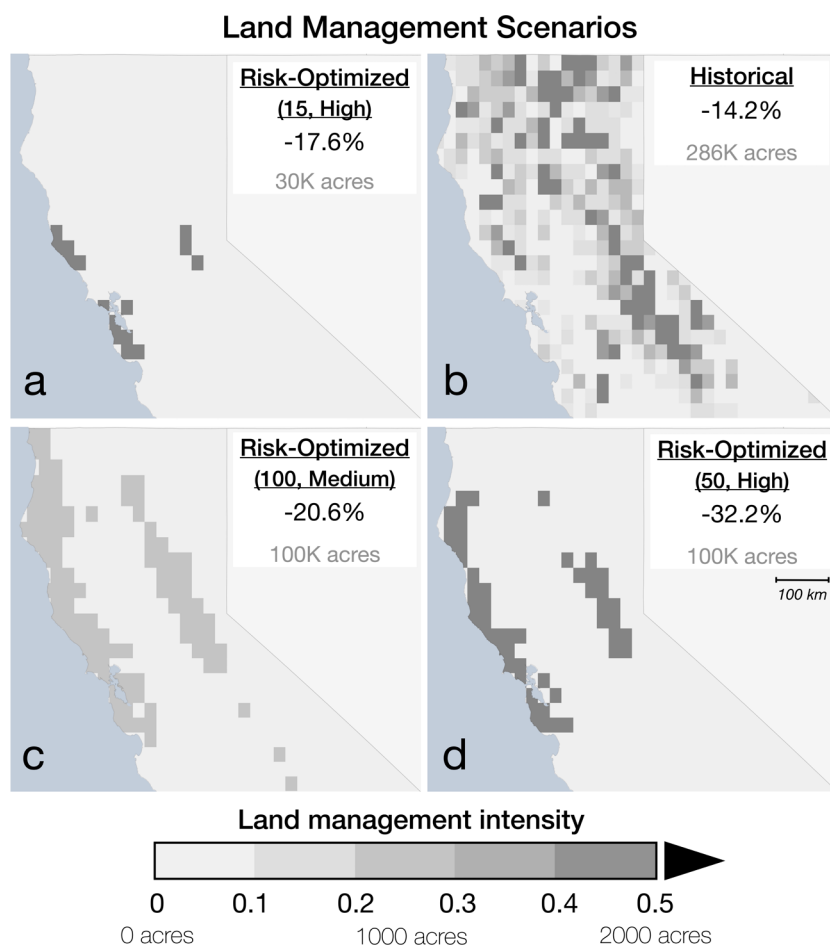


Figure 4. Four land management scenarios for Northern California. The panels show the land management intensity (LMI), defined in Table 1, for these scenarios: (a) risk-optimized, in which the top 15 highest-risk grid cells are treated with a LMI of 0.5 (2000 acres per grid cell), (b) historical, which includes the prescribed burns from NFPORS and FRAP from December 2017 to June 2020, and two additional risk-optimized scenarios based on a prescribed burn target of 100,000 acres, in which (c) the 100 highest-risk grid cells are treated with an LMI of 0.25 (1000 acres per grid cell), and (d) the 50 highest risk grid cells treated an LMI of 0.5 (2000 acres per grid cell). Text inset shows the reduction in population-weighted smoke exposure in Northern California, compared to the baseline scenario in Figure 3.

challenges of WUI management while enhancing smoke risk reduction.

We find that the smoke risk index is sensitive to the percent burned in savannas relative to that in temperate forest areas, signifying the importance of land cover and fuel composition. The smoke risk index is not intended to predict the exact locations of fires, whose ignitions are difficult to pinpoint and vary significantly from year to year (Figure S3). On a longer time scale, we find that wildfires do tend to occur within the moderate-to-extreme smoke risk areas, and our fire recurrence coefficient acts as a constraint on potential wildfire locations. The recurrence coefficient shows a large fire deficit in the treatment zone with values close or equal to 1, underscoring a need for prioritizing future treatments with maximal cobenefits in those areas (Figure 1).

Next, we examine the potential reduction in population-weighted smoke exposure from the baseline scenario (Figure 3), in response to four simulated land management scenarios (Table 1 and Figure 4). These four scenarios represent a (1) risk-optimized land management approach that applies a high LMI (0.5) to the top 15 priority grid cells with the largest potential impact on population-weighted smoke exposure in Northern California, (2) historical state and federal prescribed burns in the two years prior to the 2020 fire season, and two

additional risk-optimized approaches aligned with the CAL FIRE land management near-term target of 100,000 acres treated at (3) medium LMI (0.25) for 100 grid cells and (4) high LMI (0.5) for 50 grid cells.

Figure 4 illustrates the LMI defined for the four scenarios and shows the effect of each land management strategy on the population-weighted smoke exposure in Northern California. Based on an LMI of 0.5, or equivalent to a 50% reduction in fire emissions by treating 2000 acres, we find that the treatment of the 15 high-risk areas under a risk-optimized approach would have reduced smoke $\text{PM}_{2.5}$ exposure in Northern California by 17.6%. In contrast, state and federal prescribed fire treatments from December 2017 to June 2020 mostly occurred outside the 15 high-risk areas in the historical land management scenario, dampening the efficacy of smoke risk reduction to 14.2%. For a target of 100,000 acres treated, the high-intensity CAL FIRE scenario shows a higher potential (32.2%) to reduce smoke exposure compared to the medium-intensity CAL FIRE scenario (20.6%). This finding aligns with previous studies^{9,66} that suggest fewer, larger, and more intense prescribed fire treatments may be more efficient and effective than numerous smaller ones.

Overall, our four scenarios illustrate the potential benefits of targeted preventative land management efforts. Further

adjustments can be applied to balance the LMI and spatial extent of treatments based on cost and feasibility. For example, a tiered system could be implemented that applies different land management intensity designations according to smoke risk. In addition, future work is needed to assess land management intensity, which intends to capture the efficacy of fuel treatments, suppression difficulty, and proactive community efforts to reduce wildfire risk. Establishing more accurate, quantitative relationships between these local- to federal-level efforts and land management intensity is critical for improving estimates of smoke risk.

3.3. Comparison to Existing Wildfire Smoke Tools.

Current agency tools for modeling and projecting wildfire smoke, such as BlueSky (USFS) and HRRR-Smoke (NOAA), primarily focus on short-term predictions or often emphasize immediate impacts on air quality.^{18,20} BlueSky integrates a variety of fire behavior models with emissions and dispersion models to simulate smoke trajectories, providing users with estimates of near-term impacts on air quality from wildfires or prescribed burns. HRRR-Smoke is a real-time modeling system embedded within the high-resolution rapid refresh (HRRR) weather model. It can provide high-resolution, near-term forecasts of smoke dispersion and its impacts on air quality and visibility. However, both BlueSky and HRRR-Smoke focus primarily on short-term meteorological- and fire behavior-driven predictions and do not integrate longer-term, seasonal analyses of smoke exposure trends or land management practices.

In contrast to these approaches, our tool, SMRT-Flames, extends these models by focusing on long-term, population-weighted exposure to smoke $\text{PM}_{2.5}$. By incorporating historical fire activity, historical and projected fire emissions data, and the physics-driven meteorological transport of smoke, our approach evaluates the efficacy of prescribed burning and land management strategies over time. SMRT-Flames allows for strategic planning aimed at minimizing cumulative public health impacts while balancing the ecological and fire mitigation costs and benefits of prescribed fire. Unlike many smoke models, our framework quantifies the effects of proactive land management interventions to estimate our ability to reduce smoke burdens in vulnerable communities while supporting sustainable fire management practices. This framework may also be applied to the eastern US, where the threat of wildfires is growing.⁶² Specifically, the Southeastern US presents a practical region to extend this framework given the large number of controlled burn treatments, increasing wildfire risks, and large populations in both rural and urban areas.

3.4. Limitations. Limitations in our framework can be traced to uncertainty in fire emissions, atmospheric transport, and excess mortality estimates. We rely on the GFED4s fire emissions inventory, which uses a linear relationship between active fire detections and dry matter emissions after 2016.⁴⁶ The forthcoming GFED5 uses updated burned area estimates by incorporating adjustment factors based on Landsat and Sentinel-2, which have a higher spatial resolution (10–30 m) than MODIS (500 m–1 km).⁶⁷ Low-intensity fires or prolonged cloud and haze coverage may contribute to underestimates of fire emissions. Another caveat is that GFED4s does not include plume injection information or fire-specific diurnal cycles, which are important for characterizing smoke transport for severe wildfires in CTMs such as GEOS-Chem.^{32,68,69} Qiu et al. (2024) show that machine

learning-based approaches tend to outperform CTMs in the western US when compared to surface monitor observations of $\text{PM}_{2.5}$. However, only the CTM approach using the GEOS-Chem adjoint enables our framework for modifying historical or projected fire emissions based on land management scenarios. Another limitation is that we implemented GEOS-Chem adjoint sensitivities for only 2016 through 2021; meteorological conditions in future years may fall outside of this range, and seasonal climate outlooks prior to the fire season may not accurately represent the upcoming fire weather conditions. Additionally, improving the temporal scale of SMRT-Flames from seasonal to monthly or weekly could support customization for short-term variations in fuel conditions and burn windows. Furthermore, the CRFs used to estimate excess mortality from smoke concentrations continue to be updated with new cohort studies.^{48,70,71} The Vodonos et al. (2018) CRF used in this study does not account for potentially higher $\text{PM}_{2.5}$ toxicity from smoke versus other sectors or smoke from wildfires versus prescribed fires.^{72,73} The 95% CI for our premature mortality estimates considers uncertainties only in the CRF and not in the BMRs and population data sets. Compound effects from heatwaves or pandemics may also complicate excess mortality estimates.³¹ For land management, the lack of prescribed fire activity in the western US in recent decades means that its potential for reducing future wildfire severity and spread is currently not well-quantified, let alone for different treatment types (e.g., broadcast burns versus pile burns) or additional fuel reduction methods (e.g., thinning). Finally, our study does not explore the trade-offs in smoke exposure for increasing prescribed burns versus wildfires.^{3,59,74}

3.5. Implications. Increasing smoke exposure from wildfires in the western US underscores the urgency of optimizing land management to account for longer-term health impacts. We estimate that the 2020 fire season in the western US led to approximately 36,400 excess deaths (95% CI: 25,400–47,200) across the contiguous US, with 96% of deaths occurring locally within the western US. Despite the significant health burden of smoke exposure, there is an opportunity to improve the ability of land managers to assess this risk when planning prescribed fires or other fuel treatments. To address this gap, we designed a data-driven framework to estimate the historical and projected smoke risk for populations downwind of wildfires, including those living many kilometers away from the source region. We integrated the framework into an online tool that allows users to modify input parameters such as meteorology, fuel consumption, and land management intensity. As a case study, we deployed this framework in Northern California to simulate the impact of land management scenarios on excess mortality from smoke exposure in downwind regions. We found that prior prescribed fires from December 2017 to June 2020 occurred mostly outside the 15 highest-risk areas identified by the tool. Additionally, we tested a target of 100,000 acres burned using prescribed fire in Northern California per year and found that a “high” intensity scenario is more effective at reducing smoke exposure than a “medium” intensity scenario, in which double the area is treated at half the intensity (–32.2% versus –20.6%). This result highlights the potential efficacy and higher efficiency of targeted preventative efforts. Our results also indicate that the WUI remains a high-risk area for smoke exposure, yet recent studies show that current land management efforts in these areas have been less effective at reducing future burn severity

and smoke emissions than treatments outside the WUI. Our framework is flexible and can integrate additional on-the-ground information, such as prescribed burn and fuel treatment plans as well as more detailed land cover and fuel classifications. Furthermore, the data-driven framework can be applied to any region in the United States, including the Southeast, where high fire risk, frequent prescribed burning, and vulnerable populations are prevalent. By incorporating future smoke risk into land management planning, policy-makers and land managers can enhance public health protection beyond the immediate fire zone.

■ ASSOCIATED CONTENT

Data Availability Statement

The Google Earth Engine online tool (SMRT-Flames) is available at: <https://smoke-policy-tool.projects.earthengine.app/view/smrt-flames>. Code for SMRT-Flames is available at <https://github.com/tianjialiu/SMRT-Flames>. All data used in this study are freely available. MODIS and GPW data are distributed by the NASA Earthdata platform (<https://earthdata.nasa.gov/>). LANDFIRE (<https://landfire.gov/>), MTBS (<https://mtbs.gov/>), and GFED4s (<https://www.globalfiredata.org/>) data were retrieved online from the data provider Web sites. Station PM_{2.5} data from the US EPA are archived online (<https://www.epa.gov/outdoor-air-quality-data/>). GBD data were retrieved from IHME (<https://vizhub.healthdata.org/gbd-results/>).

■ Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.5c01914>.

Additional methodological details and results on the health impacts analysis and SMRT-Flames framework (PDF)

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Notes

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